



Few-shot learning and uncertainty estimation

Jose Dolz

ÉTS, Montreal

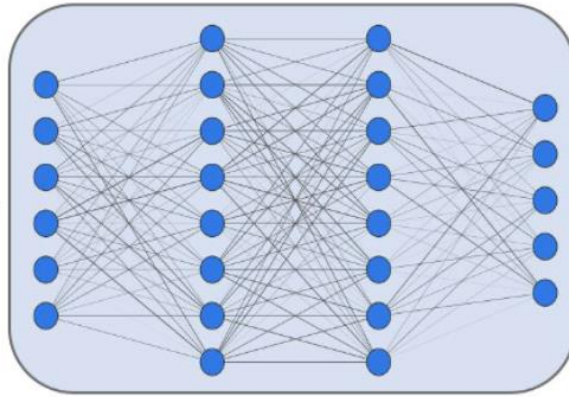
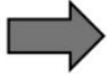
Model Adaptation

(few-shot learning)

Why?

Motivation

Standard training



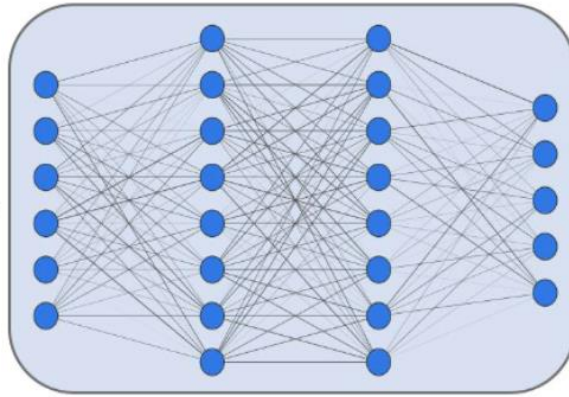
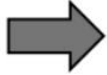
DNN

Accuracy (Dog): 99%

Why?

Motivation

Standard training



DNN

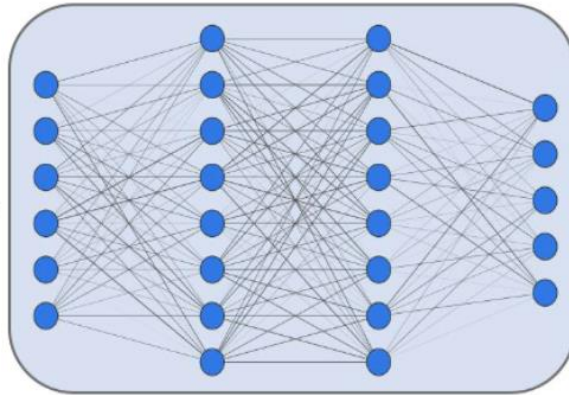
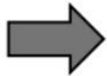
Accuracy (Dog): 99%

What if we add
novel classes?

Why?

Motivation

Standard training

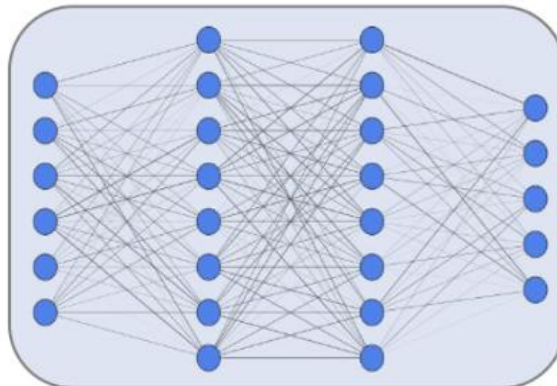
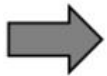


DNN

Accuracy (Dog): 99%

What if we add novel classes?

Fine-tuning



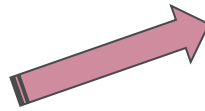
DNN

But, how many images are needed?

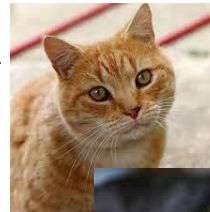
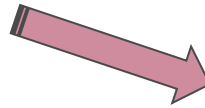
Why?

Motivation

Learning (in human beings)



One image
(learning)



N images
(generalization)

Why?

Motivation

Learning (in human beings)

- Humans recognize easily with few examples
- Modern ML generalize very poorly



image
learning)



Why is this interesting?

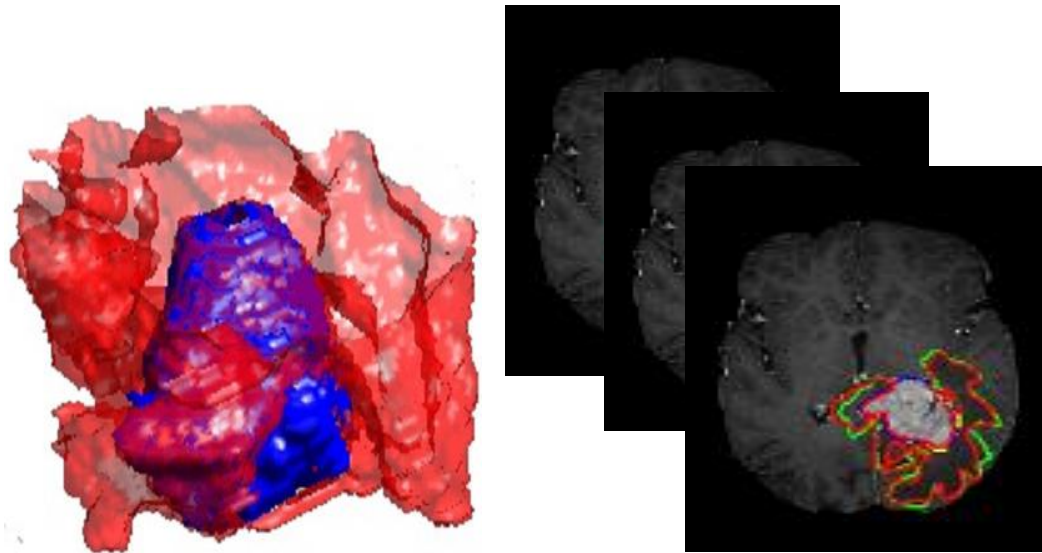
Building labels for dense predictions is even worse!!



Why is this interesting?

And it gets even more complex in some domains (e.g., medical imaging)

Dense 3D annotations: several hours
(of radiologist time)



Why is this interesting?

Labels not only expensive, but expert knowledge is required?

Select all images with
esophagus
Click verify once there are none left.



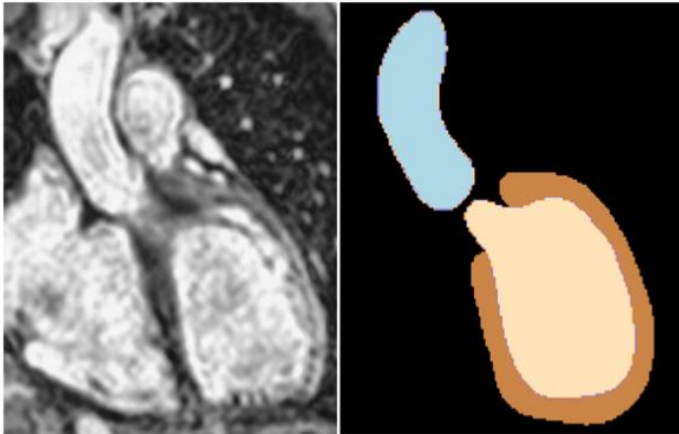
The image shows a 3x3 grid of medical scan images. The top row contains three images: a brain scan, a chest scan, and a CT scan of the thorax. The middle row contains three images: a sagittal spine scan, a chest scan, and a chest scan. The bottom row contains three images: a chest scan, a heart scan, and a chest scan. Below the grid are three icons: a refresh icon, a headphones icon, and an information icon. To the right of the icons is a blue button labeled 'VERIFY'.

Not possible to do
crowdsourcing

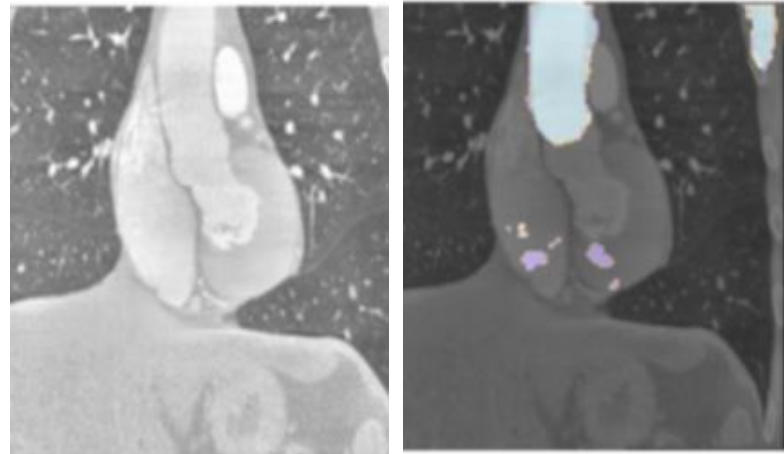
Why is this interesting?

Distributional shifts make things even worse

Source domain (MRI)



No adaptation
(bad generalization to the target)



Few-shot learning

Setting

Training on **base** classes



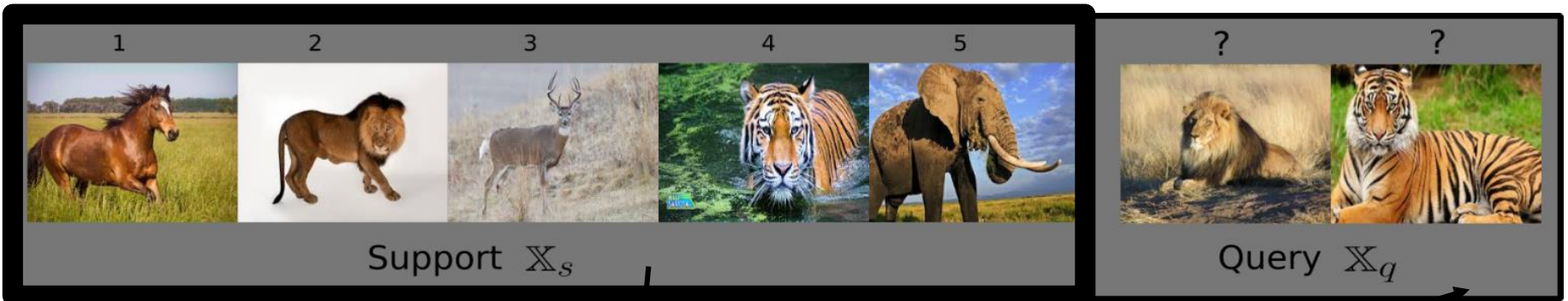
Few-shot learning

Setting

Training on **base** classes



Few-shot tasks at
testing time



Learn from a few examples per **new** class

Classify
these

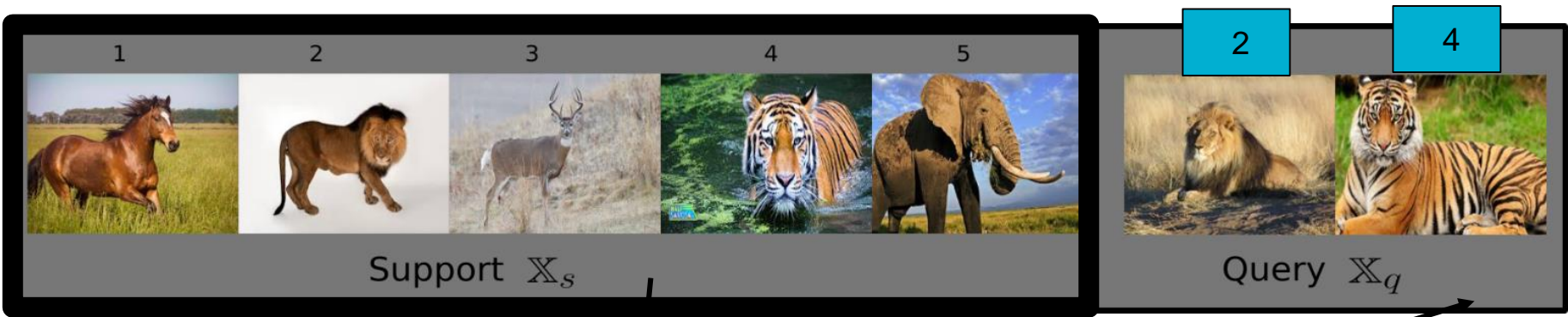
Few-shot learning

Setting

Training on **base** classes



Few-shot tasks at testing time



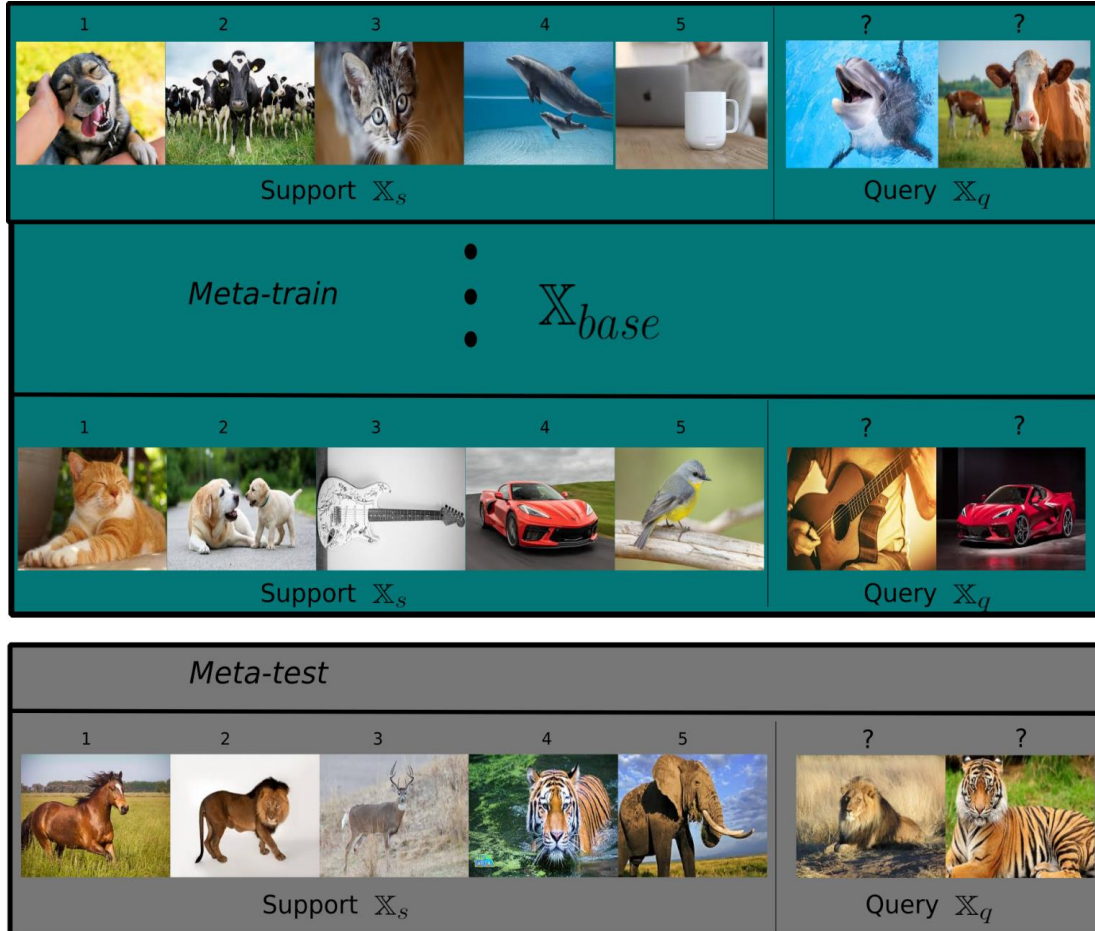
Learn from a few examples per **new** class

Classify these

Few-shot learning

Setting

Meta-Learning



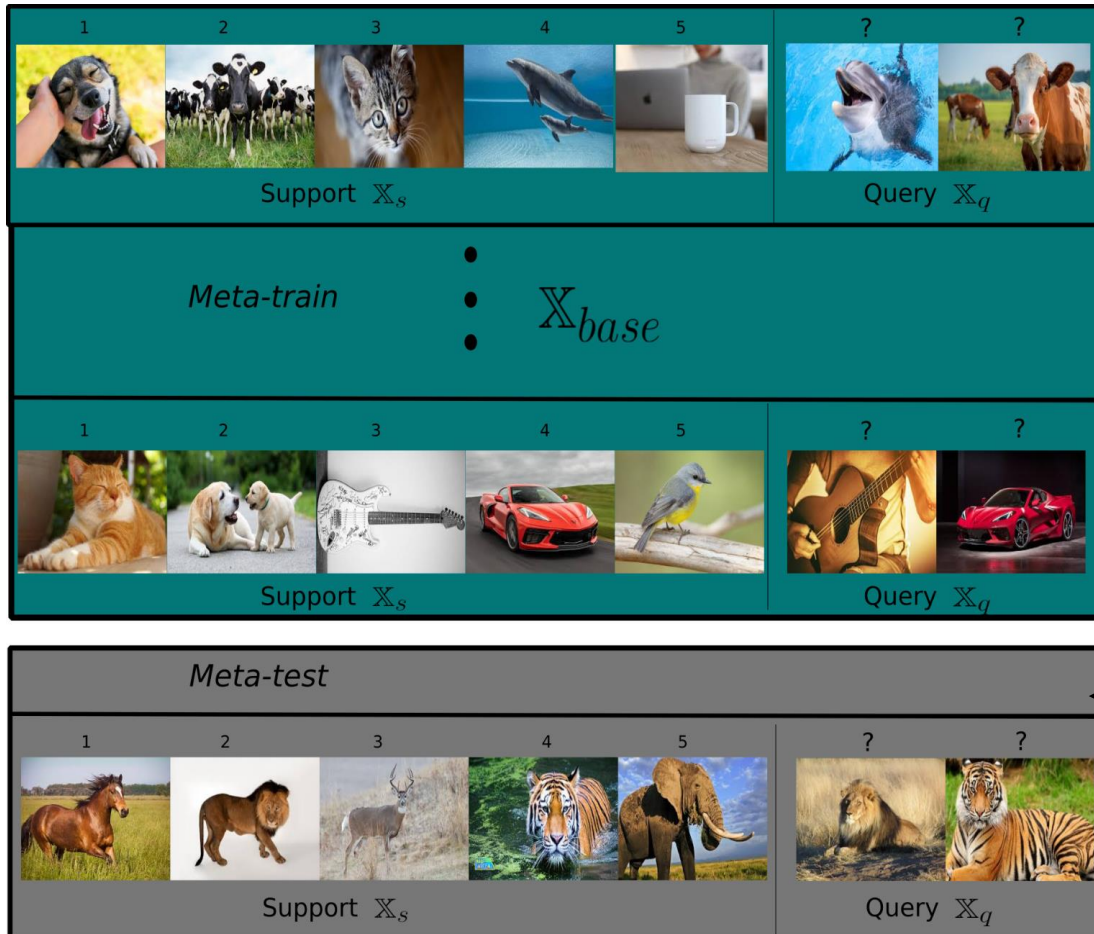
Base training with enough labeled data

(base classes *different from the* test classes)

Few-shot learning

Setting

Meta-Learning



Create artificial episodes for **episodic training**
(Learn initial model)

Vinyal et al, (Neurips '16),
Snell et al, (Neurips '17),
Sung et al, (CVPR '18),
Finn et al, (ICML'17),
Ravi et al, (ICLR'17),
Lee et al, (CVPR'19),
Hu et al, (ICLR '20),
Ye et al, (CVPR '20), ...

Few-shot learning

Standard Learning

$$\mathcal{D} = \{\mathcal{D}_{Train}, \mathcal{D}_{Test}\}$$



$$\mathcal{D}_{Train} \cap \mathcal{D}_{Test} = \emptyset$$

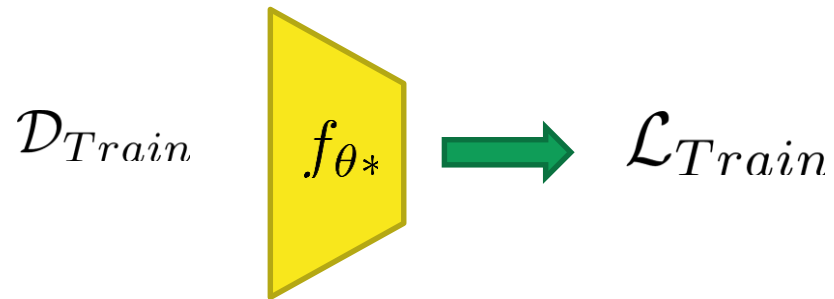
$$\mathcal{Y}_{Train} = \mathcal{Y}_{Test}$$

Few-shot learning

Standard Learning

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Learning

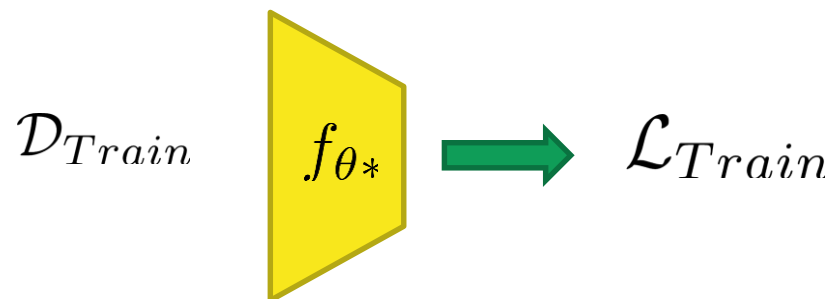


Few-shot learning

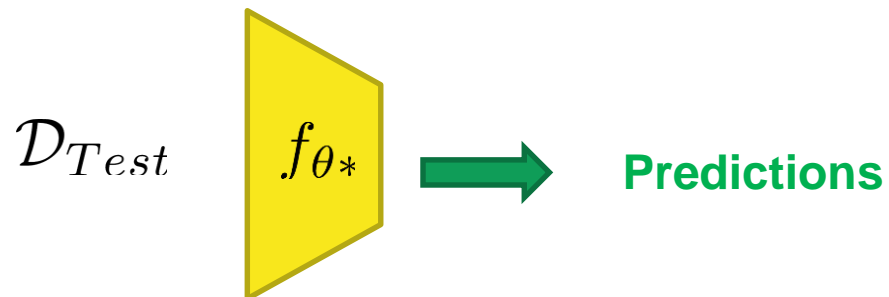
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Learning



Inference



Few-shot learning

Meta-Learning

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Few-shot learning

Meta-Learning

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Training

1. From \mathcal{D}_{Train} sample $\mathcal{Y}_S \in \mathcal{Y}_{Train}$

$$\mathcal{Y}_{Train} = \{Horse, Bike, Dog, Cat, Lion\}$$

$$\mathcal{Y}_S = \{Dog, Cat\}$$

Few-shot learning

Meta-Learning

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$$\mathcal{Y}_S = \{Dog, Cat\}$$

2. Use \mathcal{Y}_S to sample a **support** and a **query** set



Support set \mathcal{S}



Query set \mathcal{Q}

$$\min \mathcal{L}(\hat{y}_{\mathcal{Q}})$$

Few-shot learning

Meta-Learning

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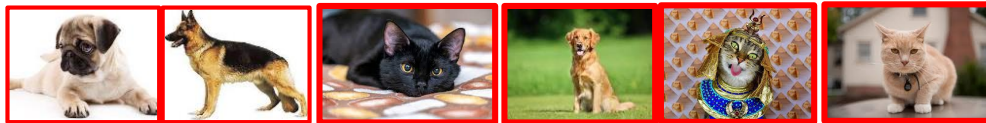
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Support set \mathcal{S}



Query set \mathcal{Q}

3. Repeat

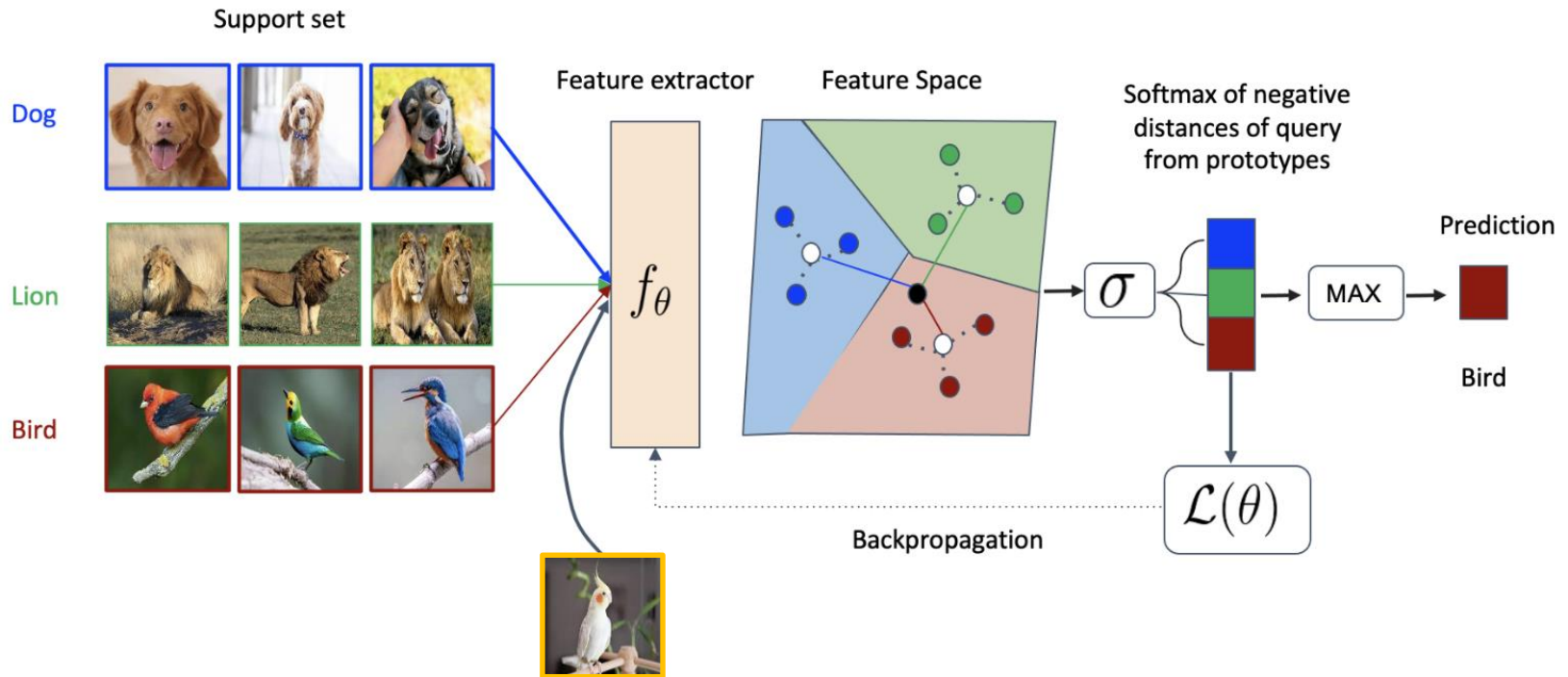
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Few-shot learning

Classification

Prototypical Networks

[Snell et al., NeurIPS'17]

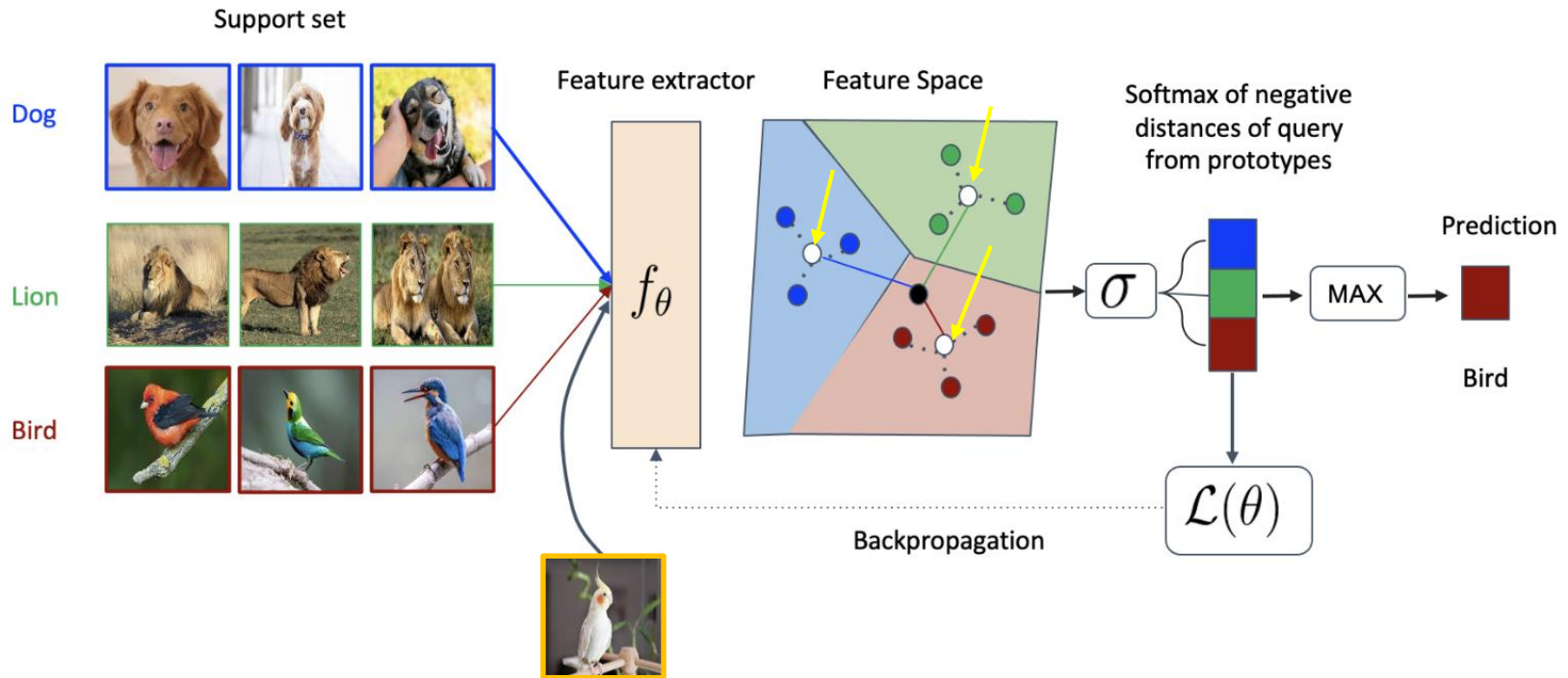


Few-shot learning

Classification

Prototypical Networks

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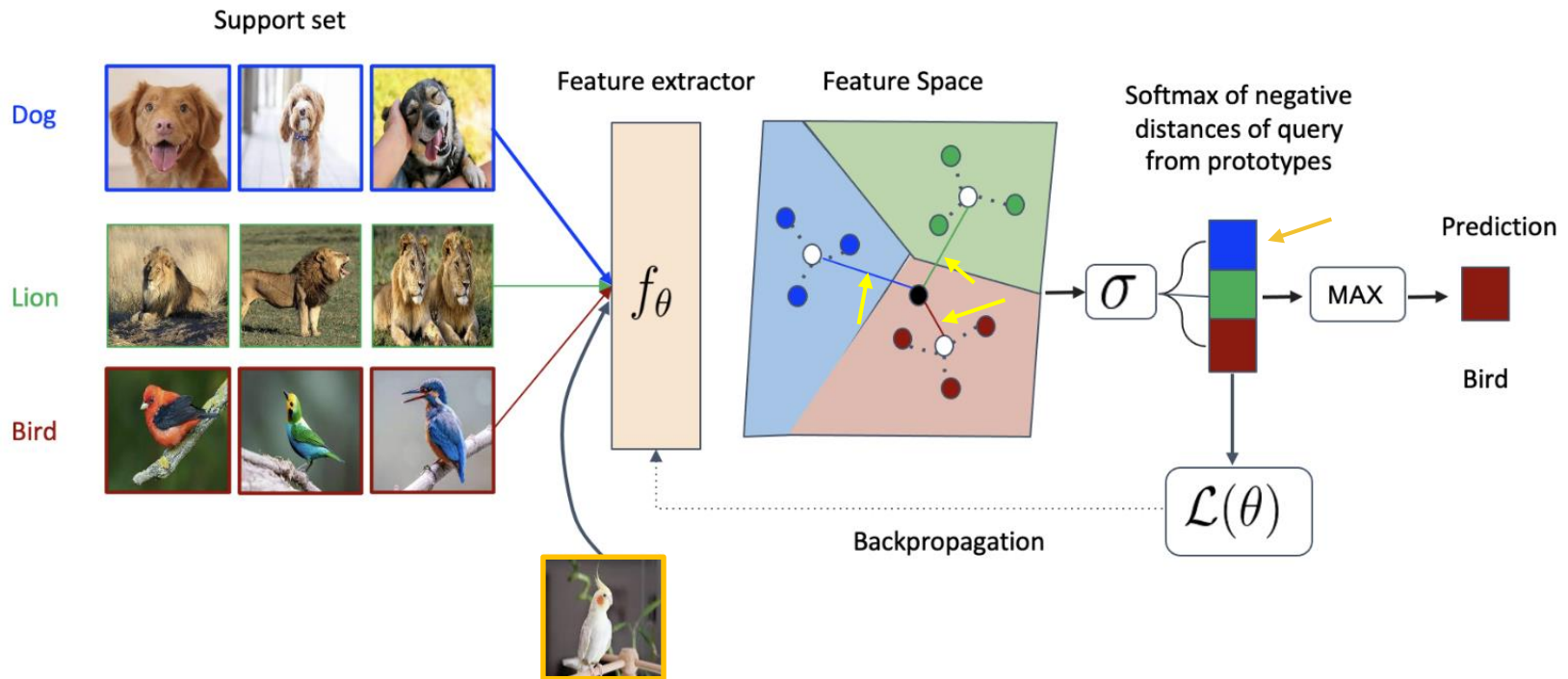
Compute **class prototypes** from support set:
$$\mu_c = \frac{1}{|\mathbb{X}_s^c|} \sum_{(x_k, y_k) \in \mathbb{X}_s^c} f_\theta(x_k)$$

Few-shot learning

Classification

Prototypical Networks

[Snell et al., NeurIPS'17]



Output probability based on **similarity of query embedding** to each class prototypes:

$$P(y = c | \hat{x}) = \text{softmax}(-d(f_\theta(\hat{x}), \mu_c))$$

Few-shot learning

Classification

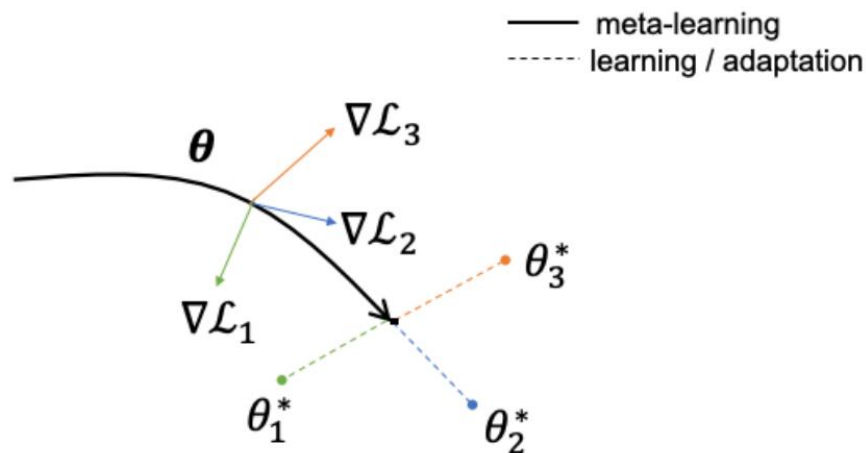
MAML

[Finn et al., ICML'17]

Goal:

Learn a **good initialization for a model**, such that :

it can be adapted to new few-shot tasks with **few gradient steps** to perform well with few training steps



Few-shot learning

Classification

MAML

[Finn et al., ICML'17]

Algorithm 1 Model-Agnostic Meta-Learning

Require: $p(\mathcal{T})$: distribution over tasks

Require: α, β : step size hyperparameters

Outer Loop

- 1: randomly initialize θ
- 2: **while** not done **do**
- 3: Sample batch of tasks $\mathcal{T}_i \sim p(\mathcal{T})$
- 4: **for all** \mathcal{T}_i **do**
- 5: Evaluate $\nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$ with respect to K examples
- 6: Compute adapted parameters with gradient descent: $\theta'_i = \theta - \alpha \nabla_{\theta} \mathcal{L}_{\mathcal{T}_i}(f_{\theta})$
- 7: **end for**
- 8: Update $\theta \leftarrow \theta - \beta \nabla_{\theta} \sum_{\mathcal{T}_i \sim p(\mathcal{T})} \mathcal{L}_{\mathcal{T}_i}(f_{\theta'_i})$
- 9: **end while**

Inner Loop:

Few-shot learning

Classification

MAML

[Finn et al., ICML'17]

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Outer Loop:

Optimize for the performance of **all inner loop** models on all tasks.

Inner Loop:

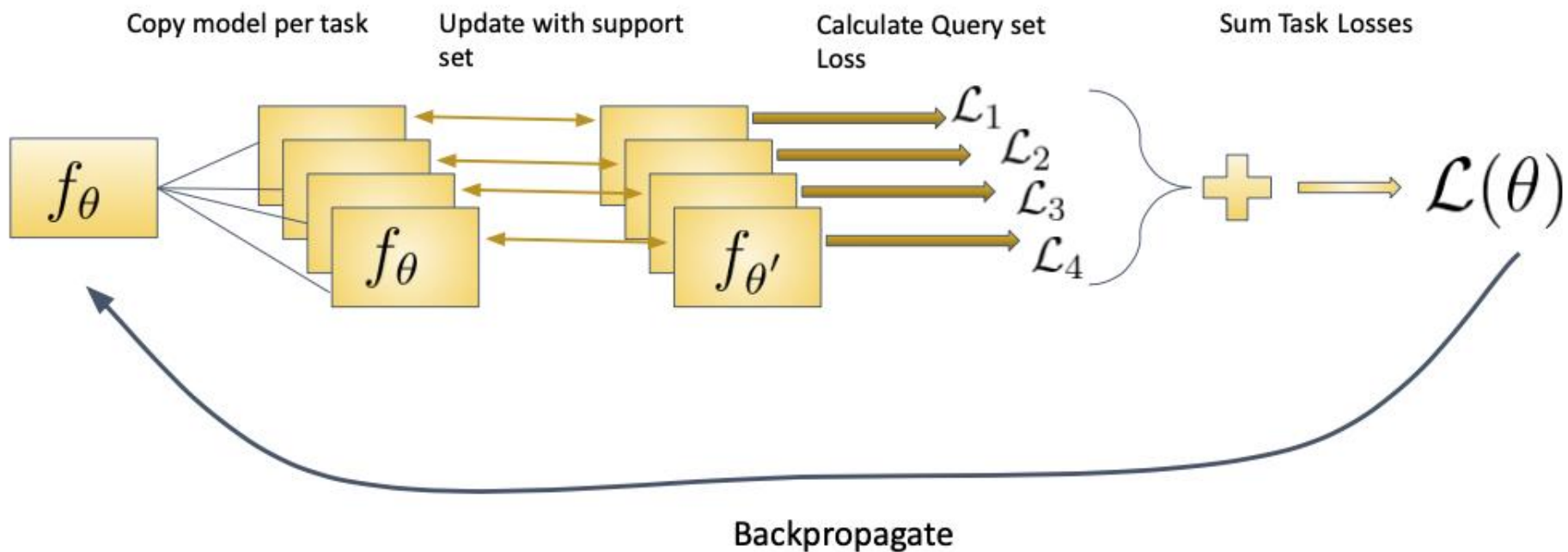
Update for each task from an initialization

Few-shot learning

Classification

MAML

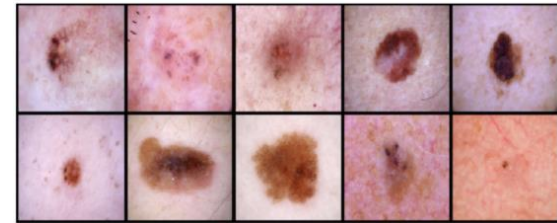
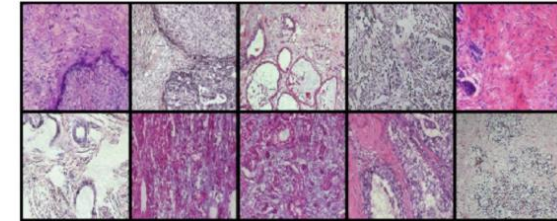
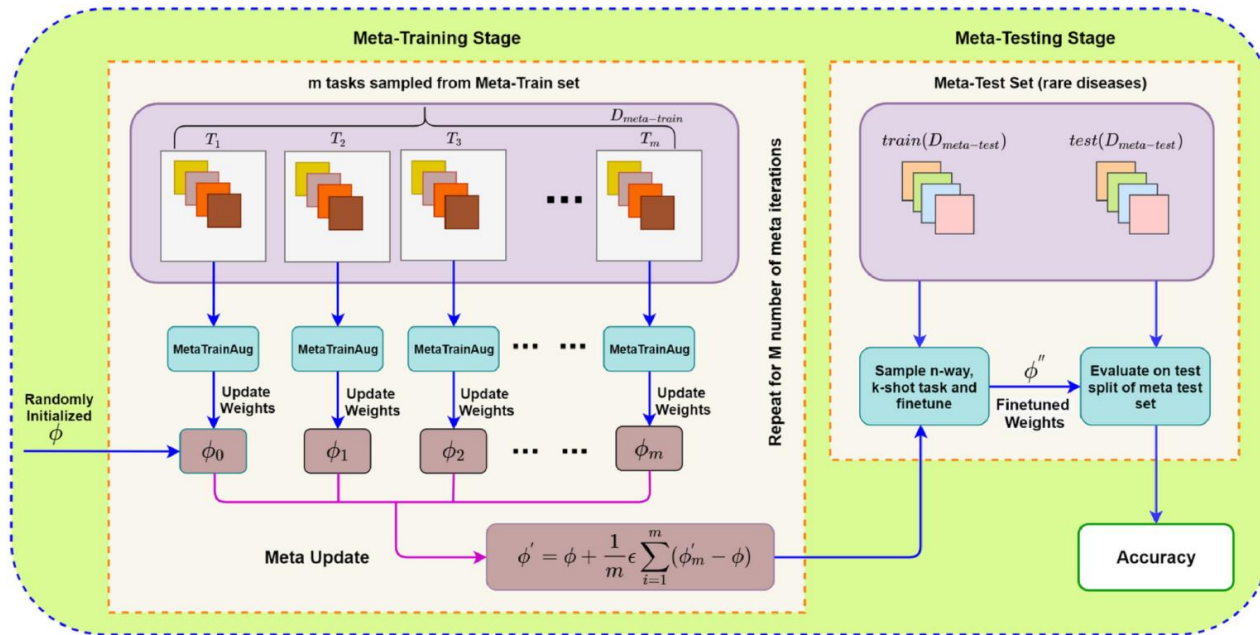
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Few-shot learning

Classification

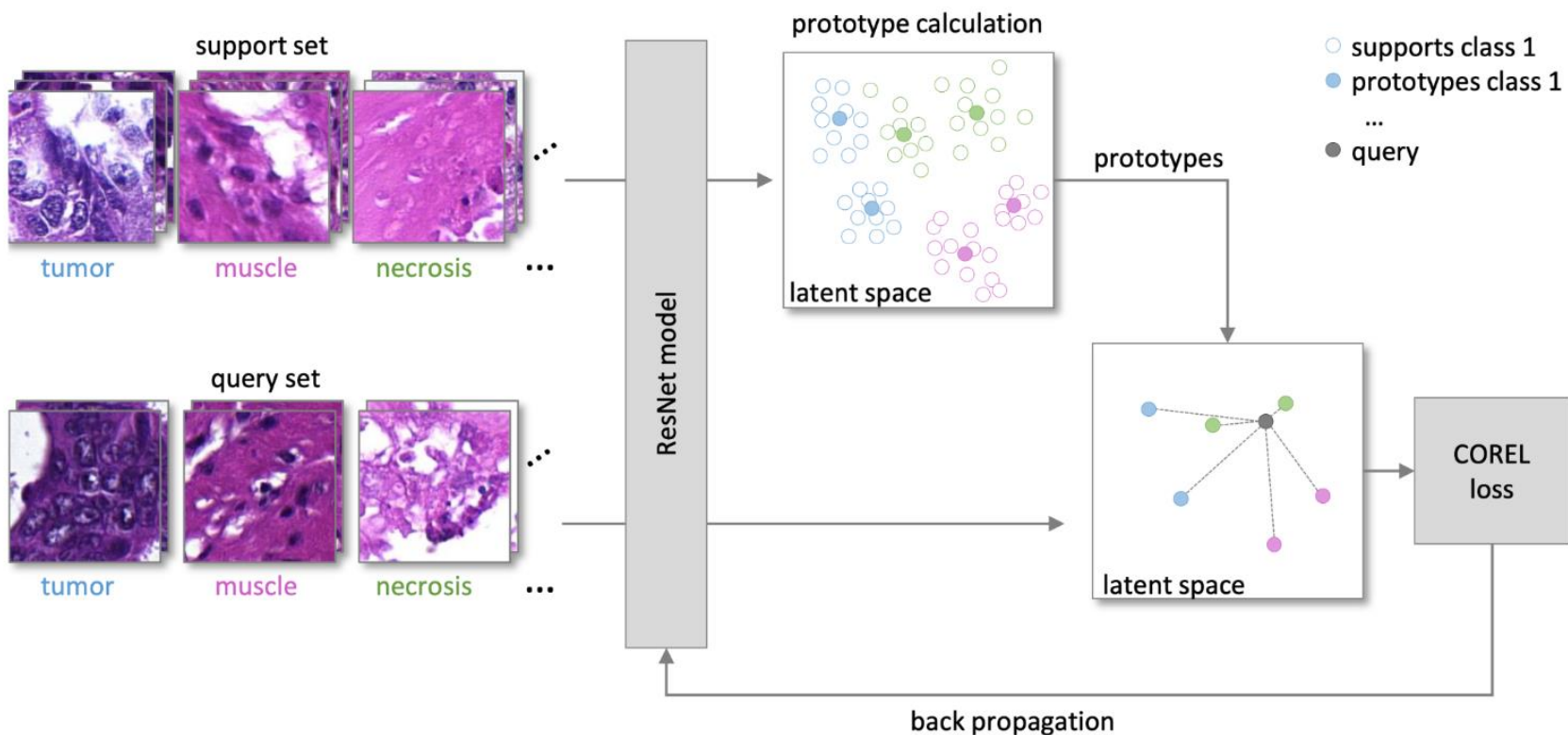
MAML-Based



Few-shot learning

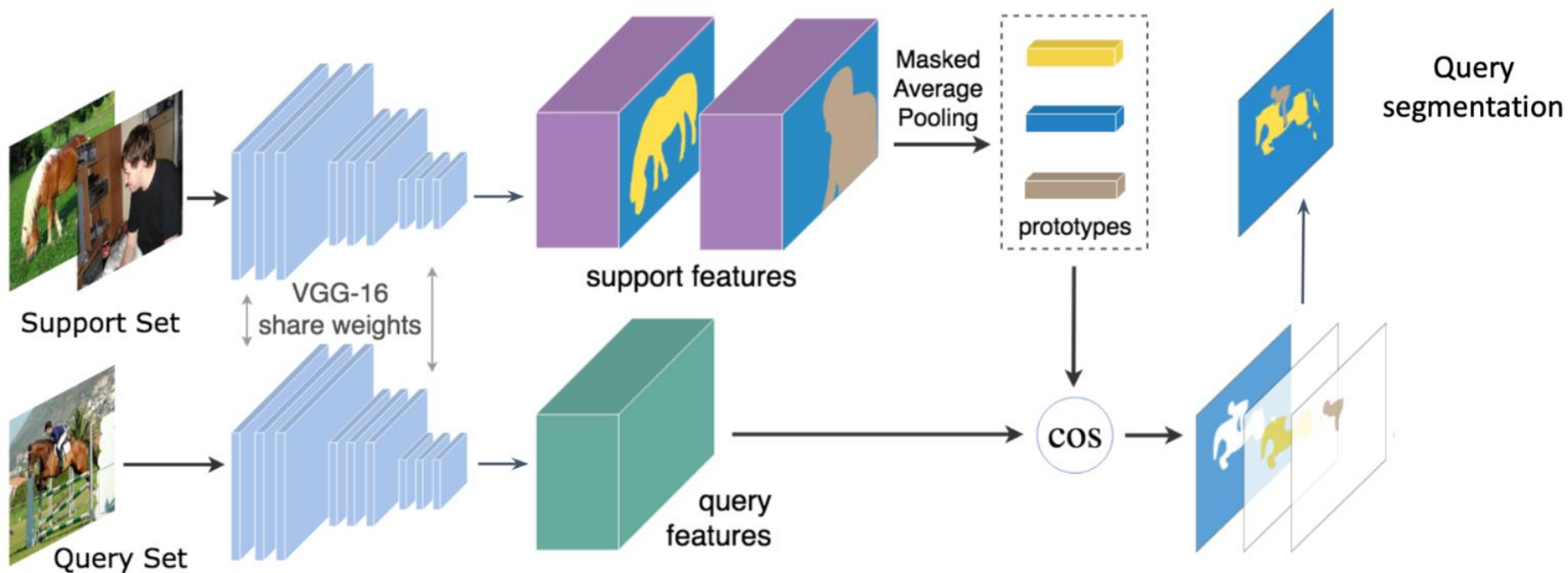
Classification

Prototypes-Based

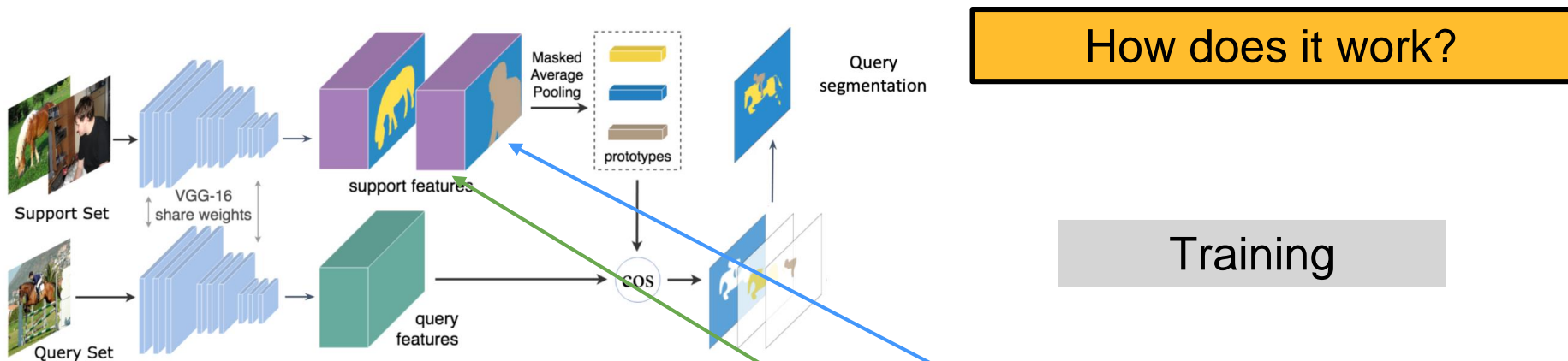


Few-shot Segmentation

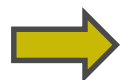
How does it work?



Few-shot Segmentation



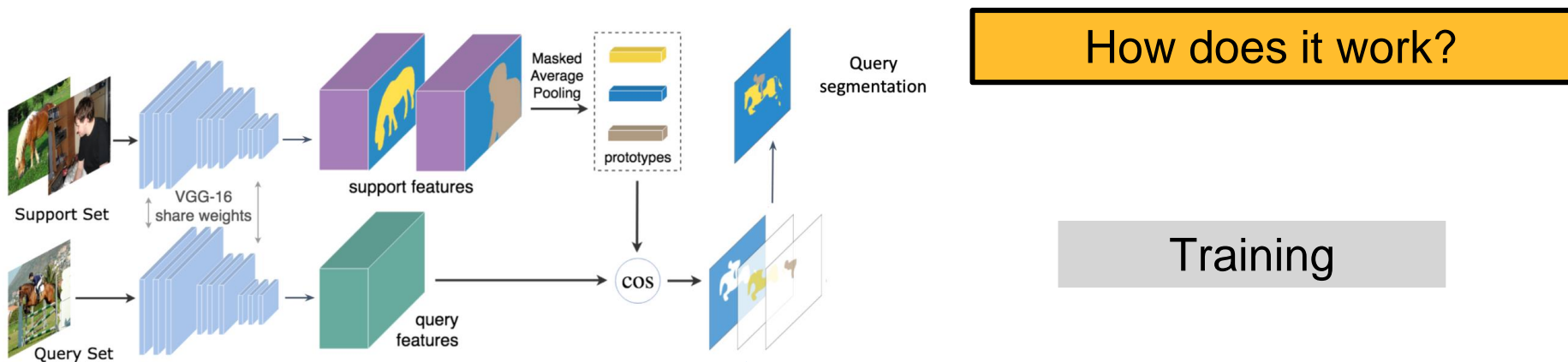
Prototype per class



$$p_c = \frac{1}{K} \sum_k \frac{\sum_{x,y} F_{c,k}^{(x,y)} \mathbb{1}[M_{c,k}^{(x,y)} = c]}{\sum_{x,y} \mathbb{1}[M_{c,k}^{(x,y)} = c]}$$

Over the k shots

Few-shot Segmentation

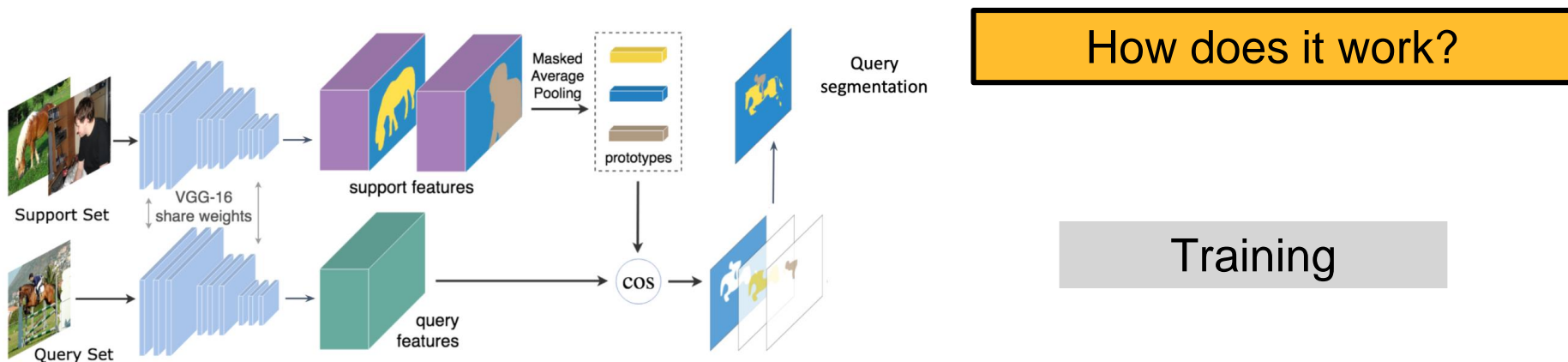


Prototype per class $\Rightarrow p_c = \frac{1}{K} \sum_k \frac{\sum_{x,y} F_{c,k}^{(x,y)} \mathbb{1}[M_{c,k}^{(x,y)} = c]}{\sum_{x,y} \mathbb{1}[M_{c,k}^{(x,y)} = c]}$

Softmax for class $j \Rightarrow \tilde{M}_{q;j}^{(x,y)} = \frac{\exp(-\alpha d(F_q^{(x,y)}, p_j))}{\sum_{p_j \in \mathcal{P}} \exp(-\alpha d(F_q^{(x,y)}, p_j))}$

Distance (e.g., cosine)

Few-shot Segmentation

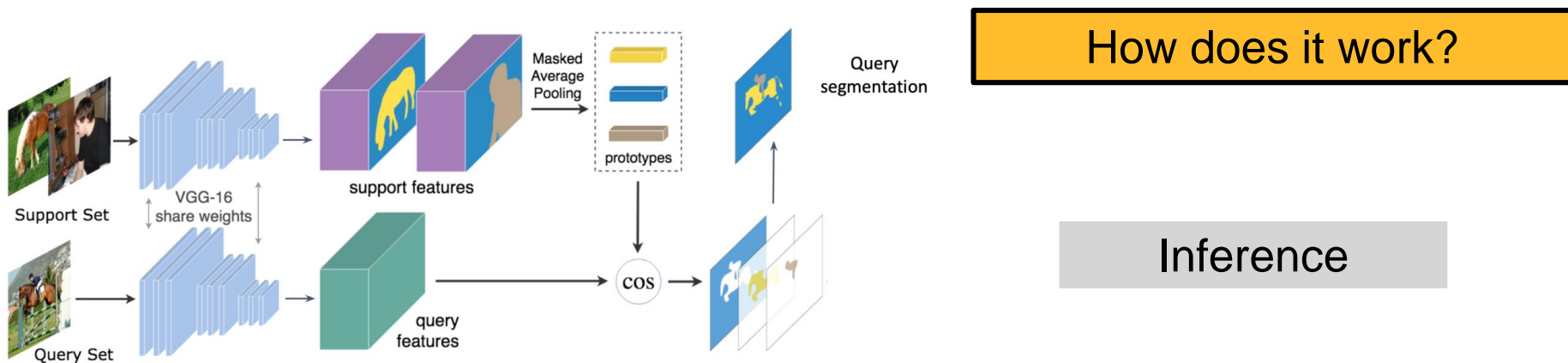


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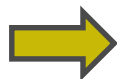
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Segmentation loss $\Rightarrow \mathcal{L}_{\text{seg}} = -\frac{1}{N} \sum_{x,y} \sum_{p_j \in \mathcal{P}} \mathbb{1}[M_q^{(x,y)} = j] \log \tilde{M}_{q;j}^{(x,y)}$

Few-shot Segmentation



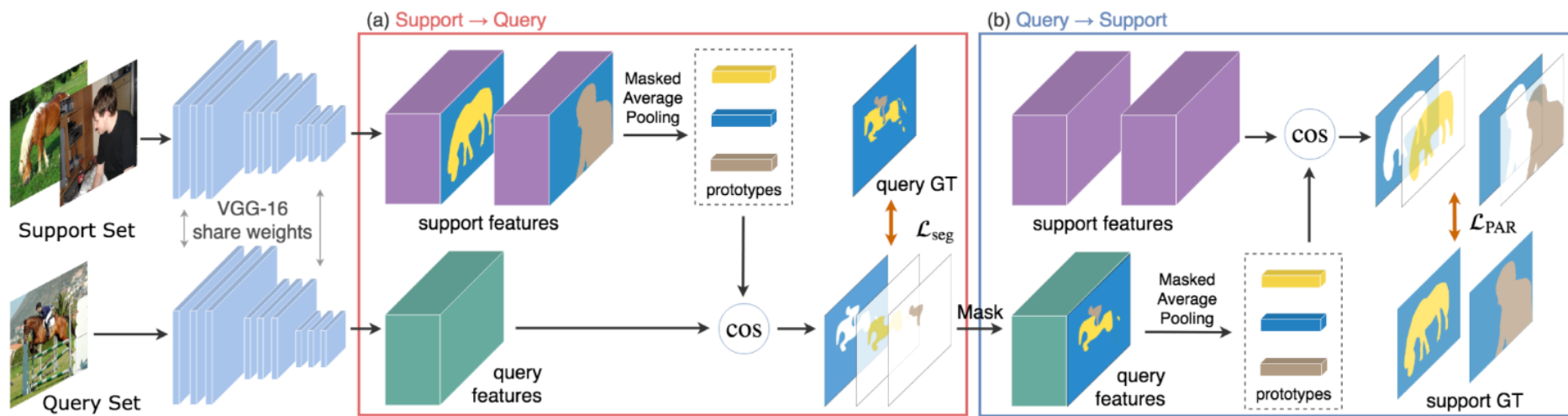
Softmax for class j



$$\tilde{M}_{q;j}^{(x,y)} = \frac{\exp(-\alpha d(F_q^{(x,y)}, p_j))}{\sum_{p_j \in \mathcal{P}} \exp(-\alpha d(F_q^{(x,y)}, p_j))}$$

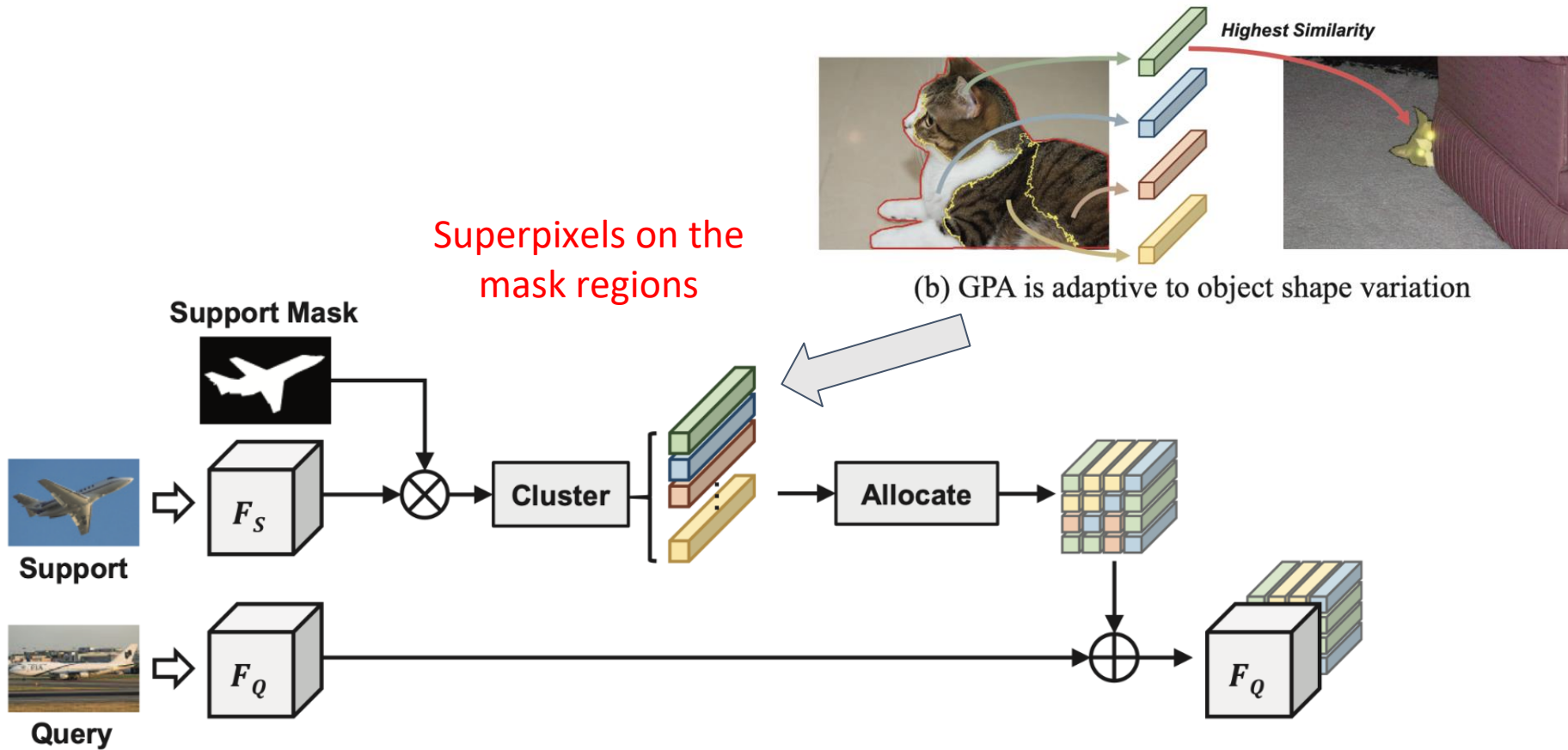
Few-shot Segmentation

Improving prototypes



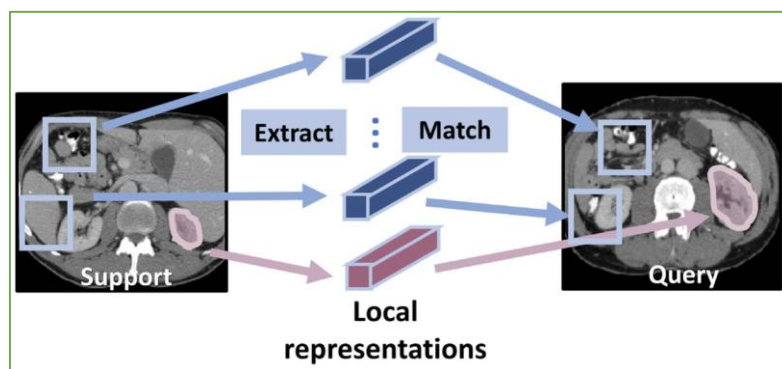
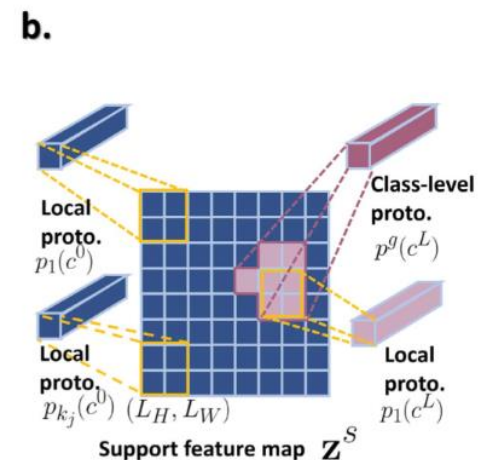
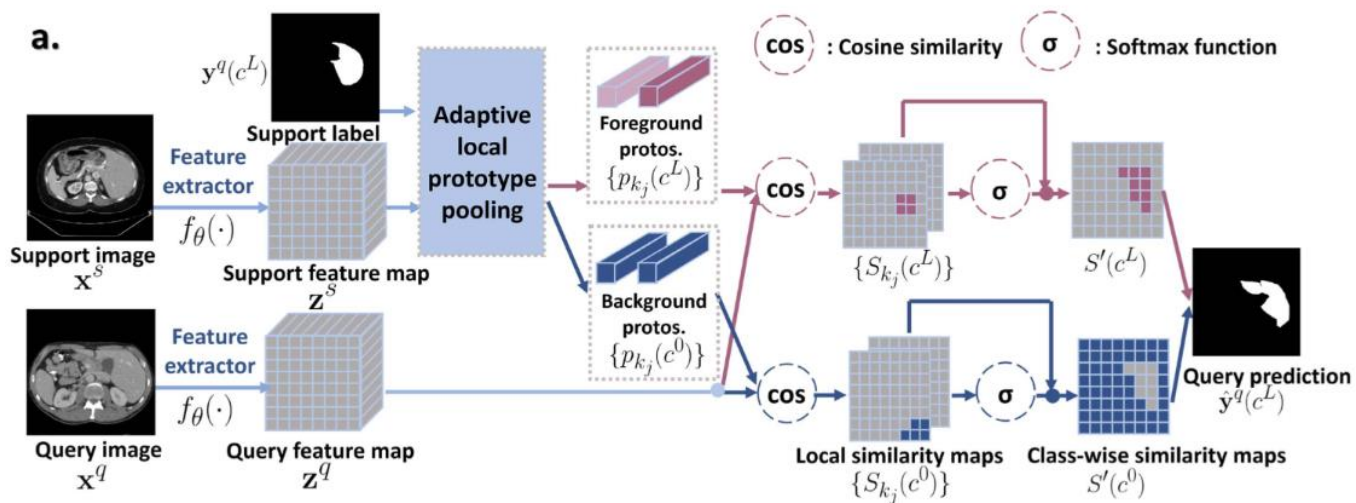
Few-shot Segmentation

Improving prototypes



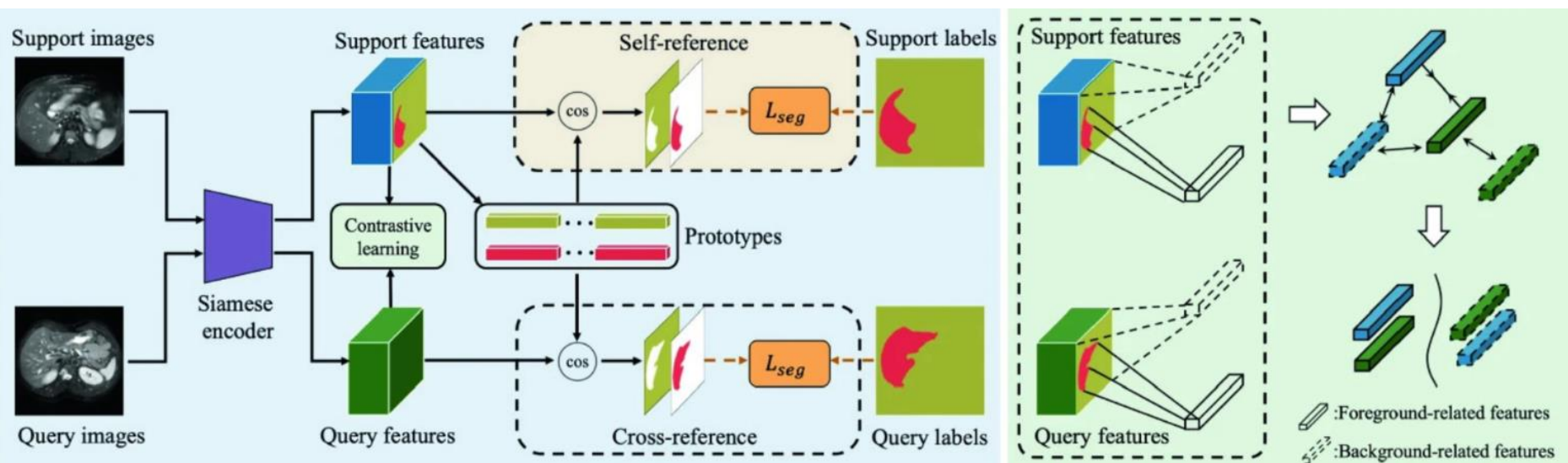
Few-shot Segmentation

Improving prototypes



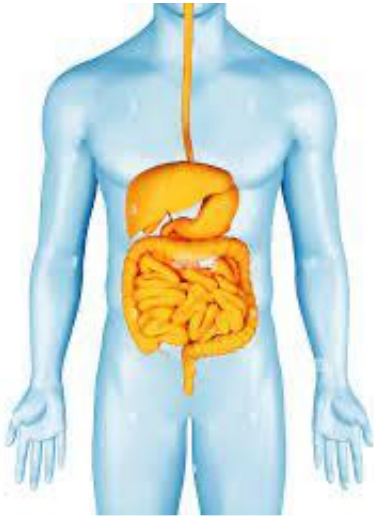
Few-shot Segmentation

Improving prototypes



Few-shot Segmentation

Limitations



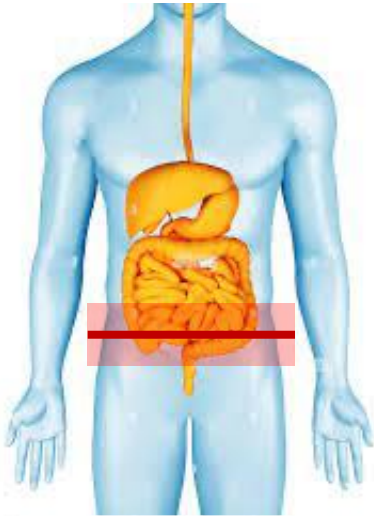
Support



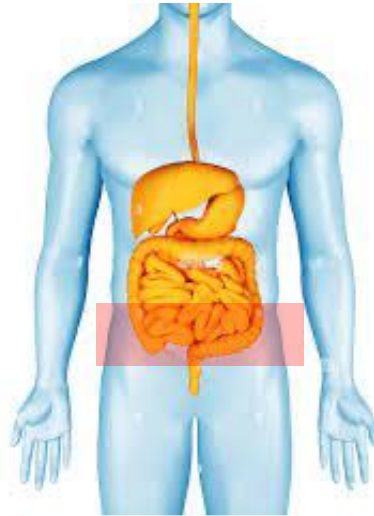
Query

Few-shot Segmentation

Limitations



Support

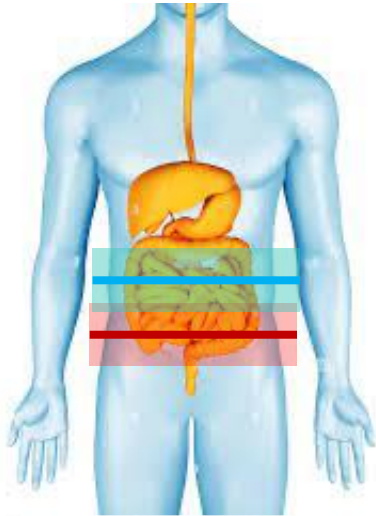


Query

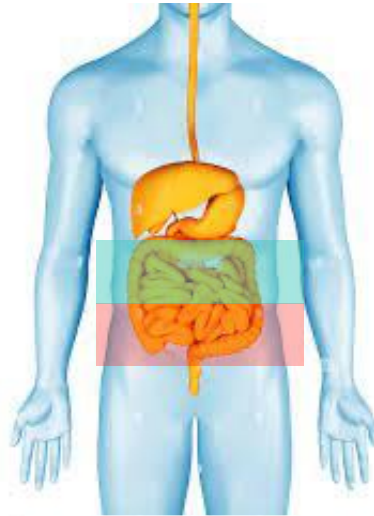
Chunk trick

Few-shot Segmentation

Limitations



Support

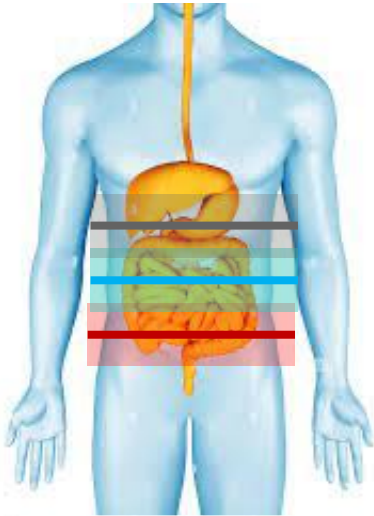


Query

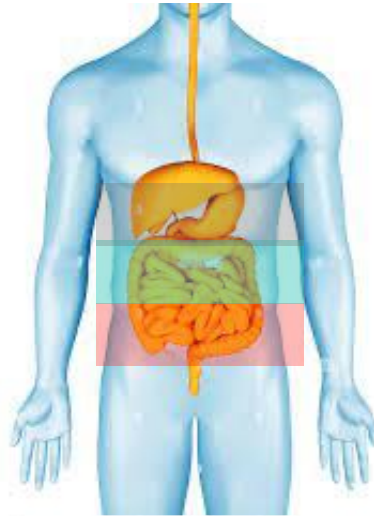
Chunk trick

Few-shot Segmentation

Limitations



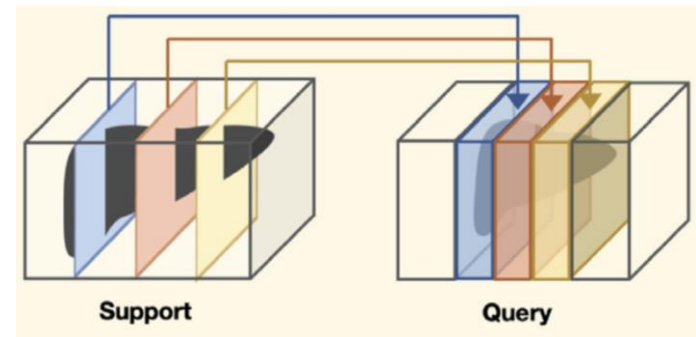
Support



Query

Chunk trick

The volume is pre-split into n chunks (n going from 3 to 12)



Few-shot Segmentation

Limitations
(both classification and segmentation)

Most meta-learning to learn



Convolutated meta-learning approaches



Models trained under the learning-to-learn paradigm cannot be re-used with different models



They are tailored to the same-task paradigm (e.g., models trained under 1-shot do not perform well when 5-shots are available)



Significant performance degradation under domain shift

*Vinyal et al, (Neurips '16),
Snell et al, (Neurips '17),
Sung et al, (CVPR '18),
Finn et al, (ICML'17),
Ravi et al, (ICLR'17),
Lee et al, (CVPR'19),
Hu et al, (ICLR '20),
Ye et al, (CVPR '20),
Ouyang et al, (TMI'22),...*

Few-shot learning

A few steps backwards

Inductive fine-tuning baseline

[Chen et al., ICLR'19]; [Tian et al., ECCV'20]; [Veilleux et al., NeurIPS'21];
[Dhillon et al., ICLR'20]; [Ziko et al., ICML'20]; [Boudiaf et al., NeurIPS'20];
[Boudiaf et al., CVPR'21]; [Hajimiri et al., CVPR'23]

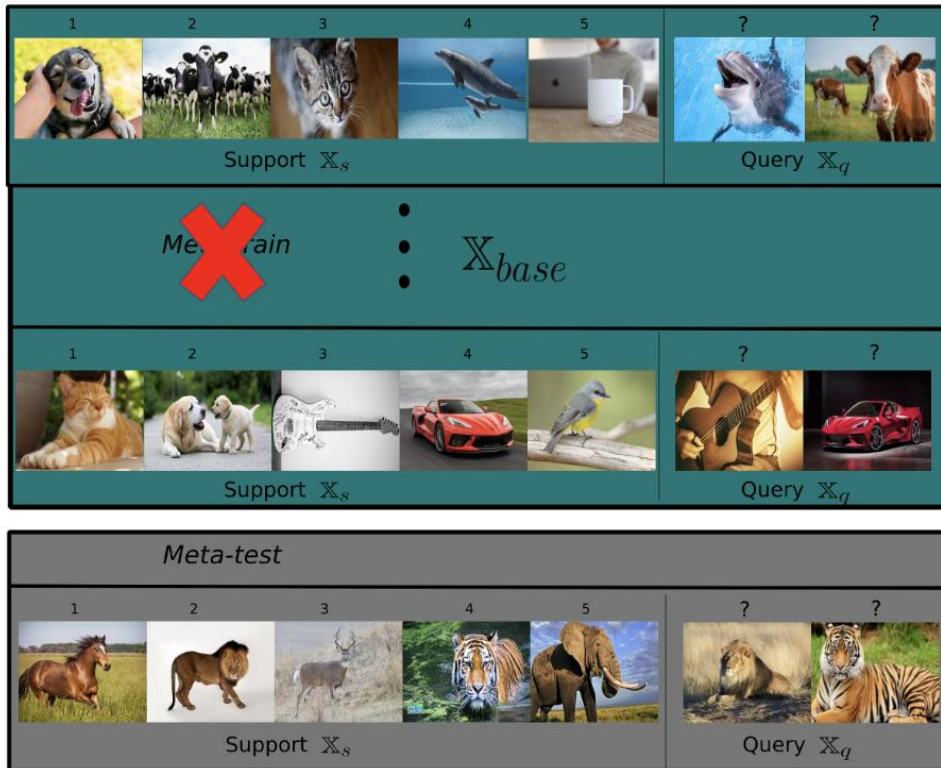
Entropy
regularization

Manifold
regularization

Mutual-information
regularization

Few-shot learning

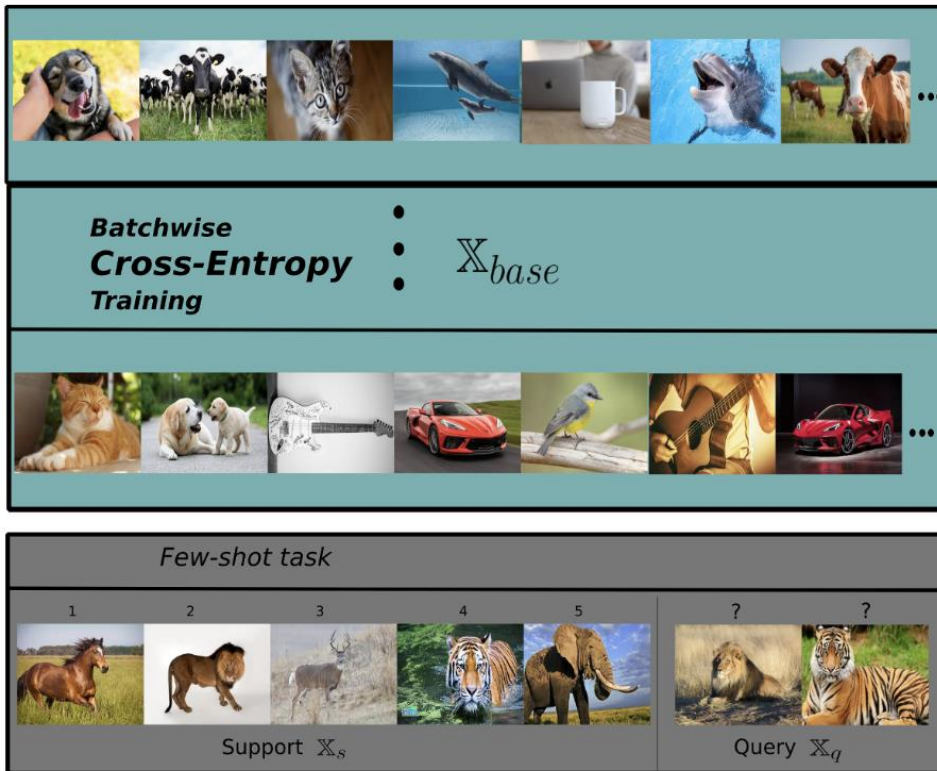
A few steps backwards



No need to
meta-train

Few-shot learning

A few steps backwards



Conventional training

Nearest prototype
[SimpleShot, Wang et al., Arxiv'19]

Cross-Entropy fine-tuning
[Closer look at FSC, Chen et al., ICLR'19]

Few-shot learning

Surprising results

Same domain

Method	Transd.	Backbone	<i>mini-ImageNet</i>		<i>tiered-ImageNet</i>		CUB	
			1-shot	5-shot	1-shot	5-shot	1-shot	5-shot
MAML [9]		ResNet-18	49.6	65.7	-	-	68.4	83.5
RelatNet [40]		ResNet-18	52.5	69.8	-	-	68.6	84.0
MatchNet [45]		ResNet-18	52.9	68.9	-	-	73.5	84.5
ProtoNet [38]		ResNet-18	54.2	73.4	-	-	73.0	86.6
MTL [39]	x	ResNet-12	61.2	75.5	-	-	-	-
vFSL [50]		ResNet-12	61.2	77.7	-	-	-	-
Neg-cosine [26]		ResNet-18	62.3	80.9	-	-	72.7	89.4
MetaOpt [22]		ResNet-12	62.6	78.6	66.0	81.6	-	-
SimpleShot [46]		ResNet-18	62.9	80.0	68.9	84.6	68.9	84.0
Distill [41]		ResNet-12	64.8	82.1	71.5	86.0	-	-
RelatNet + T [14]		ResNet-12	52.4	65.4	-	-	-	-
ProtoNet + T [14]		ResNet-12	55.2	71.1	-	-	-	-
MatchNet+T [14]		ResNet-12	56.3	69.8	-	-	-	-
TPN [28]		ResNet-12	59.5	75.7	-	-	-	-
TEAM [34]		ResNet-18	60.1	75.9	-	-	-	-
Ent-min [7]	✓	ResNet-12	62.4	74.5	68.4	83.4	-	-
CAN+T [14]		ResNet-12	67.2	80.6	73.2	84.9	-	-
LaplacianShot [51]		ResNet-18	72.1	82.3	79.0	86.4	81.0	88.7
TIM-ADM		ResNet-18	73.6	85.0	80.0	88.5	81.9	90.7
TIM-GD		ResNet-18	73.9	85.0	79.9	88.5	82.2	90.8

!!!!

!!!!

Few-shot learning

Surprising results

Domain shift

Methods	Backbone	<i>mini-ImageNet</i> → CUB	
		5-shot	
MatchNet [45]	ResNet-18	53.1	
MAML [9]	ResNet-18	51.3	
ProtoNet [38]	ResNet-18	62.0	
RelatNet [40]	ResNet-18	57.7	
SimpleShot [46]	ResNet-18	64.0	
GNN [42]	ResNet-10	66.9	
Neg-Cosine [26]	ResNet-18	67.0	
Baseline [5]	ResNet-18	65.6	
LaplacianShot [51]	ResNet-18	66.3	
TIM-ADM	ResNet-18	70.3	
TIM-GD	ResNet-18	71.0	

Few-shot learning

Example of a non meta-learning approach

Segmentation task

- * The initial model is trained over the base classes following standard segmentation training (i.e., CE)

Few-shot learning

Example of a non meta-learning approach

Segmentation task

* The initial model is trained over the base classes following standard segmentation training (i.e., CE)

$$\min -\frac{1}{|\mathcal{L}|} \sum_{p \in \mathcal{L}} l(\mathbf{y}^p, \mathbf{s}_\theta^p) - \lambda_{\mathcal{H}} \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j \log(\mathbf{s}_\theta^j) + \lambda_{KL} (\hat{\mathbf{s}}_\theta^{\mathcal{Q}} \log(\frac{\hat{\mathbf{s}}_\theta^{\mathcal{Q}}}{\tau}))$$

Few-shot learning

Example of a non meta-learning approach

Segmentation task

* The initial model is trained over the base classes following standard segmentation training (i.e., CE)

$$\min \left(-\frac{1}{|\mathcal{L}|} \sum_{p \in \mathcal{L}} l(\mathbf{y}^p, \mathbf{s}_\theta^p) - \lambda_{\mathcal{H}} \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j \log(\mathbf{s}_\theta^j) + \lambda_{KL} (\hat{\mathbf{s}}_\theta^{\mathcal{Q}} \log(\frac{\hat{\mathbf{s}}_\theta^{\mathcal{Q}}}{\tau})) \right)$$

CE on supervised images (i.e., support)



Few-shot learning

Example of a non meta-learning approach

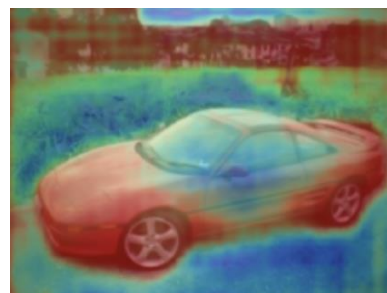
Segmentation task

* The initial model is trained over the base classes following standard segmentation training (i.e., CE)

$$\min -\frac{1}{|\mathcal{L}|} \sum_{p \in \mathcal{L}} l(\mathbf{y}^p, \mathbf{s}_\theta^p) - \lambda_{\mathcal{H}} \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j \log(\mathbf{s}_\theta^j) + \lambda_{KL} (\hat{\mathbf{s}}_\theta^{\mathcal{Q}} \log(\frac{\hat{\mathbf{s}}_\theta^{\mathcal{Q}}}{\tau}))$$

CE on supervised images (i.e., support)

Entropy on unsupervised images (i.e., queries)



Few-shot learning

Example of a non meta-learning approach

Segmentation task

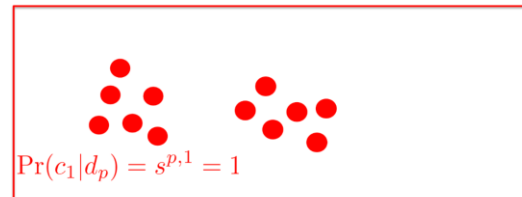
* The initial model is trained over the base classes following standard segmentation training (i.e., CE)

$$\min \left(-\frac{1}{|\mathcal{L}|} \sum_{p \in \mathcal{L}} l(\mathbf{y}^p, \mathbf{s}_\theta^p) - \lambda_{\mathcal{H}} \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j \log(\mathbf{s}_\theta^j) + \lambda_{KL} (\hat{\mathbf{s}}_\theta^{\mathcal{Q}} \log \left(\frac{\hat{\mathbf{s}}_\theta^{\mathcal{Q}}}{\tau} \right)) \right)$$

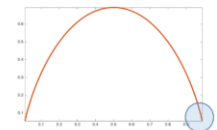
CE on supervised images (i.e., support)

Entropy on unsupervised images (i.e., queries)

Problem if only the entropy is minimized!



This bad solution also has a minimum entropy!!!



Few-shot learning

Example of a non meta-learning approach

Segmentation task

* The initial model is trained over the base classes following standard segmentation training (i.e., CE)

$$\min \quad -\frac{1}{|\mathcal{L}|} \sum_{p \in \mathcal{L}} l(\mathbf{y}^p, \mathbf{s}_\theta^p) - \lambda_{\mathcal{H}} \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j \log(\mathbf{s}_\theta^j) + \lambda_{KL} (\hat{\mathbf{s}}_\theta^{\mathcal{Q}} \log \left(\frac{\hat{\mathbf{s}}_\theta^{\mathcal{Q}}}{\tau} \right))$$

CE on supervised images (i.e., support)

Entropy on unsupervised images (i.e., queries)

KL (to impose target proportions)

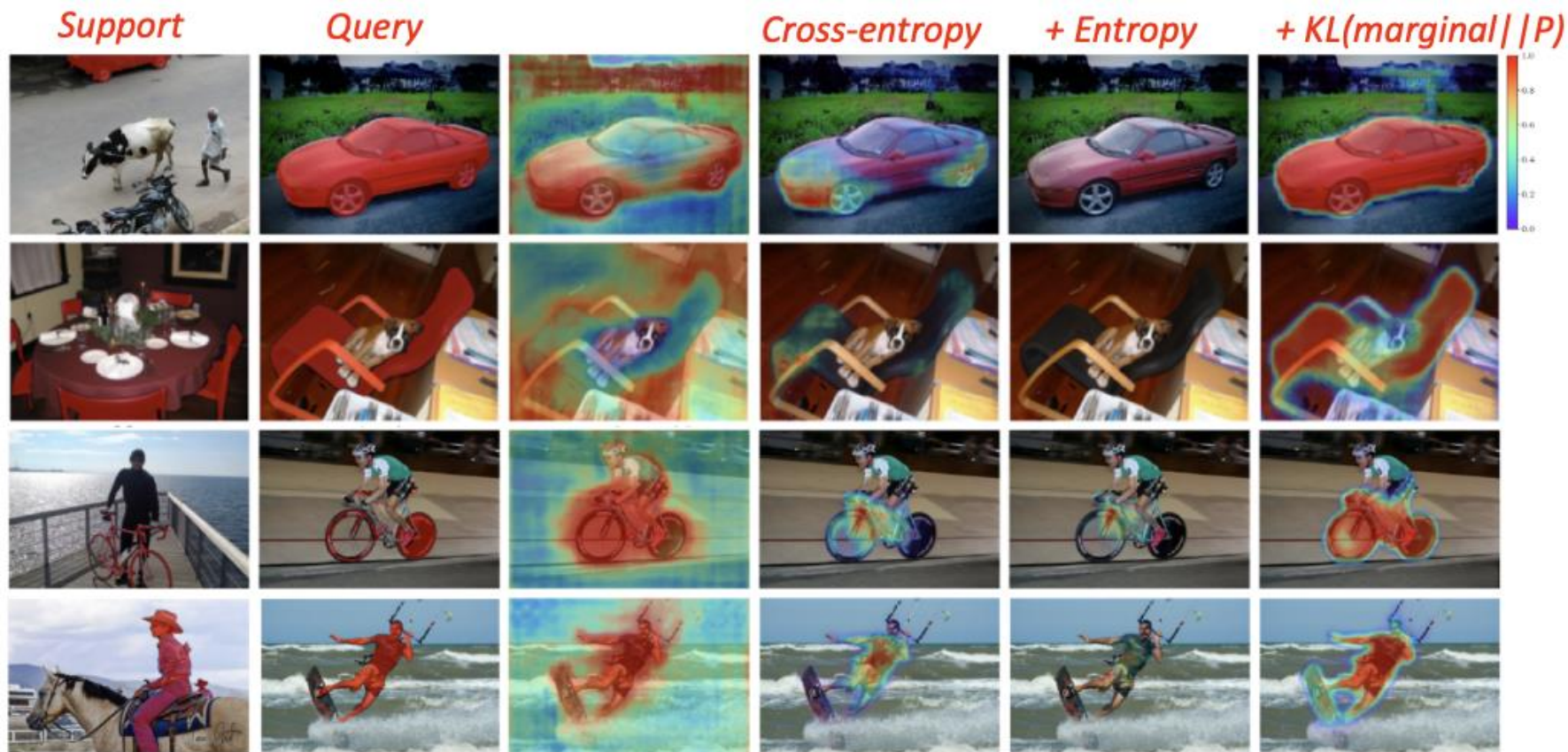
$$\hat{\mathbf{s}}_\theta^{\mathcal{Q}} = \frac{1}{|\mathcal{Q}|} \sum_{j \in \mathcal{Q}} \mathbf{s}_\theta^j$$

A priori proportion $\longrightarrow \tau \in [0, 1]$

Few-shot learning

Example of a non meta-learning approach

Segmentation task

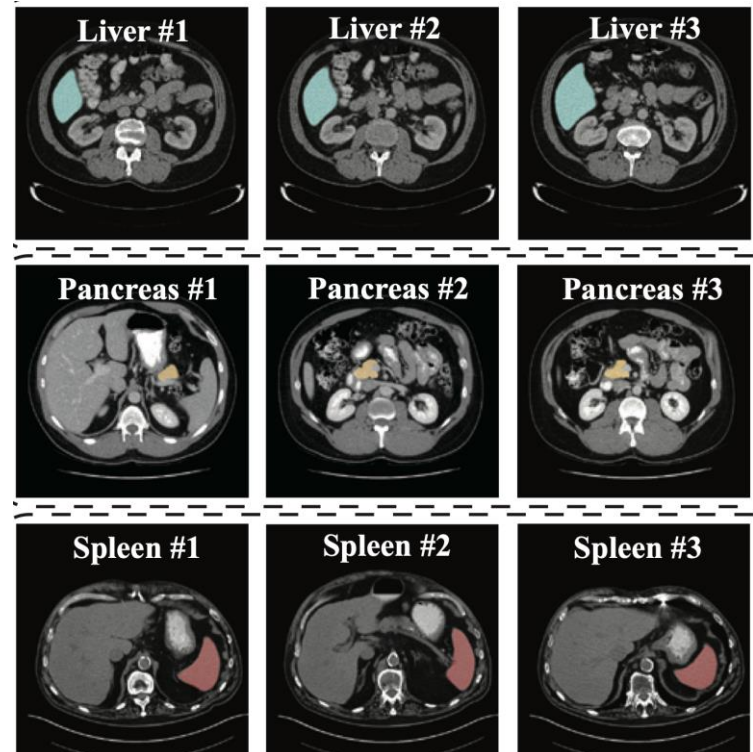
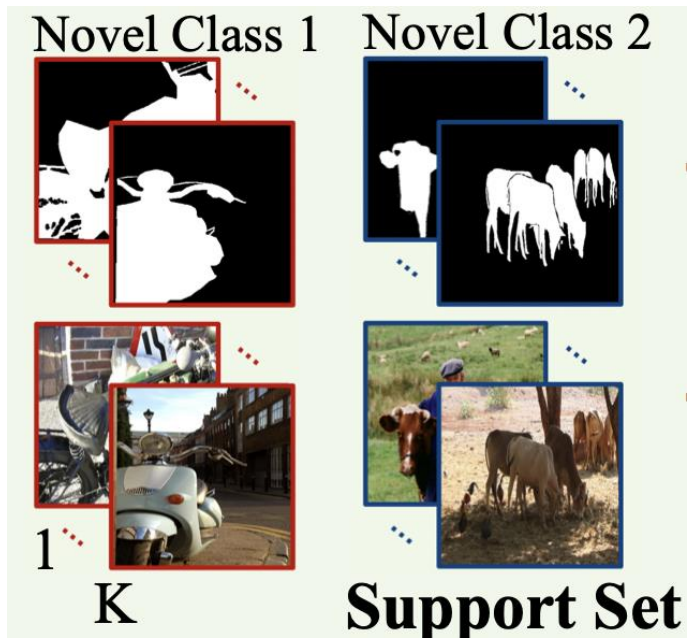


Few-shot learning

Limitations of few-shot segmentation

Class-ambiguity (in the context of generalization)

Standard FSS training assumes what is not the target class is background.

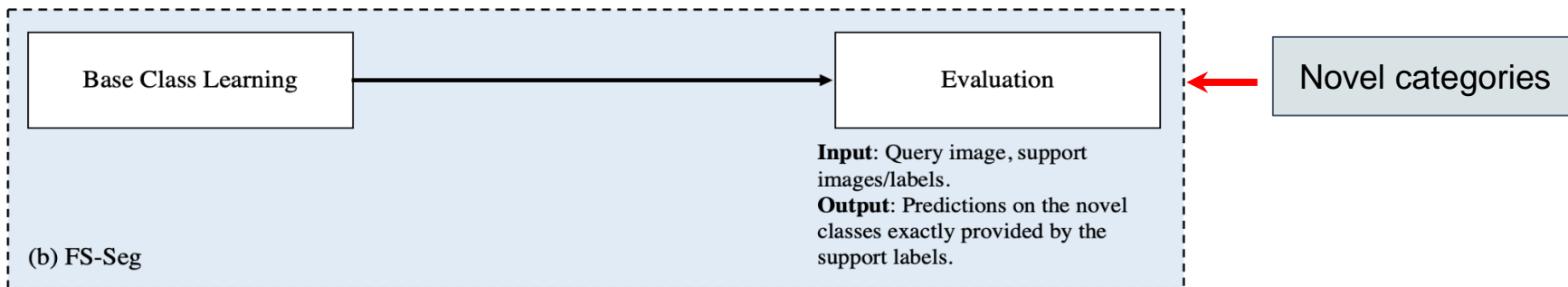


Few-shot learning

Limitations of few-shot segmentation

They cannot keep the performance on base classes (generalized segmentation)

Standard FSS setting:

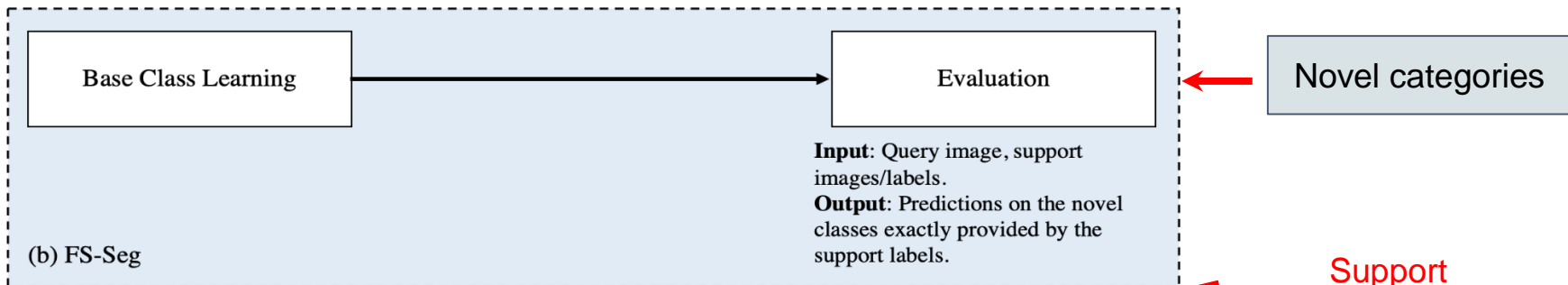


Few-shot learning

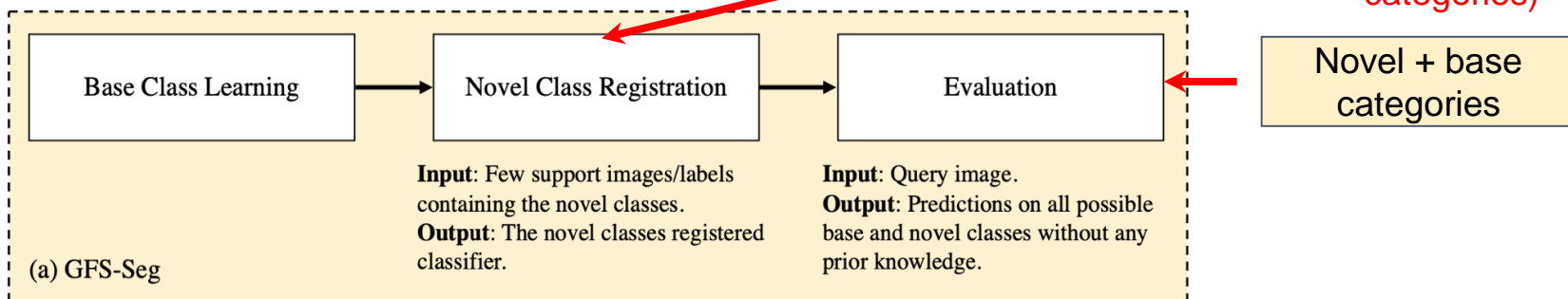
Limitations of few-shot segmentation

They cannot keep the performance on base classes (generalized segmentation)

Standard FSS setting:



Generalized FSS setting:



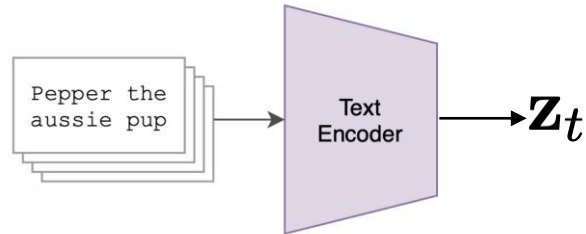
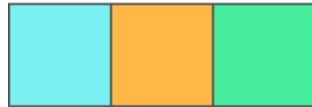
Efficient adaptation

Foundation models

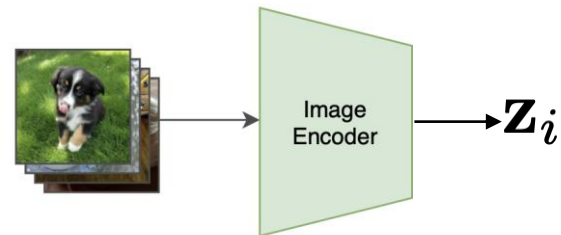
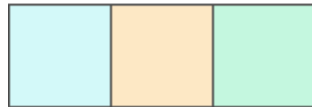
Preliminaries
(CLIP)

For a given batch

3 images



Corresponding texts



Efficient adaptation

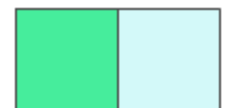
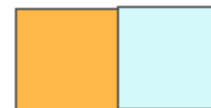
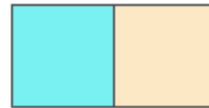
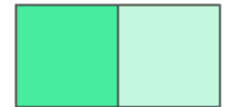
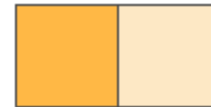
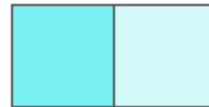
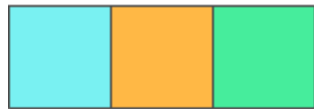
Foundation models

Preliminaries
(CLIP)

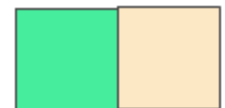
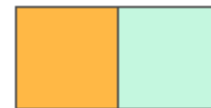
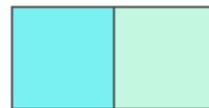
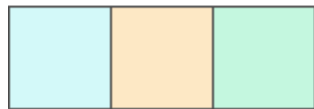
For a given batch

We generate image-text pairs with all the images-texts

3 images



Corresponding texts



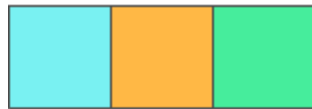
Efficient adaptation

Foundation models

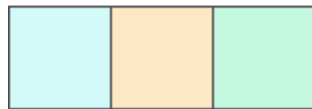
Preliminaries
(CLIP)

For a given batch

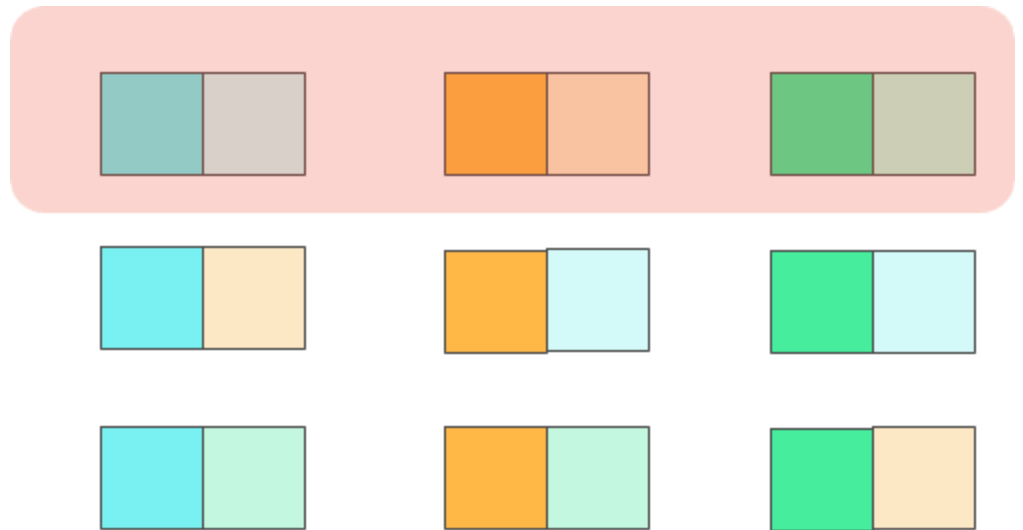
3 images



Corresponding texts



We generate image-text pairs with all the images-texts



Maximize the cosine distance $(\mathbf{z}_i, \mathbf{t}_i)$

Efficient adaptation

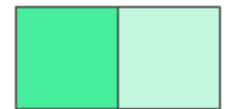
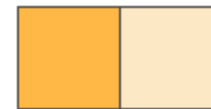
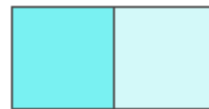
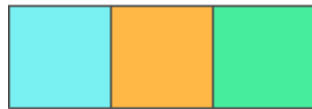
Foundation models

Preliminaries
(CLIP)

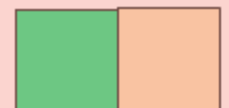
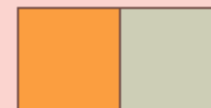
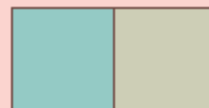
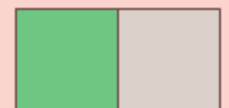
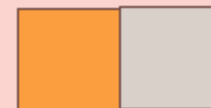
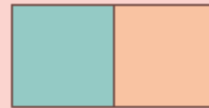
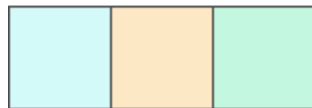
For a given batch

We generate image-text paris with all the
images-texts

3 images



Corresponding texts



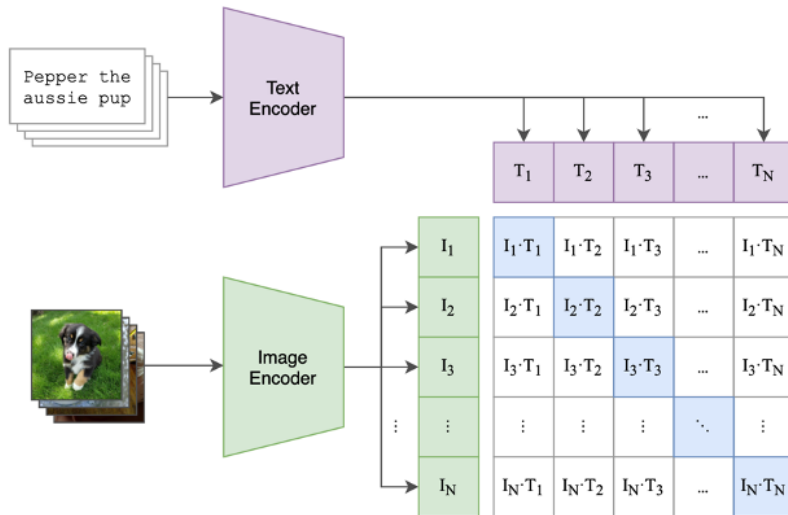
Minimize the cosine distance $(\mathbf{z}_i, \mathbf{t}_i)$

Efficient adaptation

Foundation models

Preliminaries
(CLIP)

We generate image-text pairs with all the images-texts

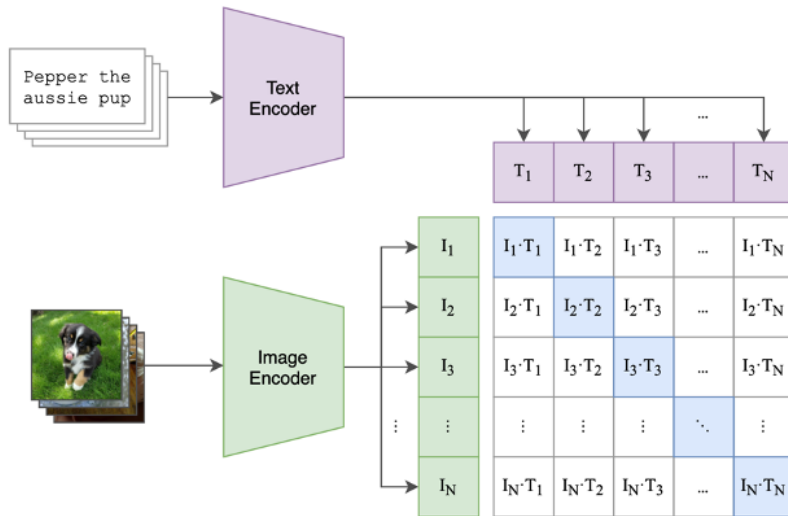


Efficient adaptation

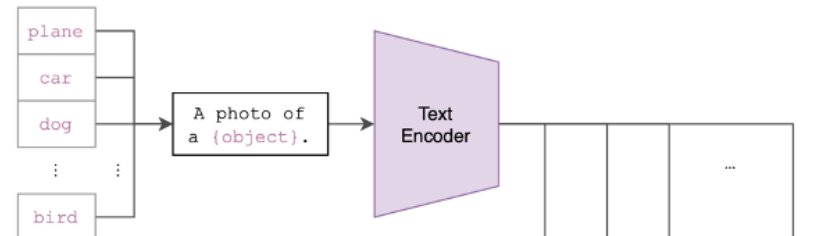
Foundation models

Preliminaries
(CLIP)

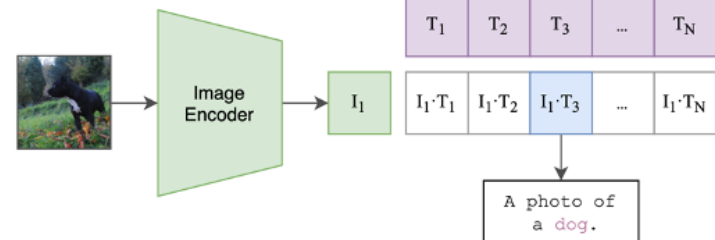
Inference (novel classes)



(2) Create dataset classifier from label text



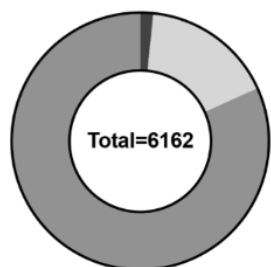
(3) Use for zero-shot prediction



Efficient adaptation

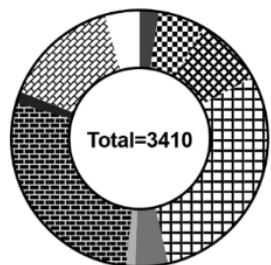
Foundation models

Models that are trained on a large set of labeled datasets



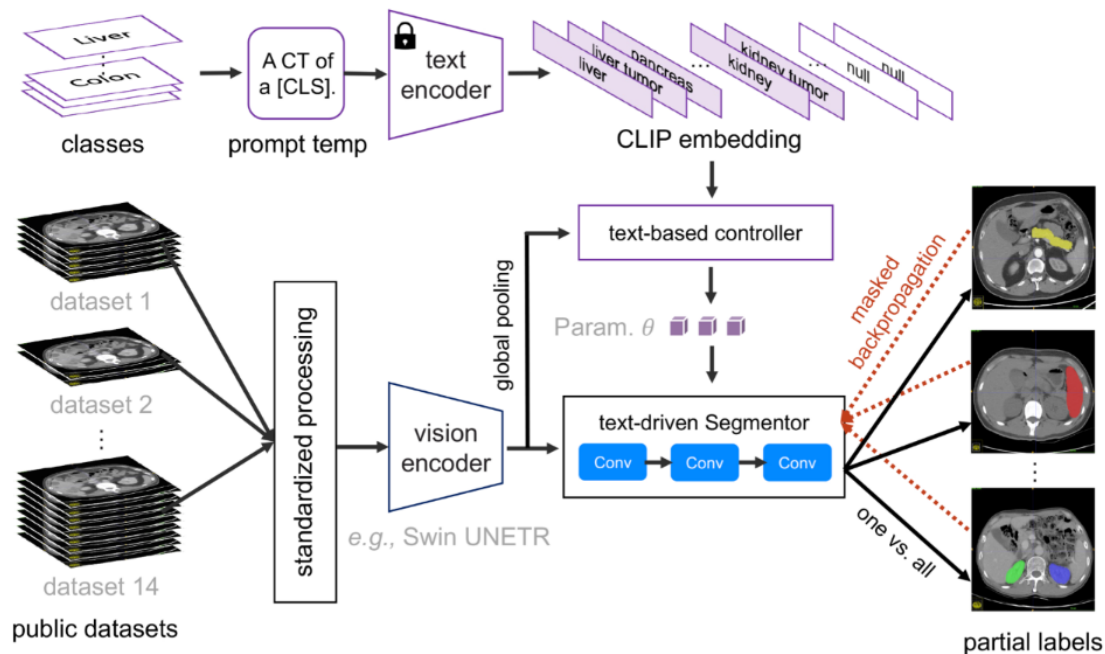
for testing

- 100 3D-IRCADb (13;0)
- 1024 TotalSegmentator (104;0)
- 5038 JHH (21;0)



for training

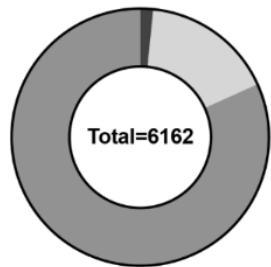
- 82 Pancreas-CT (1;0)
- 201 LiTS (1;1)
- 300 KiTS (1;1)
- 1000 AbdomenCT-1K (4;0)
- 140 CT-ORG (4;0)
- 40 CHAOS (4;0)
- 947 MSD (7;4)
- 50 BTCV (13;0)
- 500 AMOS (15;0)
- 150 WORD (16;0)



Efficient adaptation

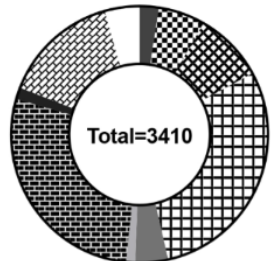
Foundation models

Models that are trained on a large set of labeled datasets



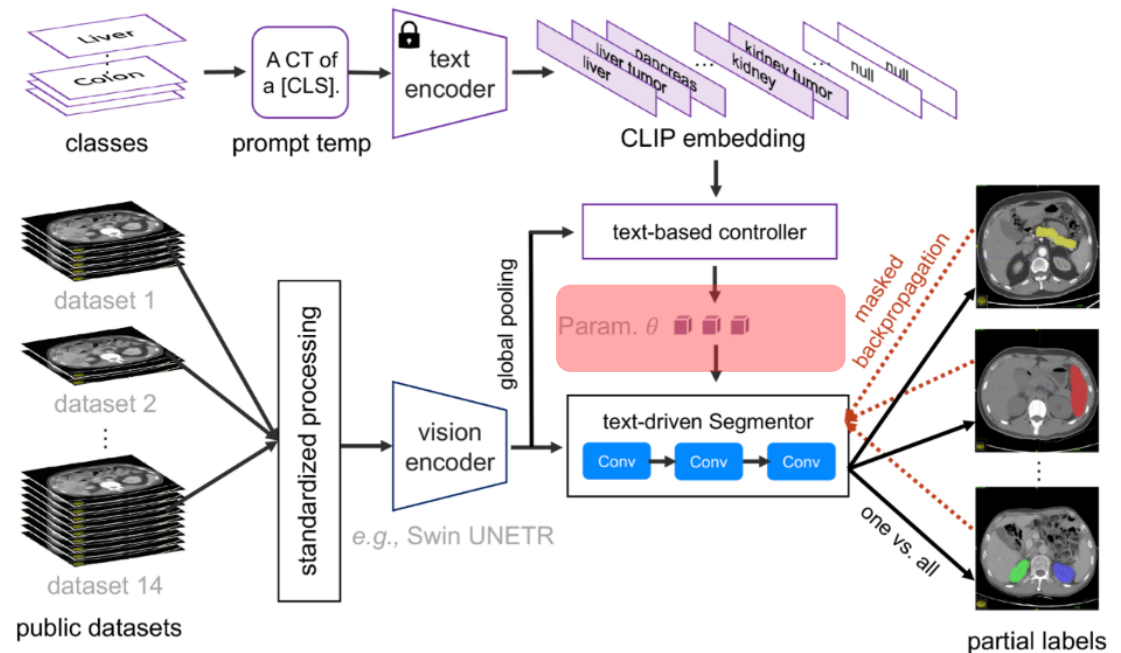
for testing

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for training

- 82 Pancreas-CT (1;0)
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- 50 BTCV (13;0)
- 500 AMOS (15;0)
- 150 WORD (16;0)

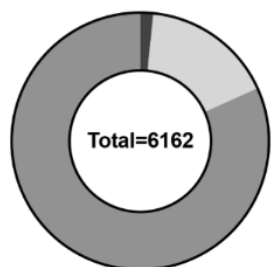


Only that is adapted

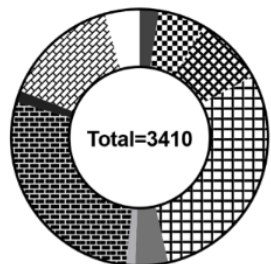
Efficient adaptation

Foundation models

Models that are trained on a large set of labeled datasets



for testing



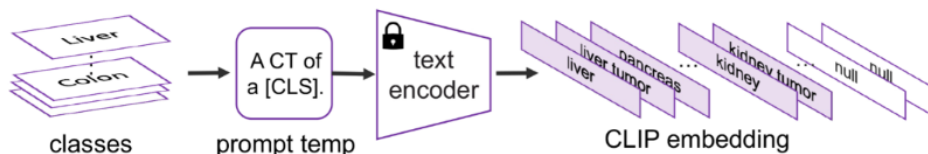
for training

- 100 3D-IRCADb (13;0)
- 1024 TotalSegmentator (104;0)
- 5038 JHH (21;0)

Still requires a substantial amount of labeled samples for adaptation

150 WORD (16;0)

dataset 14
public datasets

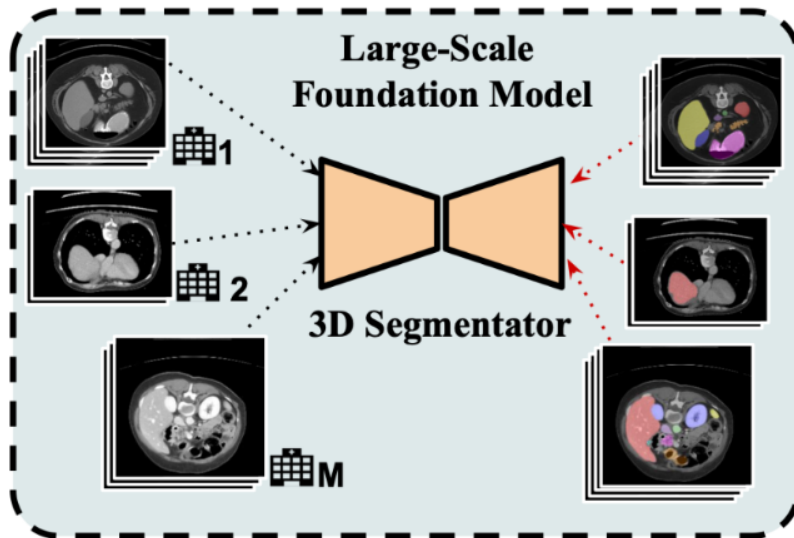


Only that is adapted

Efficient adaptation

Foundation models

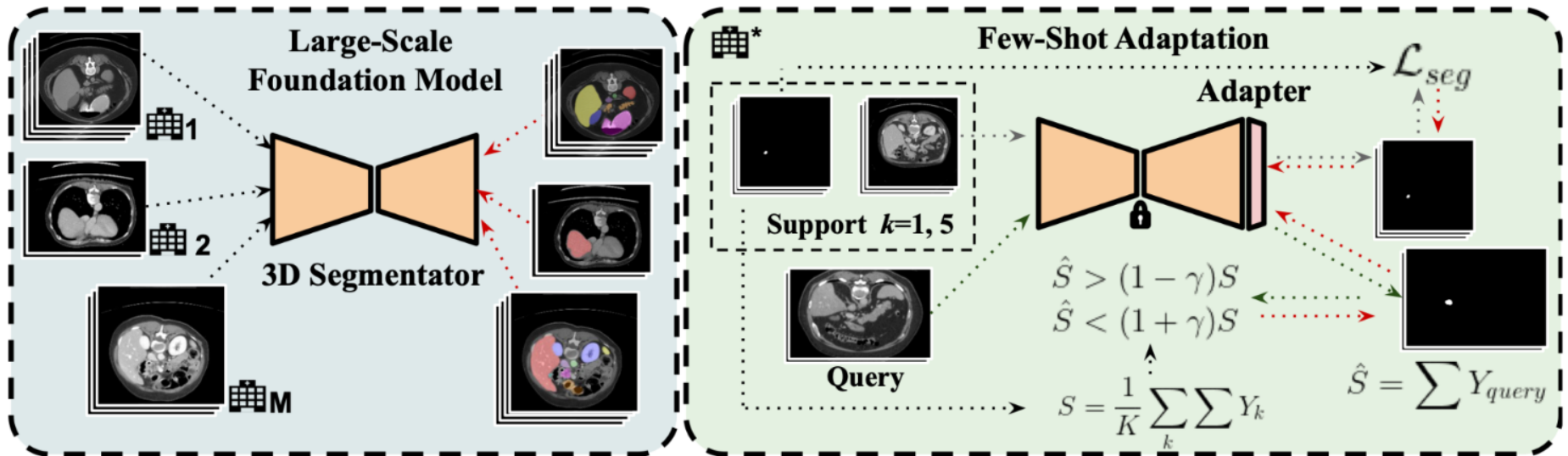
Trained on a large set of labeled datasets



Efficient adaptation

Foundation models

Adaptation is done only using k shots



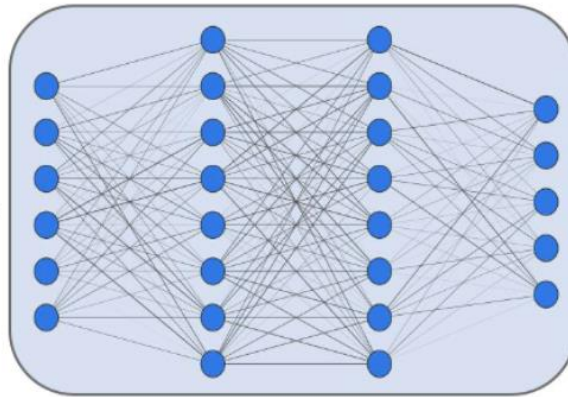
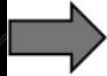
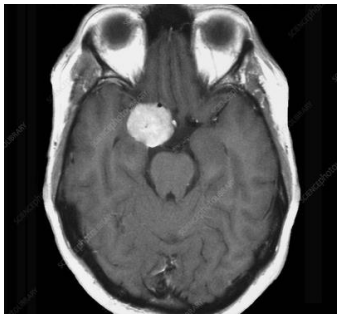
Take home message

- Few shot learning can alleviate the problem of scarce labeled data.
- Recent literature has taken a step-back (regarding the meta-learning or *learning to learn* paradigm)
- If you have prior knowledge, use it.
- Foundation models with efficient adaptation could be a realistic alternative.

Calibration

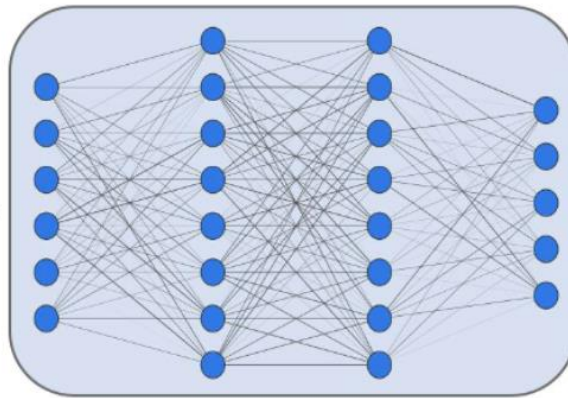
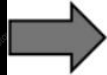
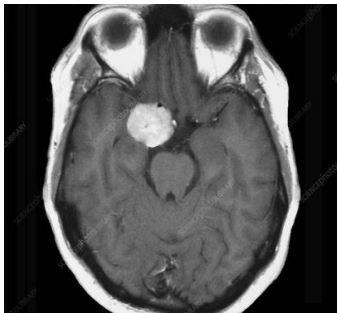
Motivation

Which model would you choose?



DNN

Accuracy: 99%

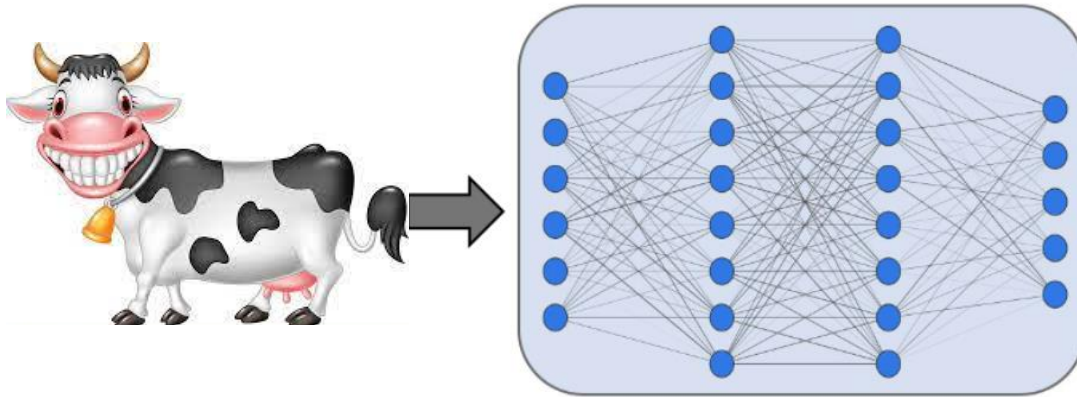


DNN

Accuracy: 92%

Motivation

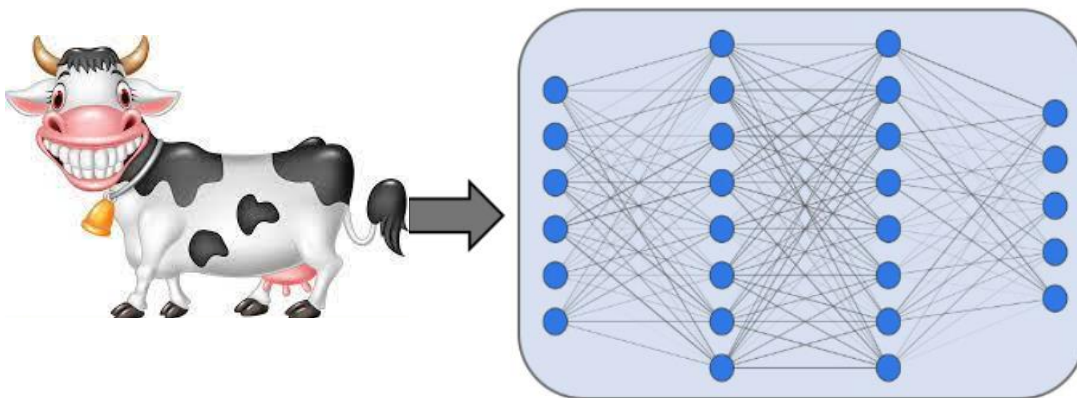
Which model would you choose?



DNN

Accuracy: 99%

Prediction:
'Tumour at 100%'



DNN

Accuracy: 92%

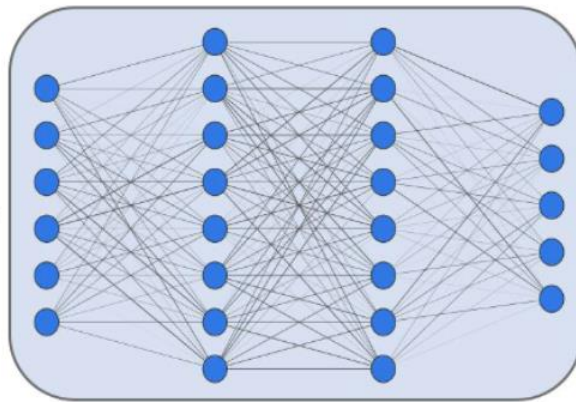
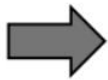
Prediction:
'I do not know'

Problem

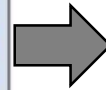
Standard loss functions

Cross-entropy

The way we provide the labels (one-hot) encourages the network to have low-entropy predictions



DNN



SoftMax probabilities

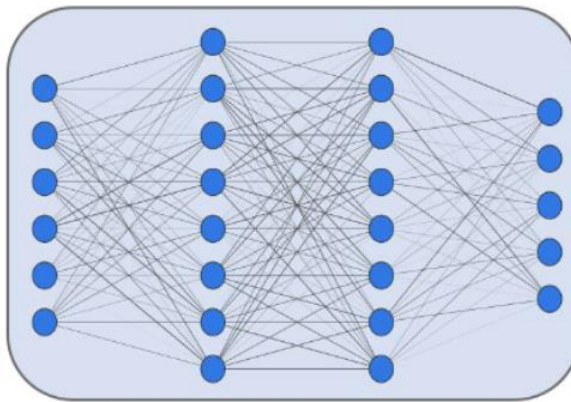
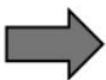
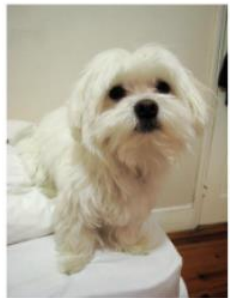
$$\mathbf{s} = [s_0, s_1, \dots, s_{N-1}]$$

Problem

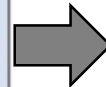
Standard loss functions

Cross-entropy

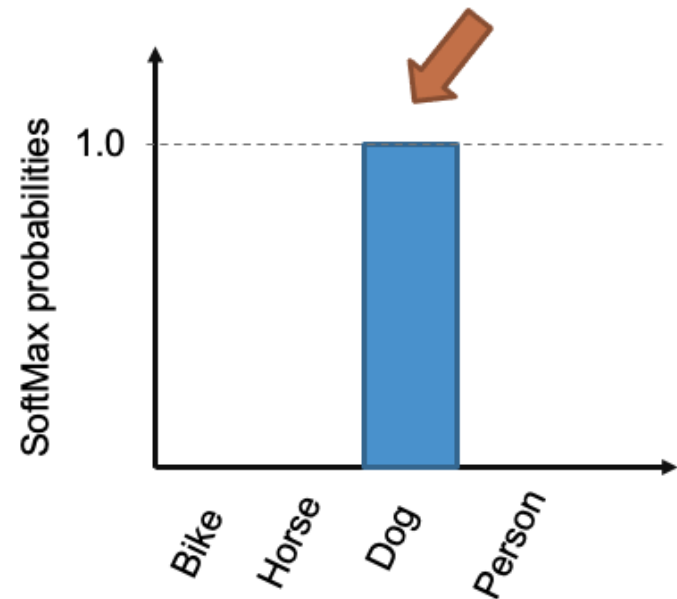
The way we provide the labels (one-hot) encourages the network to have low-entropy predictions



DNN



Target objective when training a neural network with CE



$$\mathbf{s} = [s_0, s_1, \dots, s_{N-1}]$$

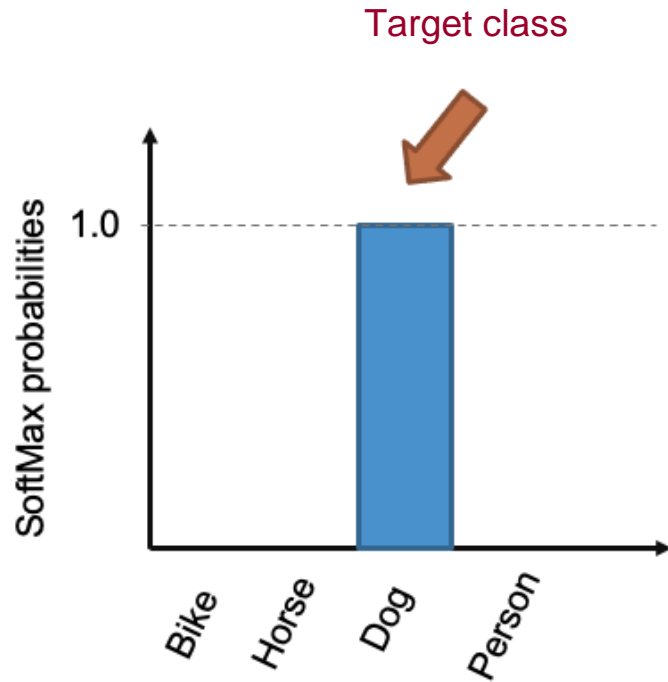
$$\mathbf{y} = [y_0, y_1, \dots, y_{N-1}]$$

Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.

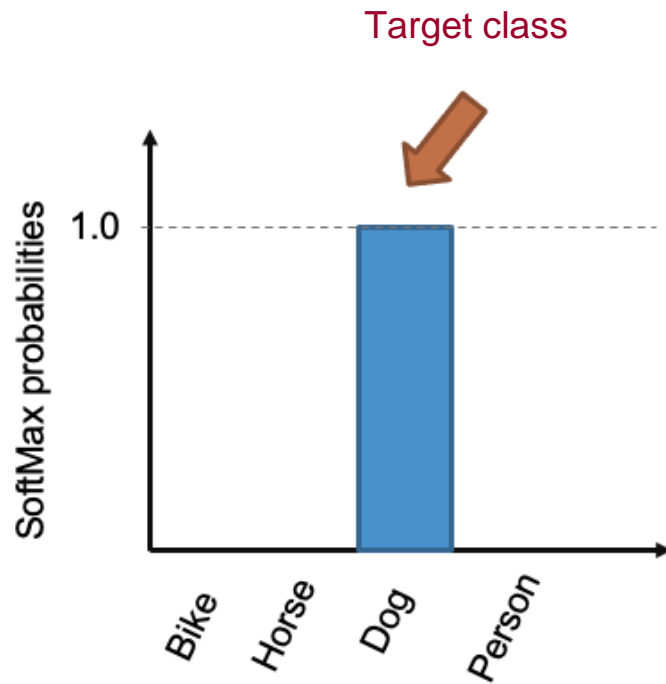


Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.



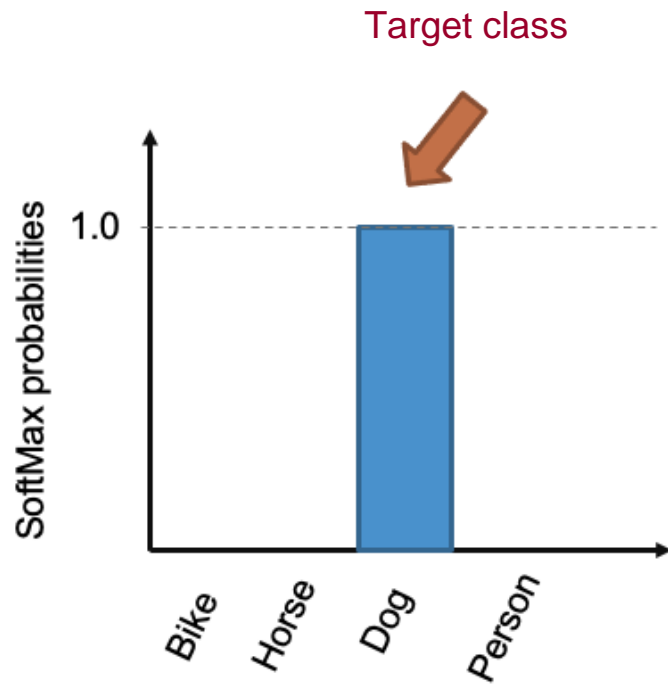
$$\mathcal{L}_{CE}(y, \hat{y}) = -\frac{1}{n} \sum_{i=1}^n \sum_{k=1}^K y_i^k \log(\hat{y}_i^k)$$

Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.



$$\mathcal{L}_{CE}(y, \hat{y}) = -\frac{1}{n} \sum_{i=1}^n \sum_{k=1}^K y_i^k \log(\hat{y}_i^k)$$

$$\mathcal{L}_{CE}(y, \hat{y}) = -\frac{1}{n} \sum_{i=1}^n (y_i^0 \log \hat{y}_i^0 + y_i^1 \log \hat{y}_i^1 + y_i^2 \log \hat{y}_i^2 + y_i^3 \log \hat{y}_i^3)$$

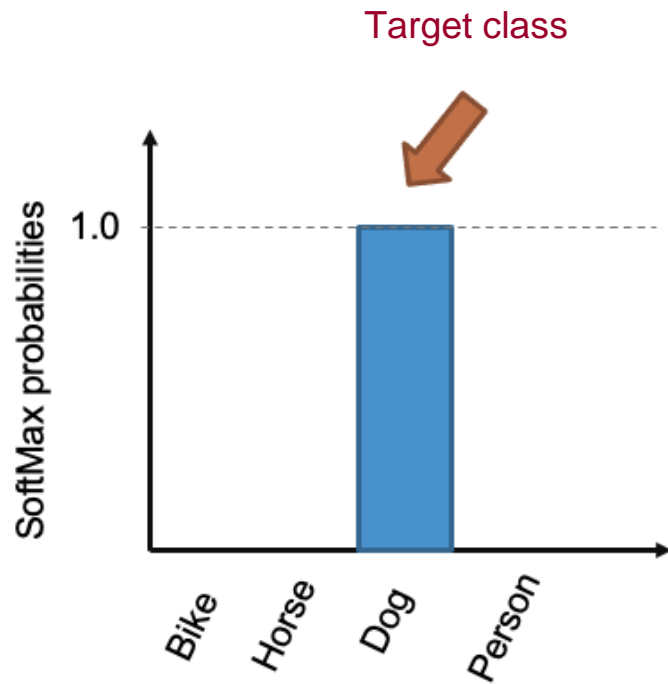
Assume
 $y=[0,1,0,0]$

Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.



$$\mathcal{L}_{CE}(y, \hat{y}) = -\frac{1}{n} \sum_{i=1}^n \sum_{k=1}^K y_i^k \log(\hat{y}_i^k)$$

$$\mathcal{L}_{CE}(y, \hat{y}) = -\frac{1}{n} \sum_{i=1}^n (y_i^0 \log \hat{y}_i^0 + y_i^1 \log \hat{y}_i^1 + y_i^2 \log \hat{y}_i^2 + y_i^3 \log \hat{y}_i^3)$$

Assume
 $y=[0,1,0,0]$

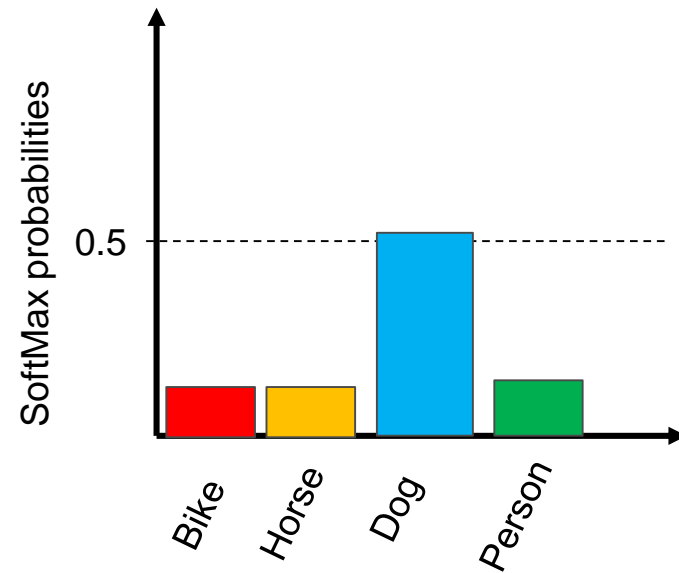
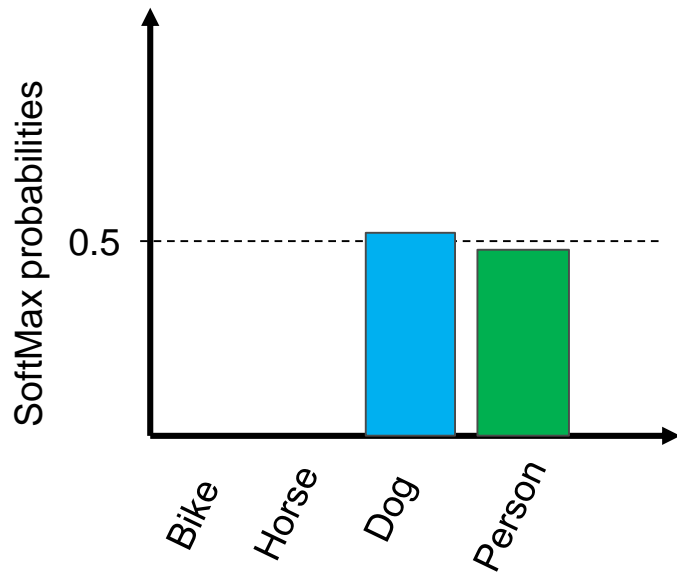
$$\mathcal{L}_{CE}(y, \hat{y}) = -(y_i^1 \log \hat{y}_i^1)$$

Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.



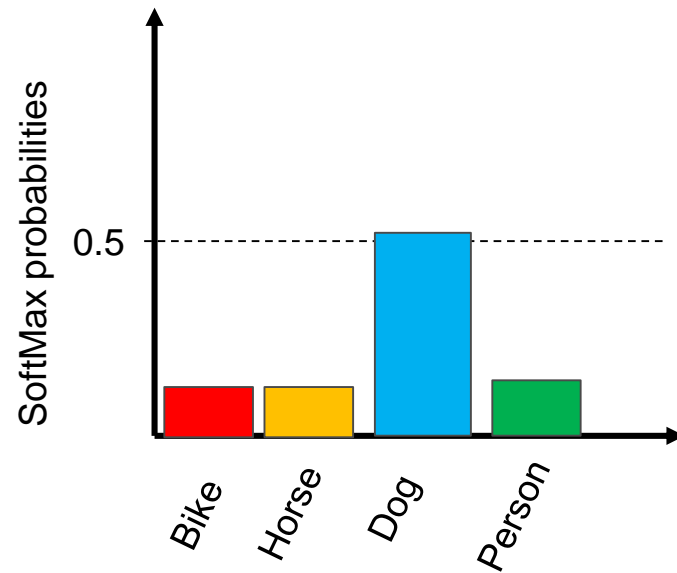
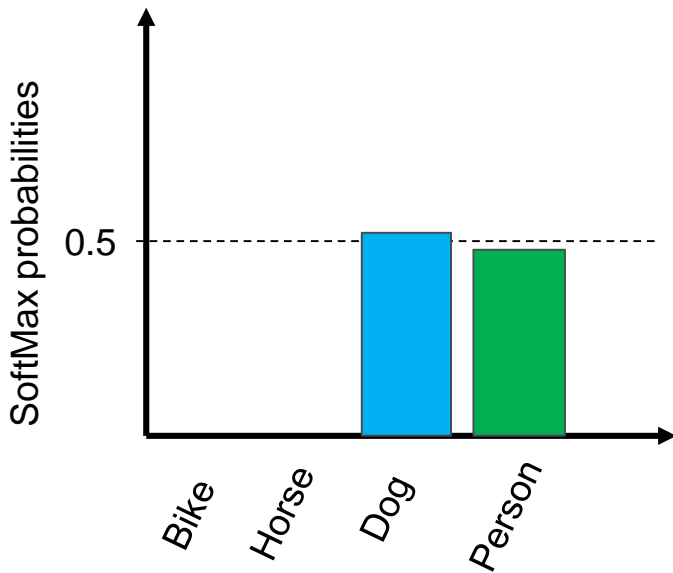
Which is the value of the CE in these two examples?

Problem

Standard loss functions

Cross-entropy

The supervision provided by cross-entropy is suboptimal for non-target classes in a multi-class scenario.



Exactly the same!!

Existing methods

Post-processing

We change the distribution of the softmax vector

Temperature Scaling
(Platts extension)

$$s_i = \frac{\exp(\hat{o}_i/T)}{\sum_{j=1}^K \exp(\hat{o}_j/T)}$$

Existing methods

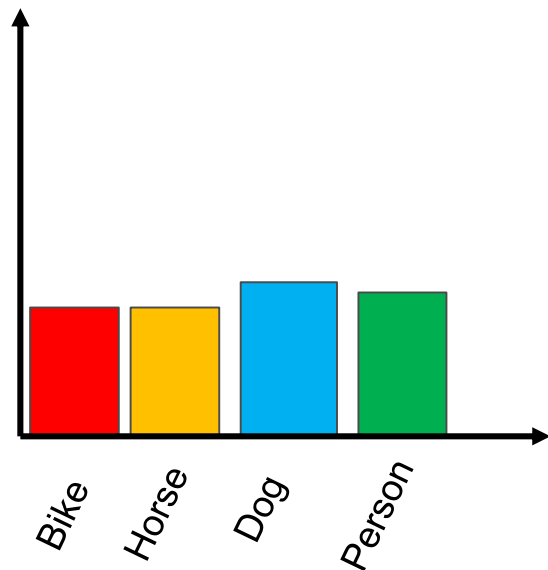
Post-processing

We change the distribution of the softmax vector

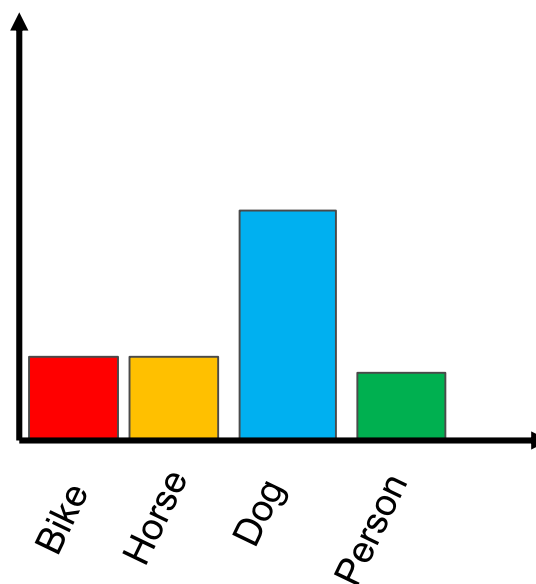
Temperature Scaling
(Platts extension)

$$s_i = \frac{\exp(\hat{o}_i/T)}{\sum_{j=1}^K \exp(\hat{o}_j/T)}$$

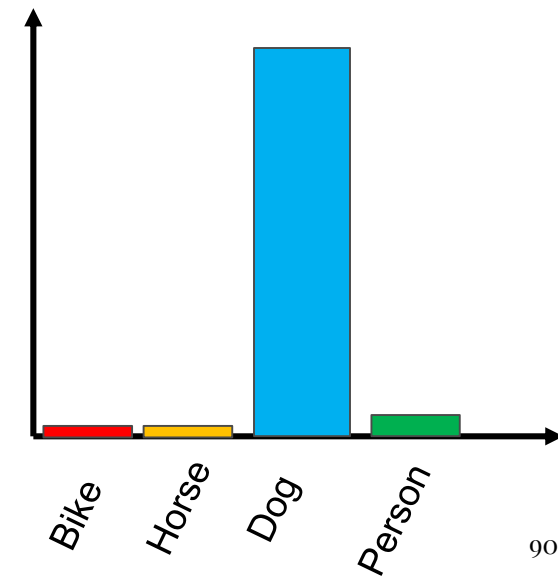
$T > 1$
Softens distributions



$T = 1$
(softmax standard)



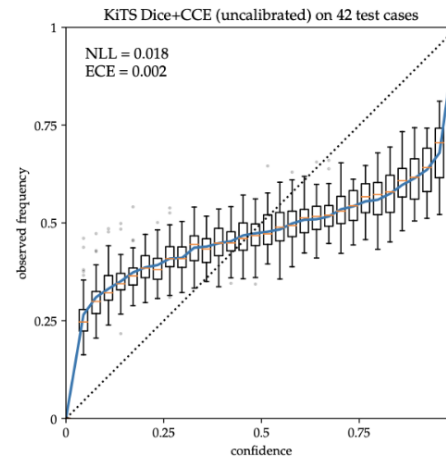
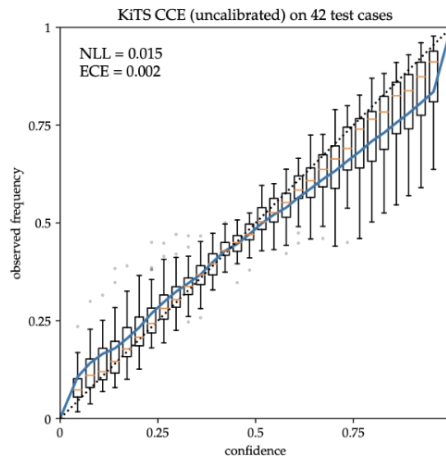
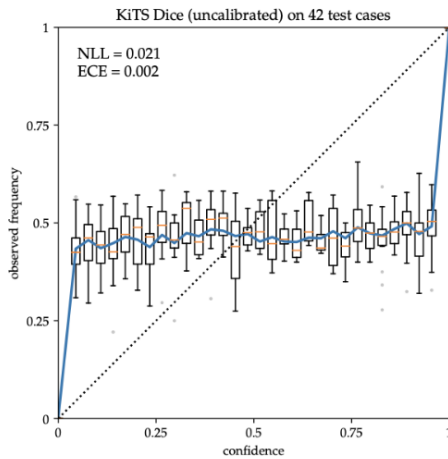
$T < 1$
Shrinks distributions



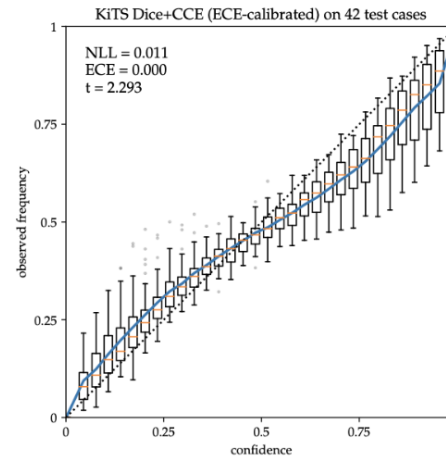
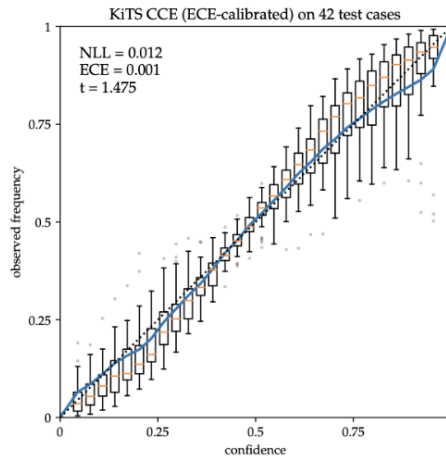
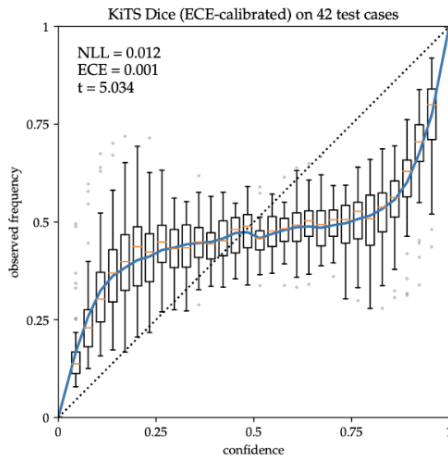
Existing methods

Post-processing

Temperature Scaling
(Platts extension)



Uncalibrated



Calibrated

Existing methods

Post-processing

Temperature Scaling
(Platts extension)

We need an additional validation set to optimize T

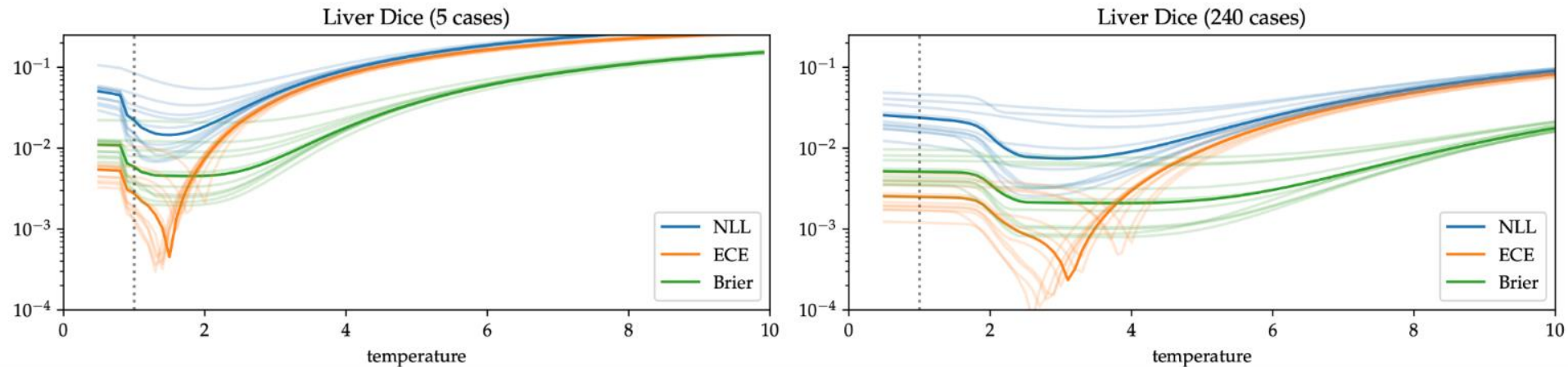
Under distributional drift, Temperature scaling is suboptimal (Ovadia et al. NeurIPS'19)

T value very sensitive to the dataset and network employed

Existing methods

Post-processing

Temperature Scaling
(Platts extension)



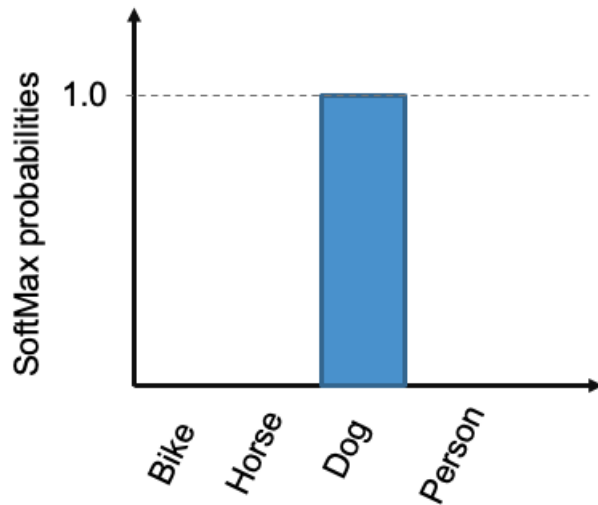
Optimal T value also varies with the number of training samples!

Existing methods

In the training (end-to-end)

Penalize high-entropies

High confident predictions (low-entropy) NOT DESIRED!

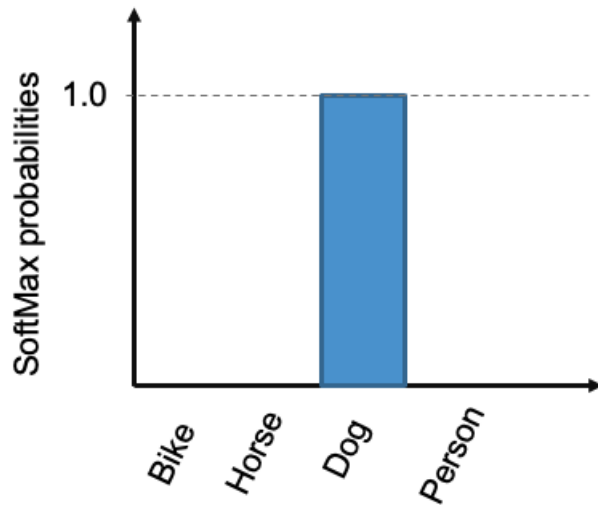


Existing methods

In the training (end-to-end)

Penalize high-entropies

High confident predictions (low-entropy) NOT DESIRED!



For each example in the training set:

$$l(\mathbf{y}^i, \mathbf{s}_\theta^i) - \beta \mathcal{H}(\mathbf{s}_\theta^i)$$

Classification loss
(e.g., cross-entropy)

Entropy

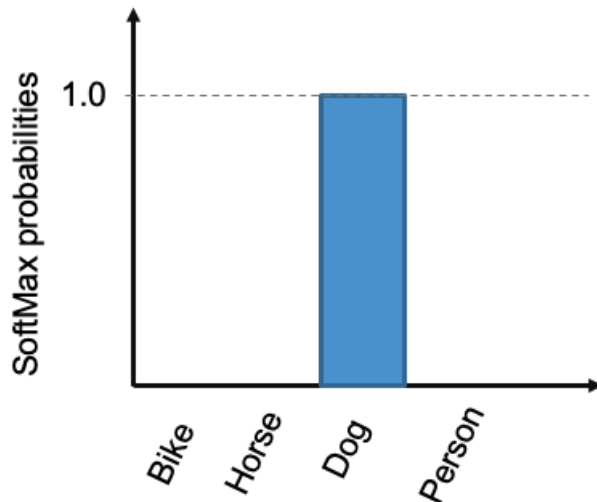
Existing methods

In the training (end-to-end)

Penalize high-entropies

High confident predictions (low-entropy) NOT DESIRED!

$$l(\mathbf{y}^i, \mathbf{s}_\theta^i) - \beta \mathcal{H}(\mathbf{s}_\theta^i)$$



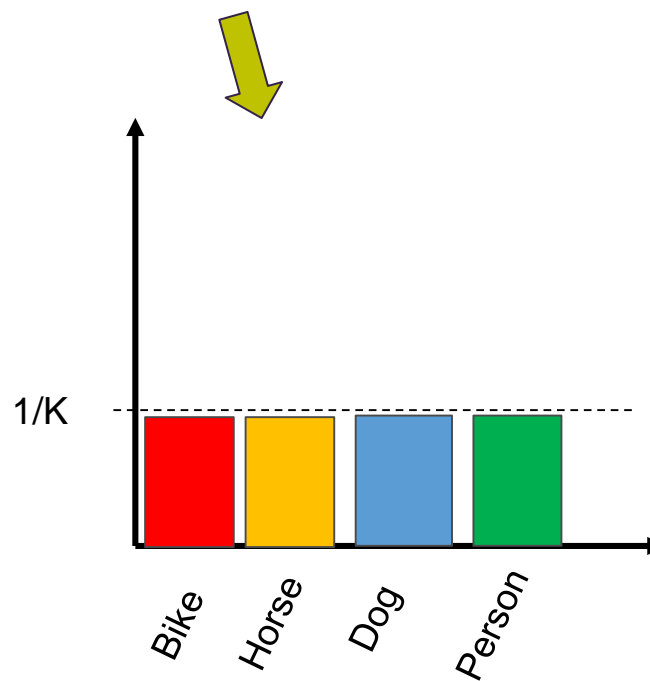
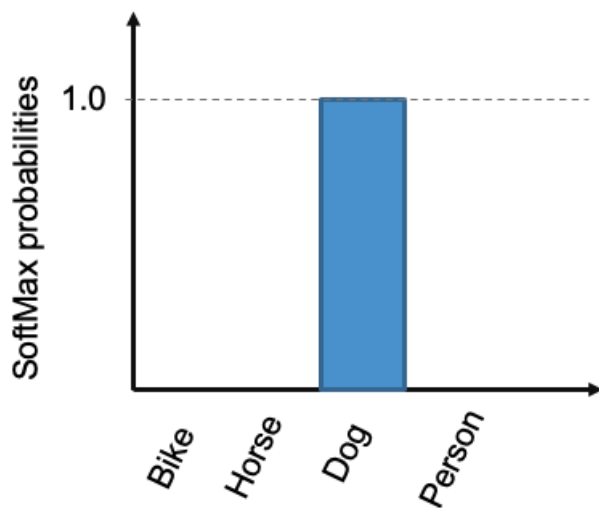
Existing methods

In the training (end-to-end)

Penalize high-entropies

High confident predictions (low-entropy) NOT DESIRED!

$$l(\mathbf{y}^i, \mathbf{s}_\theta^i) - \beta \mathcal{H}(\mathbf{s}_\theta^i)$$



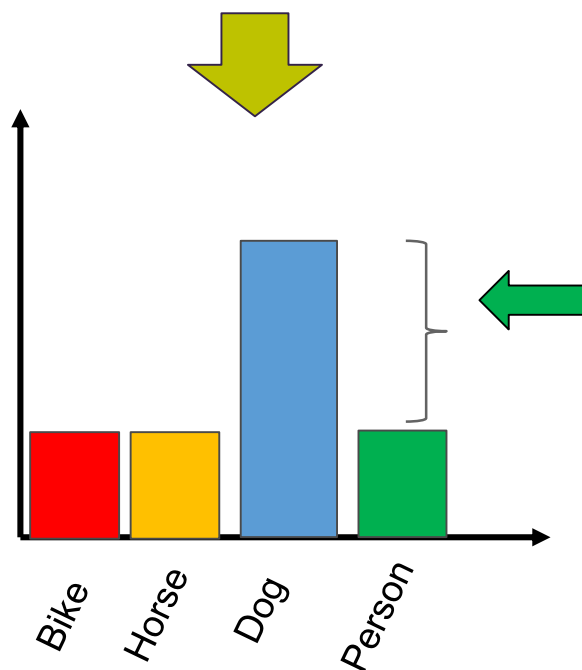
Existing methods

In the training (end-to-end)

Penalize high-entropies

High confident predictions (low-entropy) NOT DESIRED!

$$l(\mathbf{y}^i, \mathbf{s}_\theta^i) - \beta \mathcal{H}(\mathbf{s}_\theta^i)$$



The difference depends on β

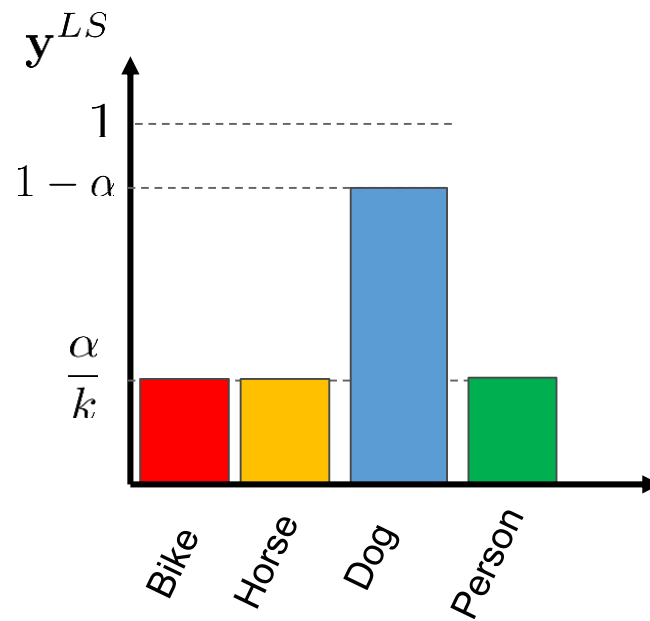
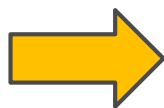
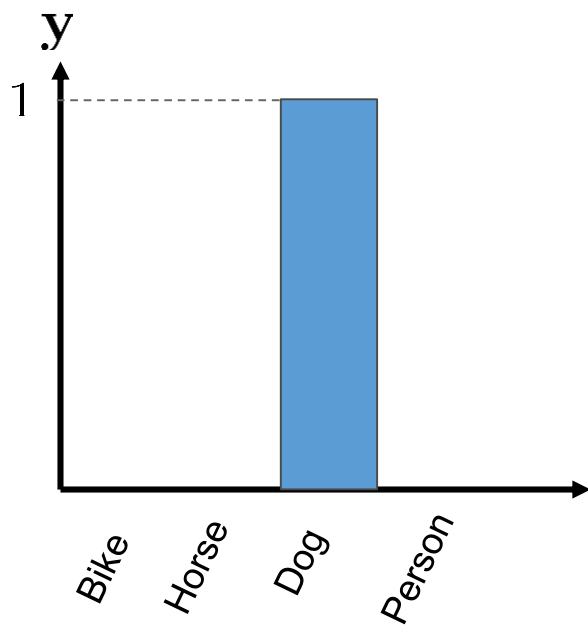
Existing methods

In the training (end-to-end)

Label Smoothing

$$y_k^{LS} = y_k(1 - \alpha) + \frac{\alpha}{K}$$

One-hot encoding

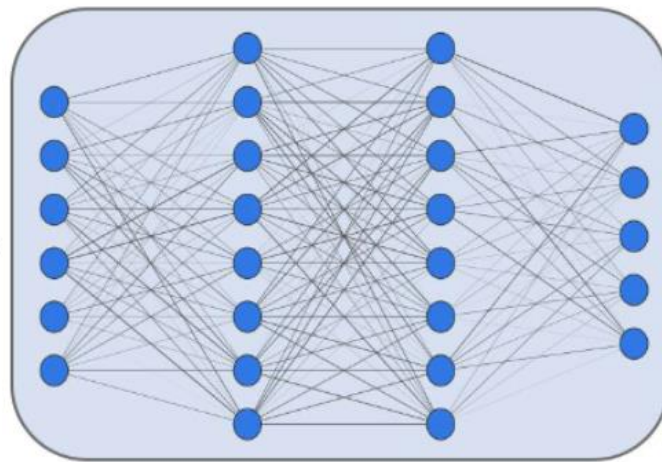
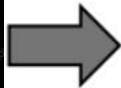
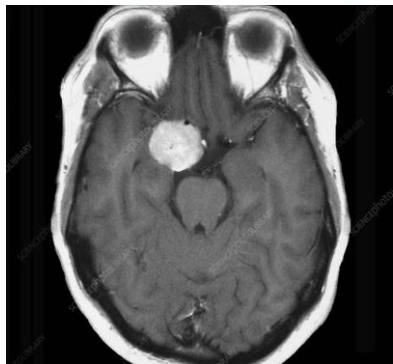


Existing methods

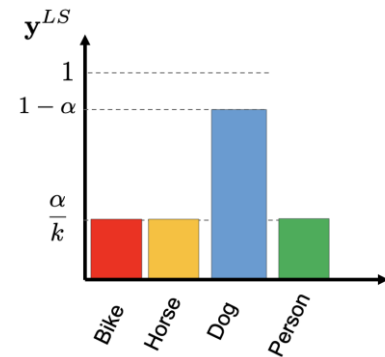
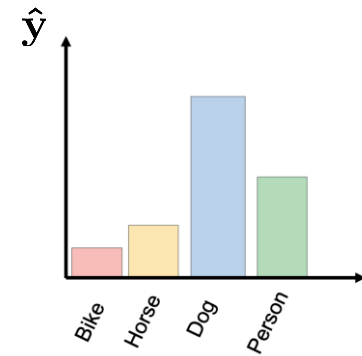
In the training (end-to-end)

Label Smoothing

$$y_k^{LS} = y_k(1 - \alpha) + \frac{\alpha}{K}$$



DNN



Existing methods

In the training (end-to-end)

Label Smoothing

$$\mathcal{H}(\mathbf{y}', \mathbf{s}) = - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha)y_k + \frac{\alpha}{K} \right) \log(s_k)$$

Existing methods

In the training (end-to-end)

Label Smoothing

$$\mathcal{H}(\mathbf{y}', \mathbf{s}) = - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha)y_k + \frac{\alpha}{K} \right) \log(s_k)$$
$$- \sum_k^K \left((1 - \alpha)y_k \right) \log(s_k) - \sum_k^K \left(\frac{\alpha}{K} \right) \log(s_k)$$

Existing methods

In the training (end-to-end)

Label Smoothing

$$\begin{aligned}\mathcal{H}(\mathbf{y}', \mathbf{s}) &= - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha) y_k + \frac{\alpha}{K} \right) \log(s_k) \\ &= - \sum_k^K ((1 - \alpha) y_k) \log(s_k) - \sum_k^K \frac{\alpha}{K} \log(s_k) \\ &= - \sum_k^K y_k \log(s_k) - \frac{\alpha}{(1 - \alpha)} \sum_k^K \frac{1}{K} \log(s_k)\end{aligned}$$

Existing methods

In the training (end-to-end)

Label Smoothing

$$\begin{aligned}\mathcal{H}(\mathbf{y}', \mathbf{s}) &= - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha)y_k + \frac{\alpha}{K} \right) \log(s_k) \\ &= - \sum_k^K ((1 - \alpha)y_k) \log(s_k) - \sum_k^K \frac{\alpha}{K} \log(s_k) \\ &= - \sum_k^K y_k \log(s_k) - \frac{\alpha}{(1 - \alpha)} \sum_k^K \frac{1}{K} \log(s_k) \\ &= \mathcal{H}(\mathbf{y}, \mathbf{s}) + \frac{\alpha}{1 - \alpha} \mathcal{H}\left(\frac{1}{K}, \mathbf{s}\right)\end{aligned}$$

The diagram illustrates the decomposition of the Label Smoothing loss. A light blue oval on the left contains the text "Cross-entropy between \mathbf{y} and \mathbf{s} ". Two arrows originate from this oval: one points to the term $-\sum_k^K y_k \log(s_k)$ in the third line of the equation, and the other points to the term $\mathcal{H}(\mathbf{y}, \mathbf{s})$ in the final line of the equation. The terms $-\sum_k^K y_k \log(s_k)$ and $\mathcal{H}(\mathbf{y}, \mathbf{s})$ are also enclosed in light blue ovals.

Existing methods

In the training (end-to-end)

Label Smoothing

$$\begin{aligned}\mathcal{H}(\mathbf{y}', \mathbf{s}) &= - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha)y_k + \frac{\alpha}{K} \right) \log(s_k) \\ &= - \sum_k^K ((1 - \alpha)y_k) \log(s_k) - \sum_k^K \frac{\alpha}{K} \log(s_k) \\ &= - \sum_k^K y_k \log(s_k) - \frac{\alpha}{(1 - \alpha)} \sum_k^K \frac{1}{K} \log(s_k) \\ &= \mathcal{H}(\mathbf{y}, \mathbf{s}) + \frac{\alpha}{1 - \alpha} \mathcal{H}\left(\frac{1}{K}, \mathbf{s}\right)\end{aligned}$$

Cross-entropy between $1/K$ and \mathbf{s}

Existing methods

In the training (end-to-end)

Label Smoothing

$$\begin{aligned} \mathcal{H}(\mathbf{y}', \mathbf{s}) &= - \sum_k^K y_k^{LS} \log(s_k) = - \sum_k^K \left((1 - \alpha)y_k + \frac{\alpha}{K} \right) \log(s_k) \\ &= - \sum_k^K ((1 - \alpha)y_k) \log(s_k) - \sum_k^K \frac{\alpha}{K} \log(s_k) \\ &= - \sum_k^K y_k \log(s_k) - \frac{\alpha}{(1 - \alpha)} \sum_k^K \frac{1}{K} \log(s_k) \\ &= \mathcal{H}(\mathbf{y}, \mathbf{s}) + \frac{\alpha}{1 - \alpha} \mathcal{H}\left(\frac{1}{K}, \mathbf{s}\right) \end{aligned}$$

This measures the similarity of \mathbf{s} wrt to the uniform distribution $1/K$

Cross-entropy between $1/K$ and \mathbf{s}

Existing methods

In the training (end-to-end)

Label Smoothing

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) + \frac{\alpha}{1 - \alpha} \mathcal{H}\left(\frac{1}{K}, \mathbf{s}\right)$$



$$\mathcal{H}(\mathbf{y}, \mathbf{s}) - \frac{\alpha}{1 - \alpha} \mathcal{H}(\mathbf{s})$$

We can replace the second term with an entropy maximization objective



Maximizes the entropy of \mathbf{s}

Existing methods

In the training (end-to-end)

Focal Loss

$$\text{CE}(p, y) = \begin{cases} -\log(p) & \text{if } y = 1 \\ -\log(1 - p) & \text{otherwise.} \end{cases}$$

Existing methods

In the training (end-to-end)

Focal Loss

$$\text{CE}(p, y) = \begin{cases} -\log(p) & \text{if } y = 1 \\ -\log(1 - p) & \text{otherwise.} \end{cases}$$

$$p_t = \begin{cases} p & \text{if } y = 1 \\ 1 - p & \text{otherwise,} \end{cases}$$

We introduce p_t

Existing methods

In the training (end-to-end)

Focal Loss

$$\text{CE}(p, y) = \begin{cases} -\log(p) & \text{if } y = 1 \\ -\log(1 - p) & \text{otherwise.} \end{cases}$$

$$p_t = \begin{cases} p & \text{if } y = 1 \\ 1 - p & \text{otherwise,} \end{cases}$$

We introduce p_t

We rewrite CE as

$$\text{CE}(p, y) = \text{CE}(p_t) = -\log(p_t)$$

Existing methods

In the training (end-to-end)

Focal Loss

$$\text{CE}(p, y) = \begin{cases} -\log(p) & \text{if } y = 1 \\ -\log(1 - p) & \text{otherwise.} \end{cases}$$

$$p_t = \begin{cases} p & \text{if } y = 1 \\ 1 - p & \text{otherwise,} \end{cases}$$

We introduce p_t

We rewrite CE as

We add an additional term

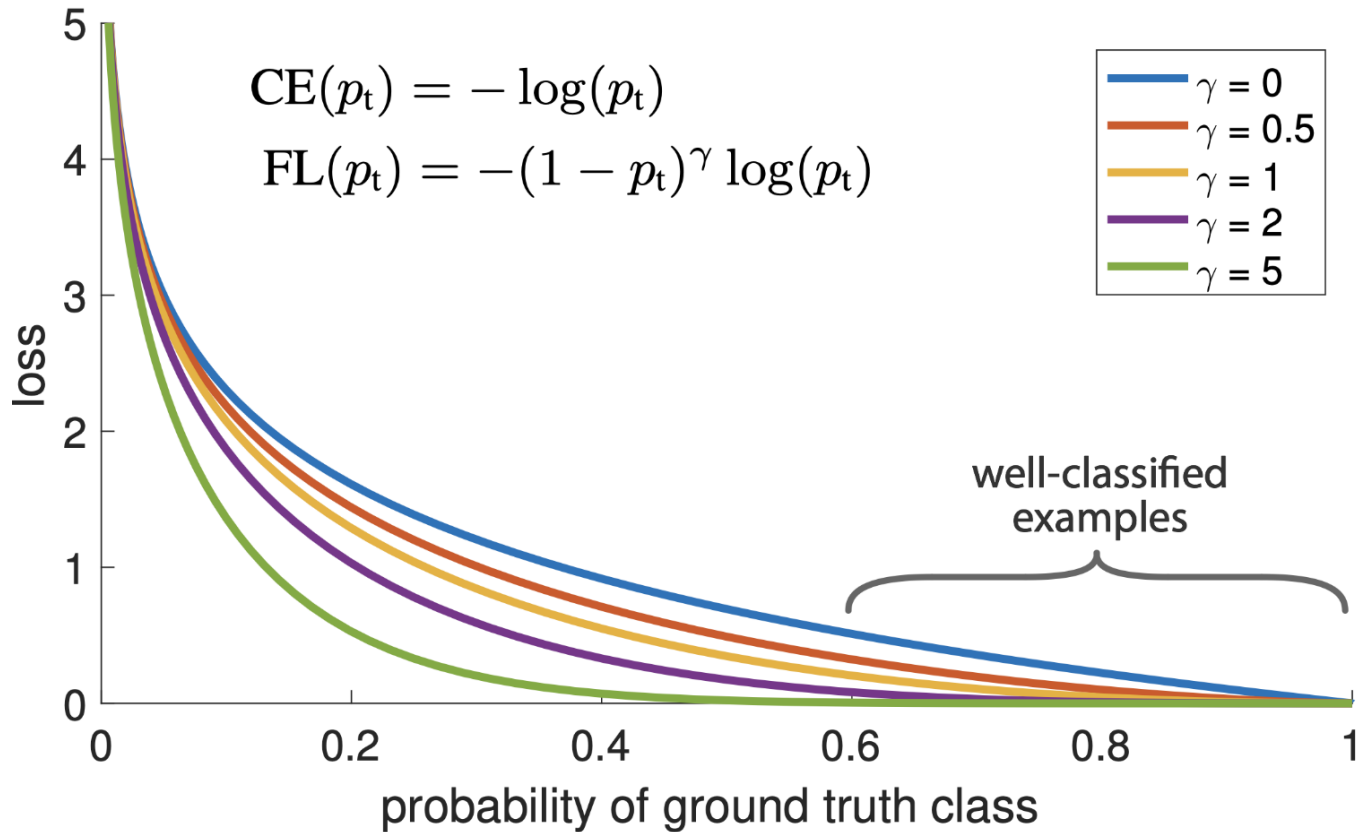
$$\text{CE}(p, y) = \text{CE}(p_t) = -\log(p_t)$$

$$\text{FL}(p_t) = -(1 - p_t)^\gamma \log(p_t)$$

Existing methods

In the training (end-to-end)

Focal Loss



Existing methods

In the training (end-to-end)

Focal Loss

$$\mathcal{L}_{FL} \geq \mathcal{D}_{KL}(\mathbf{y}||\mathbf{s}) - \gamma\mathcal{H}(\mathbf{s})$$

Existing methods

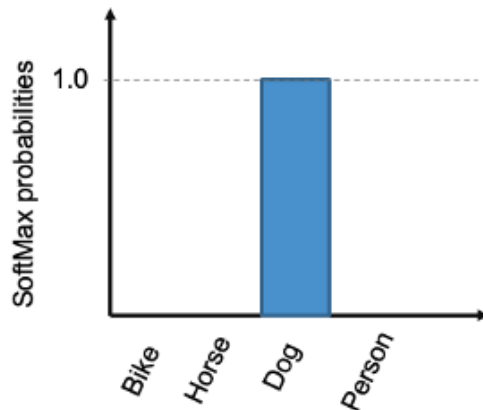
In the training (end-to-end)

Focal Loss

$$\mathcal{L}_{FL} \geq \mathcal{D}_{KL}(\mathbf{y}||\mathbf{s}) - \gamma \mathcal{H}(\mathbf{s})$$



Minimize the differences between network predictions and ground truth (like CE)



Existing methods

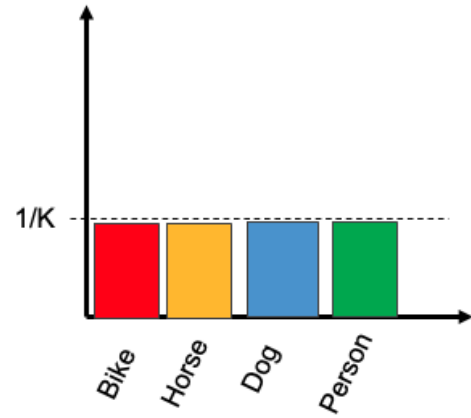
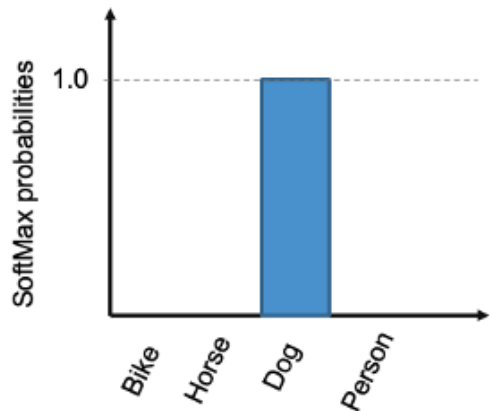
In the training (end-to-end)

Focal Loss

$$\mathcal{L}_{FL} \geq \mathcal{D}_{KL}(\mathbf{y}||\mathbf{s}) - \gamma \mathcal{H}(\mathbf{s})$$

Minimize the differences between network predictions and ground truth (like CE)

Maximize the entropy (i.e., pushing uniform distributions)

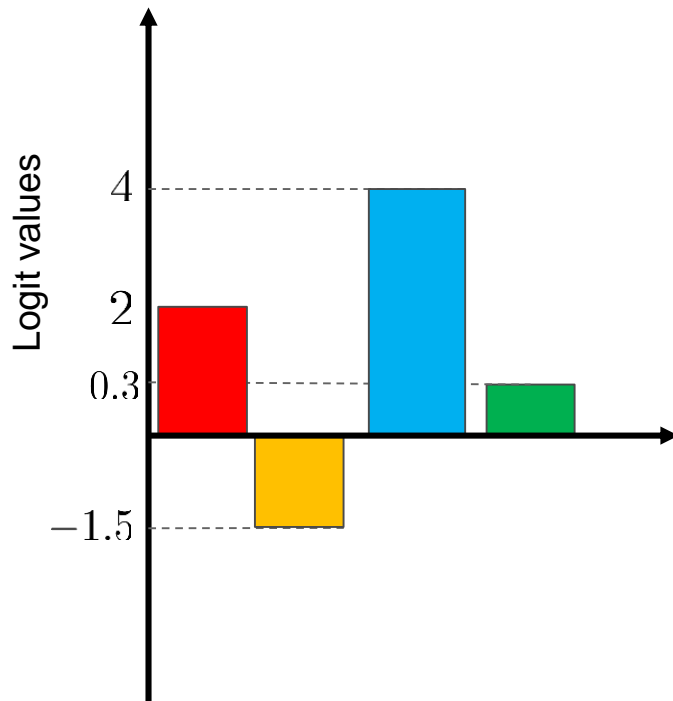


Existing methods

In the training (end-to-end)

Margin-based LS

$$\mathbf{d}(\mathbf{l}) = (\max_j(l_j) - l_k)_{1 \leq k \leq K} \in \mathbb{R}^K$$



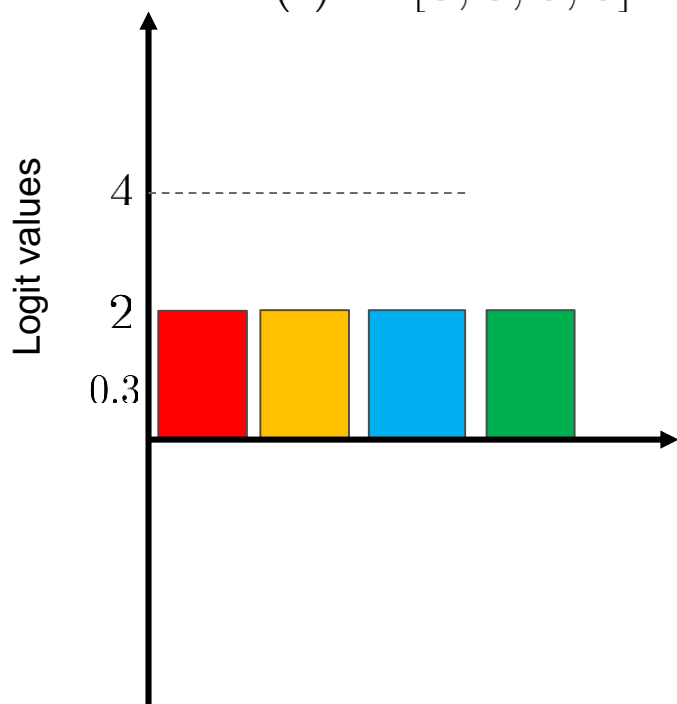
$$\mathbf{d}(\mathbf{l}) = [2, 5.5, 0, 3.7]$$

Existing methods

In the training (end-to-end)

Maximizing the entropy can be seen as a constraint that forces the distance vector $\mathbf{d}(\mathbf{l})$ to be zero.

$$\mathbf{d}(\mathbf{l}) = [0, 0, 0, 0] = \mathbf{0}$$



Margin-based LS

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) - \mathcal{H}(\mathbf{s})$$

Pereyra, ICLR'17
Müller, NeurIPS'19
Mukhoti, NeurIPS'20



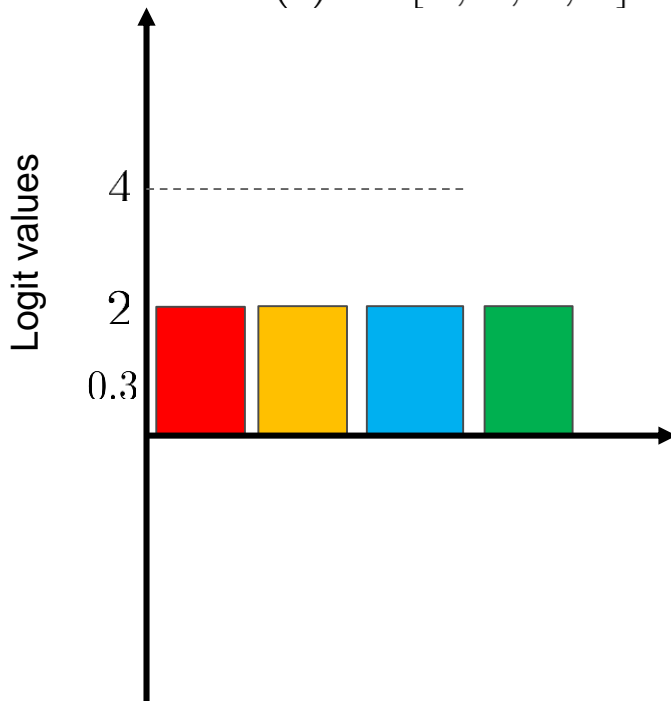
$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \text{ s.t. } \mathbf{d}(\mathbf{l}) = \mathbf{0}$$

Existing methods

In the training (end-to-end)

Maximizing the entropy can be seen as a constraint that forces the distance vector $\mathbf{d}(\mathbf{l})$ to be zero.

$$\mathbf{d}(\mathbf{l}) = [0, 0, 0, 0] = \mathbf{0}$$

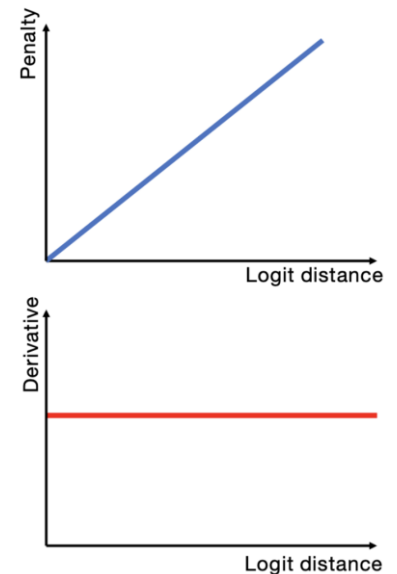


$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \quad \text{s.t.} \quad \mathbf{d}(\mathbf{l}) = \mathbf{0}$$

Margin-based LS

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) - \mathcal{H}(\mathbf{s})$$

Pereyra, ICLR'17
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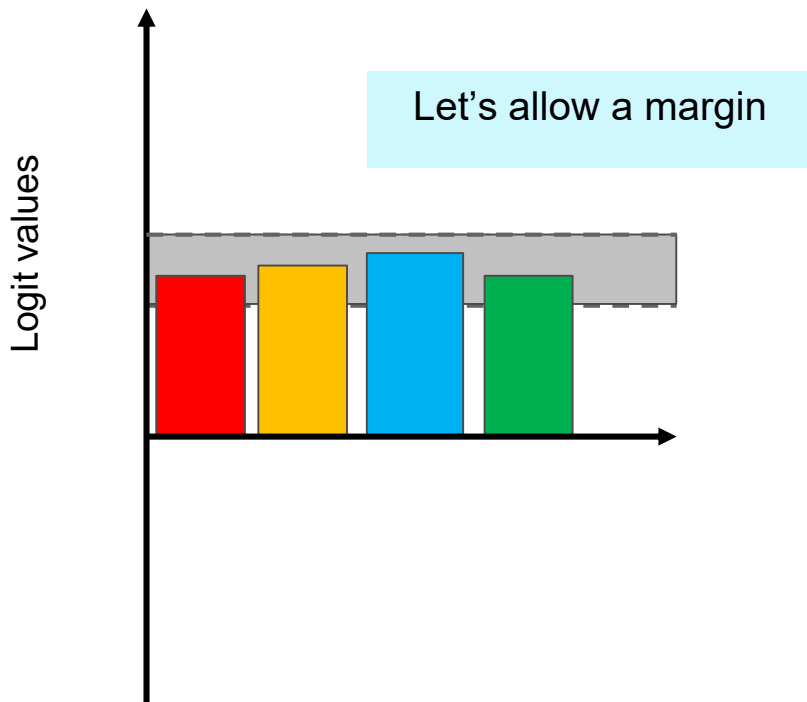


Existing methods

In the training (end-to-end)

Margin-based LS

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \quad \text{s.t.} \quad \mathbf{d}(\mathbf{l}) \leq m$$

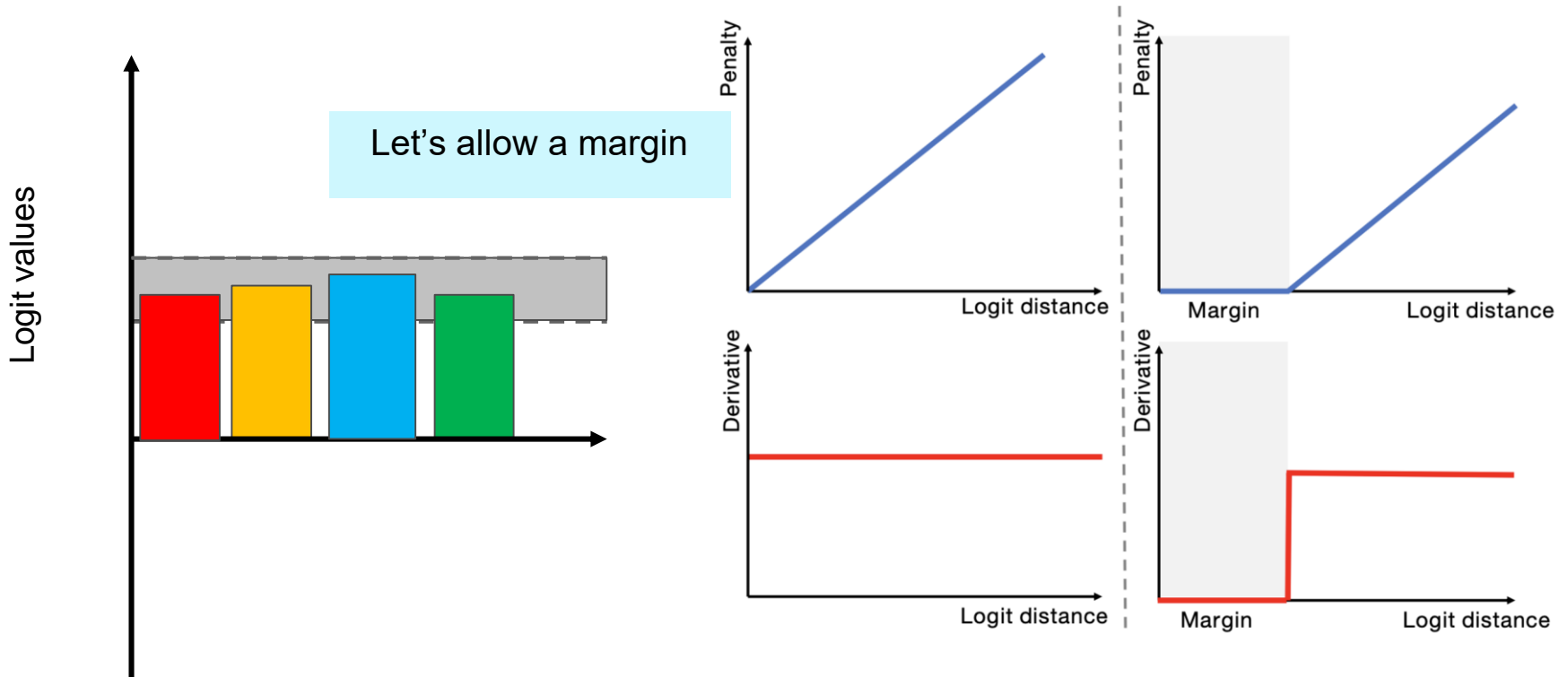


Existing methods

In the training (end-to-end)

Margin-based LS

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \quad \text{s.t.} \quad \mathbf{d}(\mathbf{l}) \leq m$$



Existing methods

In the training (end-to-end)

Margin-based LS

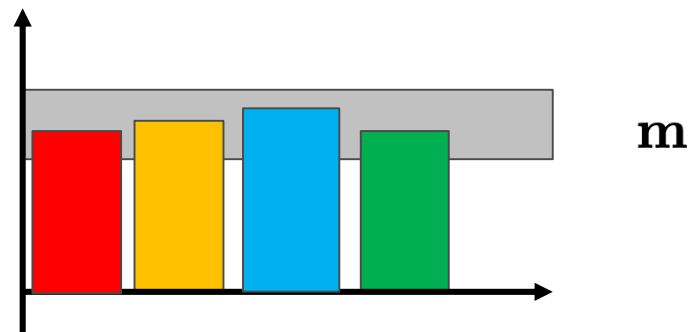
$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \quad \text{s.t.} \quad \mathbf{d}(\mathbf{l}) \leq m$$

$$\min \quad \mathcal{L}_{\text{CE}} + \lambda \sum_k \max(0, \max_j(l_j) - l_k - m)$$

$$\max_j l_j - l_k \leq m$$



Logit values



Existing methods

In the training (end-to-end)

Margin-based LS

$$\mathcal{H}(\mathbf{y}, \mathbf{s}) \quad \text{s.t.} \quad \mathbf{d}(\mathbf{l}) \leq m$$

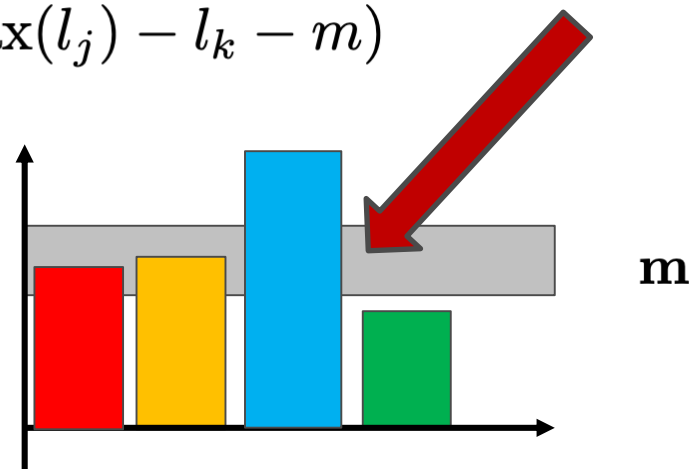
This will violate the constraint, and there will be a penalty

$$\min \mathcal{L}_{\text{CE}} + \lambda \sum_k \max(0, \max_j(l_j) - l_k - m)$$

$$\max_j l_j - l_k > m$$



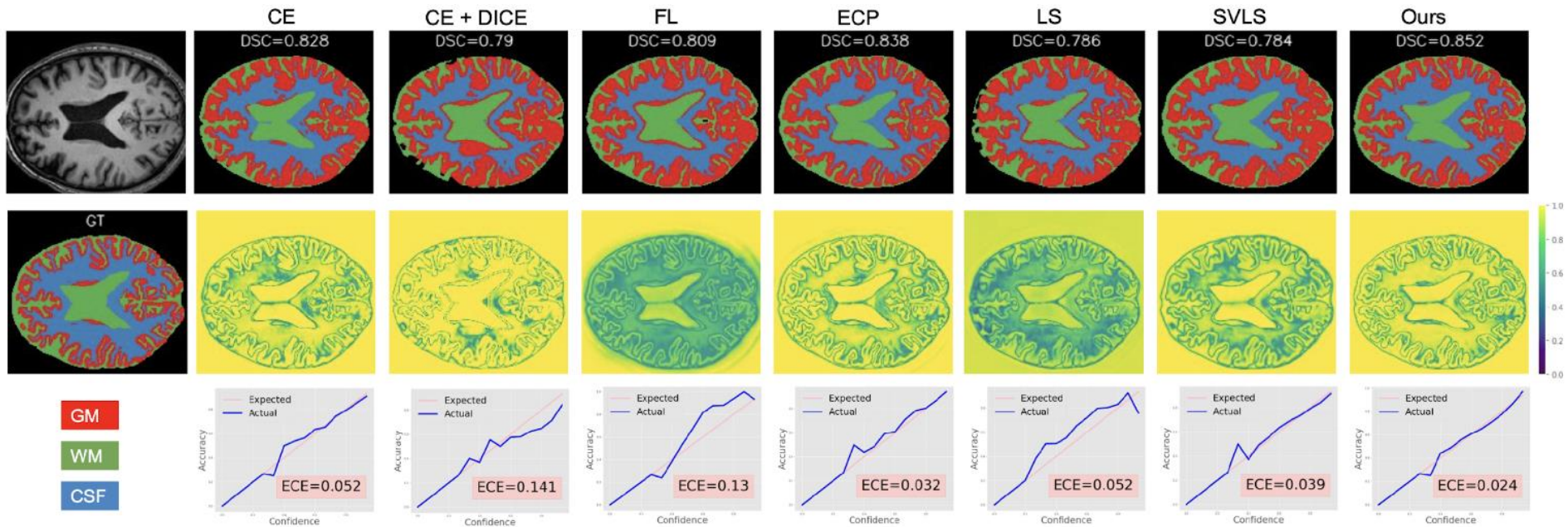
Logit values



Existing methods

In the training (end-to-end)

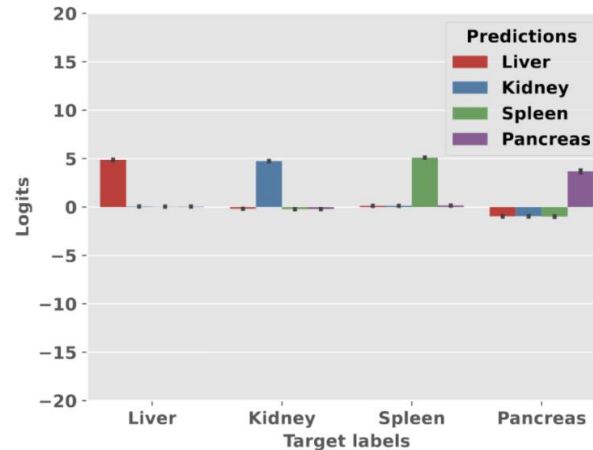
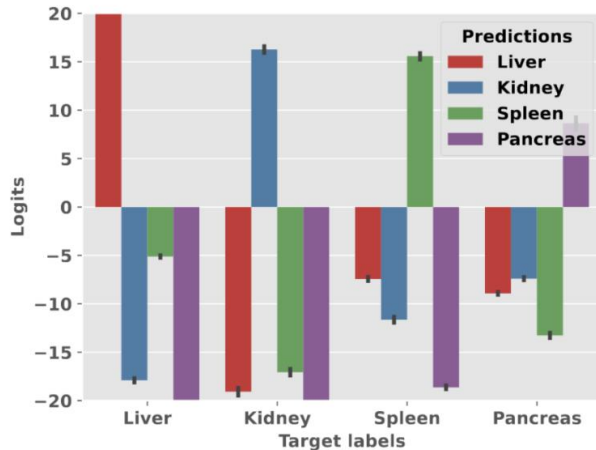
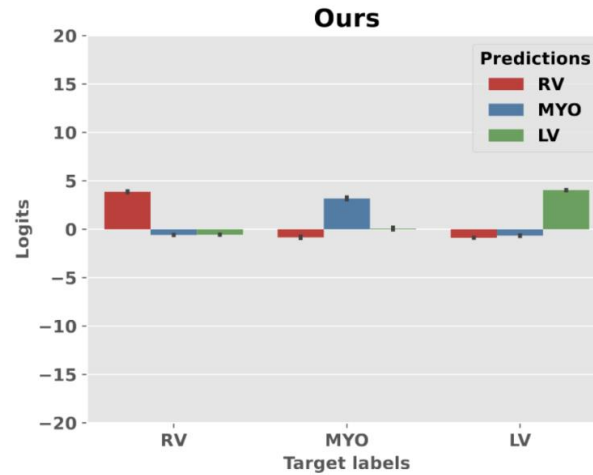
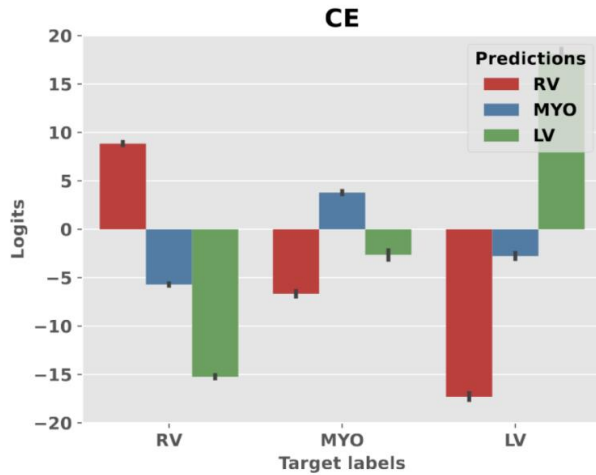
Margin-based LS



Existing methods

In the training (end-to-end)

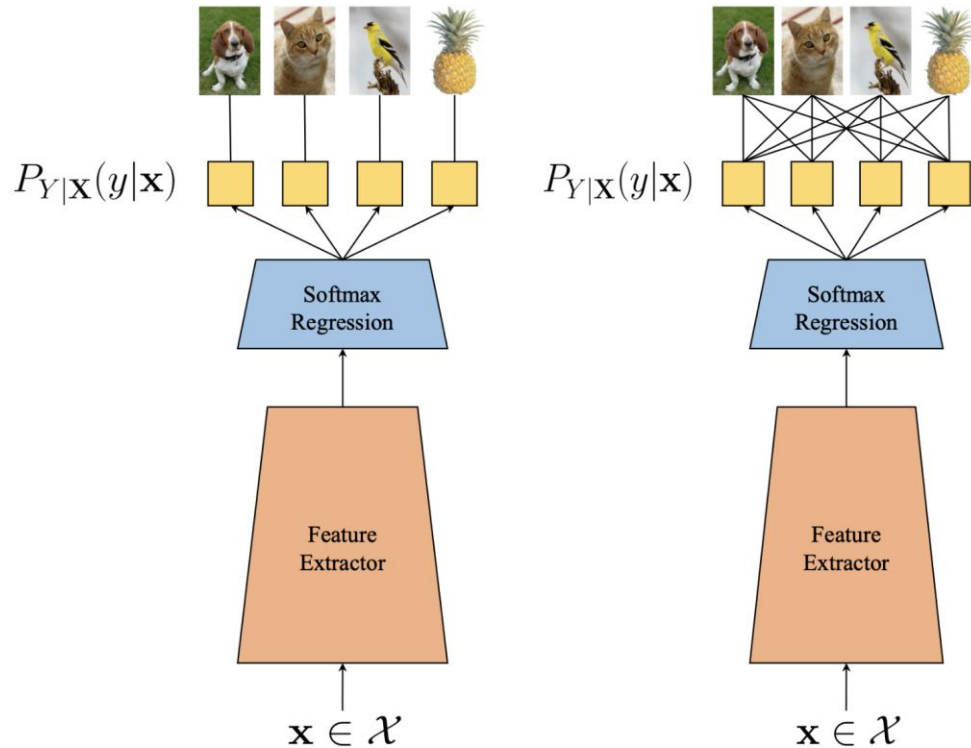
Margin-based LS



Existing methods

In the training (end-to-end)

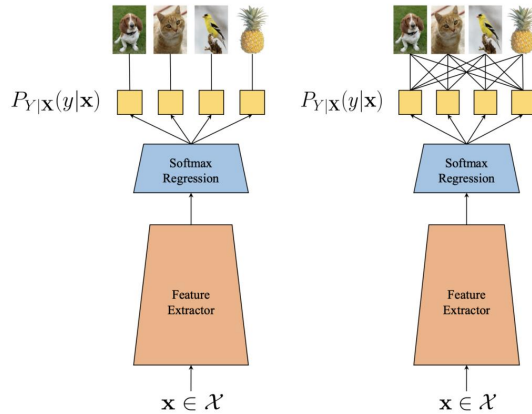
Pairwise constraints



Existing methods

In the training (end-to-end)

Pairwise constraints



Posterior probability

$$\beta_{ij} = \frac{\pi_i}{\pi_i + \pi_j}$$

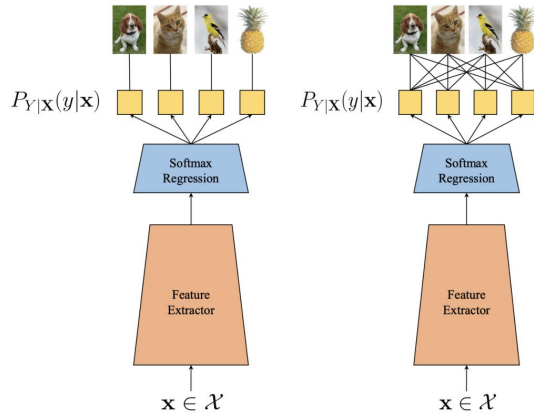
For the pairs containing the target class:

$$\mathcal{L}_{ij}^{1v1}(\mathbf{x}, y; \Theta) = -\mathbb{1}_{y=i} \log \beta_{ij}(\mathbf{x}) - \mathbb{1}_{y=j} \log \beta_{ji}(\mathbf{x}).$$

Existing methods

In the training (end-to-end)

Pairwise constraints



Posterior probability

$$\beta_{ij} = \frac{\pi_i}{\pi_i + \pi_j}$$

For the pairs containing the target class:

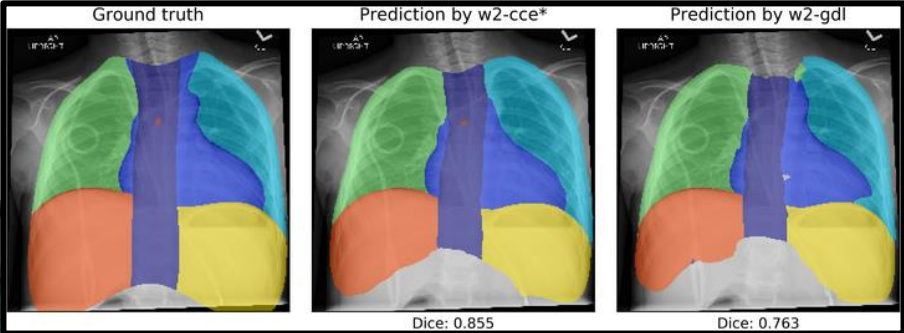
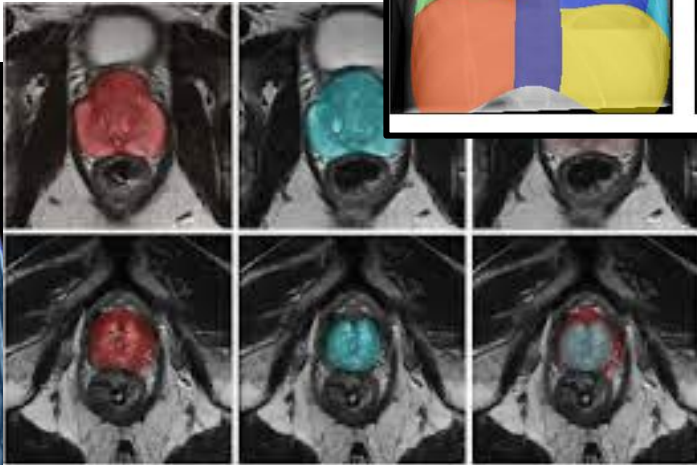
$$\mathcal{L}_{ij}^{1v1}(\mathbf{x}, y; \Theta) = -\mathbb{1}_{y=i} \log \beta_{ij}(\mathbf{x}) - \mathbb{1}_{y=j} \log \beta_{ji}(\mathbf{x}).$$

For the pairs that DO NOT contain the target class:

$$\beta_i^*(\mathbf{x}) = \beta_j^*(\mathbf{x}) = 1/2.$$

Is this familiar to you??

Designed for the segmentation task

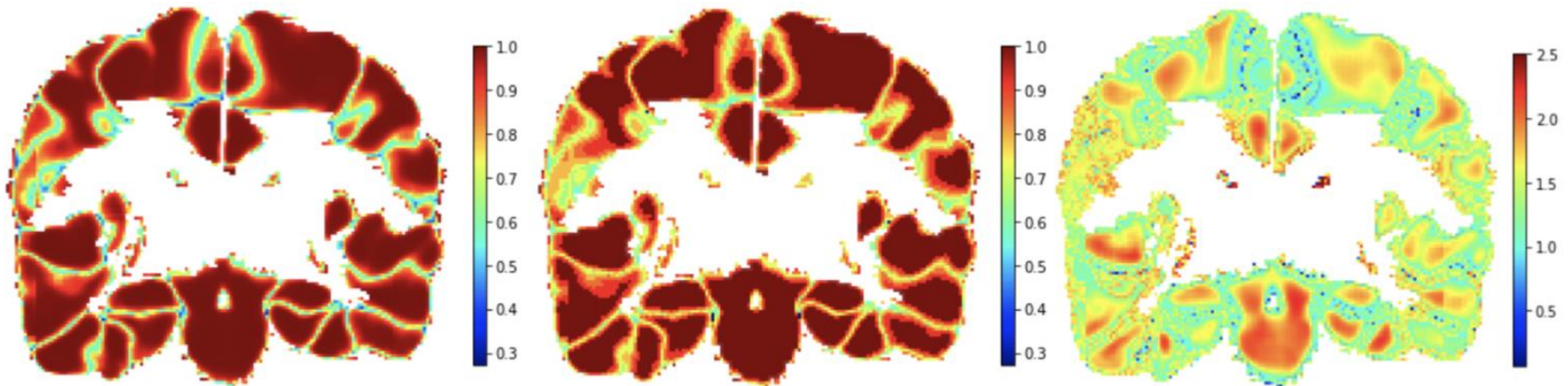


Ground truth structure 2nd or 1D net processor

Existing methods

Post-processing

Local TS



T value (*Temperature Scaling*) is not the same for all the pixels


Existing methods

Post-processing

Local TS

$$T^* = \arg \min_T \left(- \sum_{i=1}^n \sum_{x \in \Omega} \log \left(\sigma_{SM}(\mathbf{z}_i(x)/T)^{(S_i(x))} \right) \right)$$

s.t. $T > 0,$



In standard *Temperature Scaling*, T value is the same

Existing methods

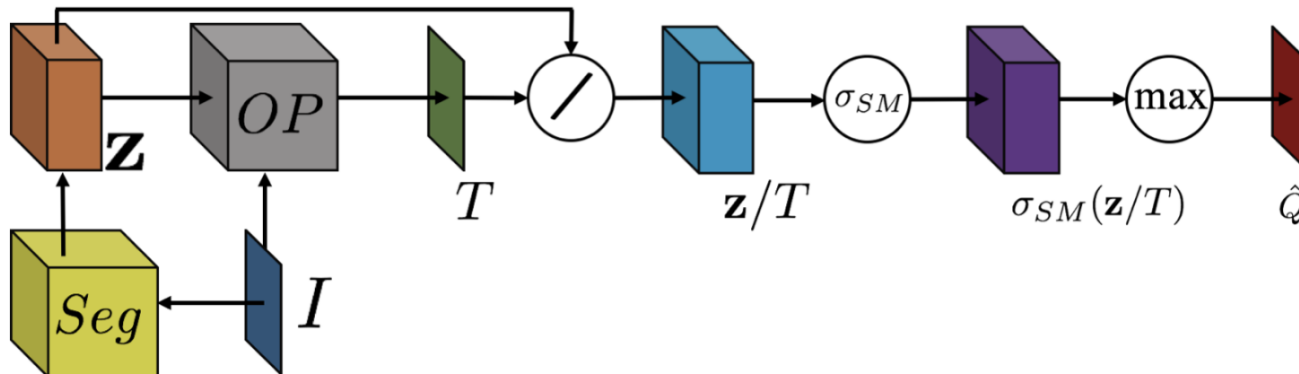
Post-processing

Local TS

$$T^* = \arg \min_T \left(- \sum_{i=1}^n \sum_{x \in \Omega} \log \left(\sigma_{SM}(\mathbf{z}_i(x)/T)^{(S_i(x))} \right) \right)$$

s.t. $T > 0$,

In standard *Temperature Scaling*, T value is the same



Existing methods

In the training (end-to-end)

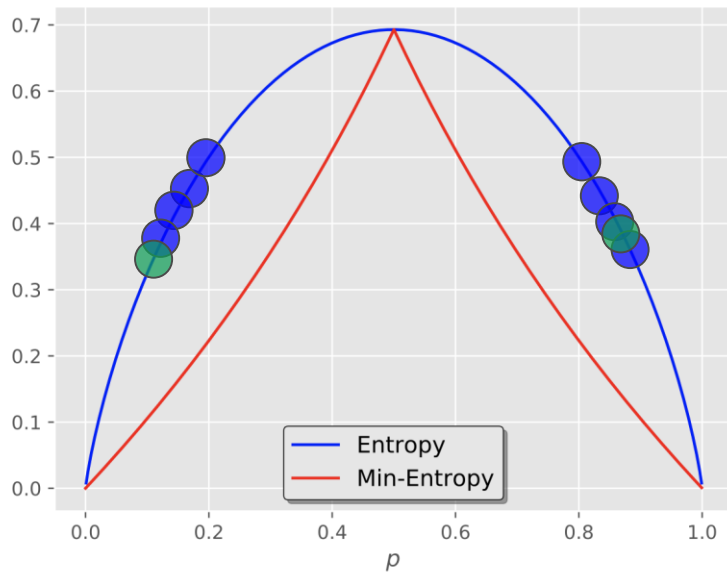
MEEP

Key idea

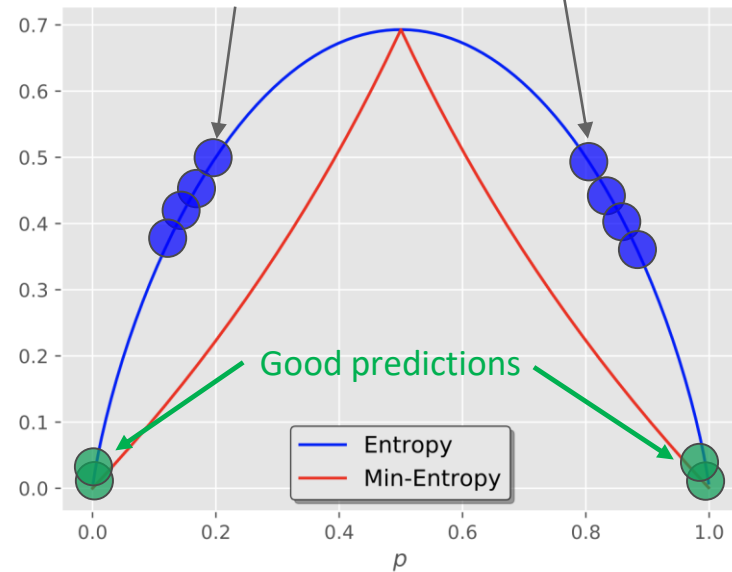
Instead of maximizing the entropy over all the samples (i.e., pixels), do it **only for those pixels that produce erroneous predictions**

Extension of Pereyra et al., ICLR'17 but in a smarter manner

Pereyra et al.
ALL Samples



MEEP
Only wrong predictions



Existing methods

In the training (end-to-end)

MEEP

Formal definition

Instead of maximizing the entropy over all the samples (i.e., pixels), do it **only for those pixels that produce erroneous predictions**

Global objective

$$\mathcal{L} = \mathcal{L}_{Seg}(\mathbf{y}, \mathbf{s}) - \lambda \mathcal{L}_{me}(\mathbf{s}_w)$$

Standard segmentation loss
over ALL the pixels

Regularization term over
wrong predictions

Existing methods

In the training (end-to-end)


MEEP

Formal definition

Instead of maximizing the entropy over all the samples (i.e., pixels), do it **only for those pixels that produce erroneous predictions**

Global objective

$$\mathcal{L} = \mathcal{L}_{Seg}(\mathbf{y}, \mathbf{s}) - \lambda \mathcal{L}_{me}(\mathbf{s}_w)$$


$$\mathcal{H}(\mathbf{s}_w) = -\frac{1}{|\mathbf{s}_w|} \sum_{k, i \in \mathbf{s}_w} s_{i,k} \log s_{i,k}$$

Existing methods

In the training (end-to-end)

MEEP

Formal definition

Instead of maximizing the entropy over all the samples (i.e., pixels), do it **only for those pixels that produce erroneous predictions**

Global objective

$$\mathcal{L} = \mathcal{L}_{Seg}(\mathbf{y}, \mathbf{s}) - \lambda \mathcal{L}_{me}(\mathbf{s}_w)$$

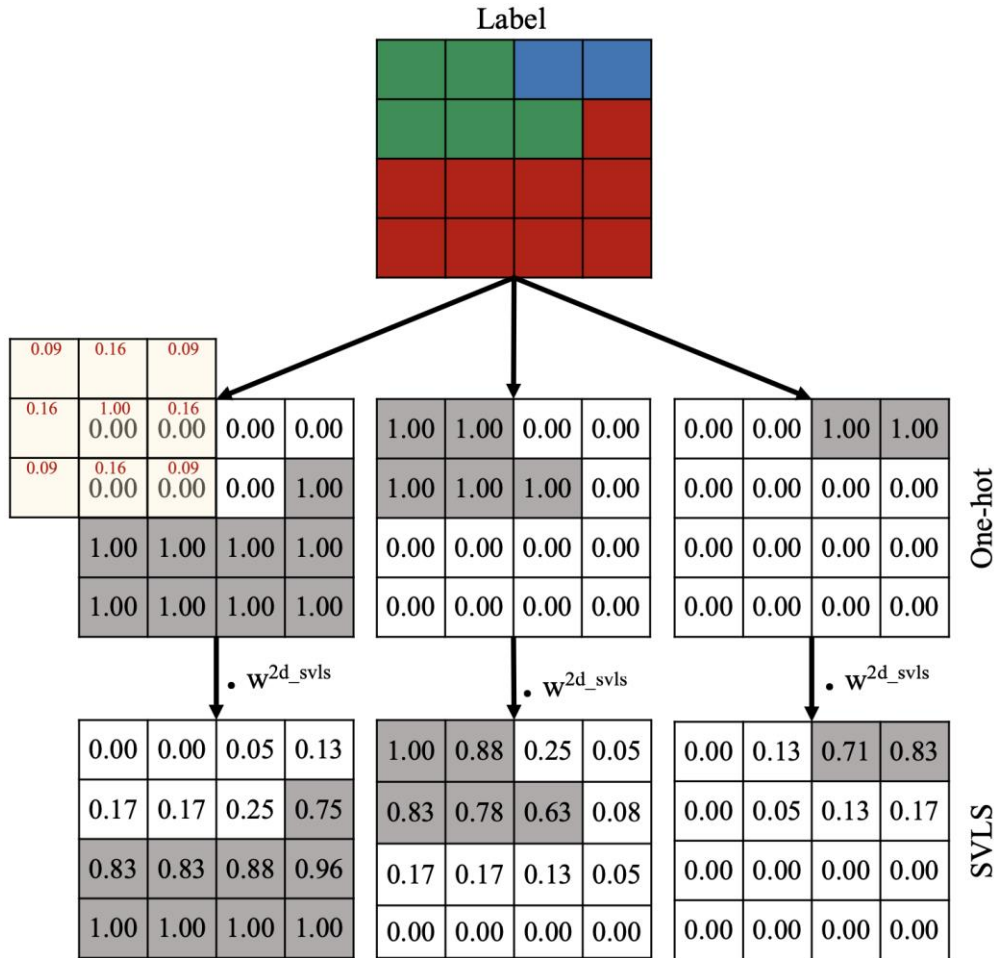
$$\mathcal{H}(\mathbf{s}_w) = -\frac{1}{|\mathbf{s}_w|} \sum_{k,i \in \mathbf{s}_w} s_{i,k} \log s_{i,k}$$

$$\mathcal{D}_{KL}(\mathbf{u}||\mathbf{s}_w) \stackrel{K}{=} \mathcal{H}(\mathbf{u}, \mathbf{s}_w)$$

Existing methods

In the training (end-to-end)

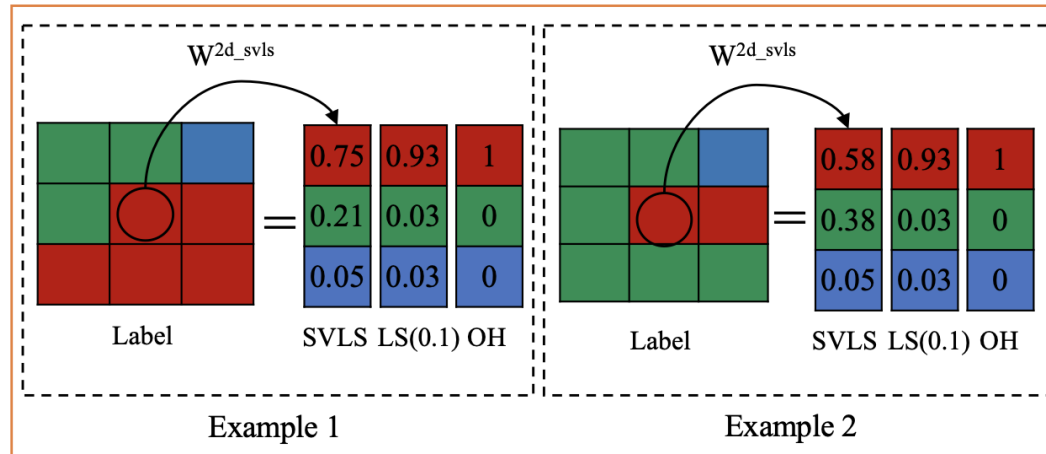
Spatially Varying Label Smoothing (SVLS)



Existing methods

In the training (end-to-end)

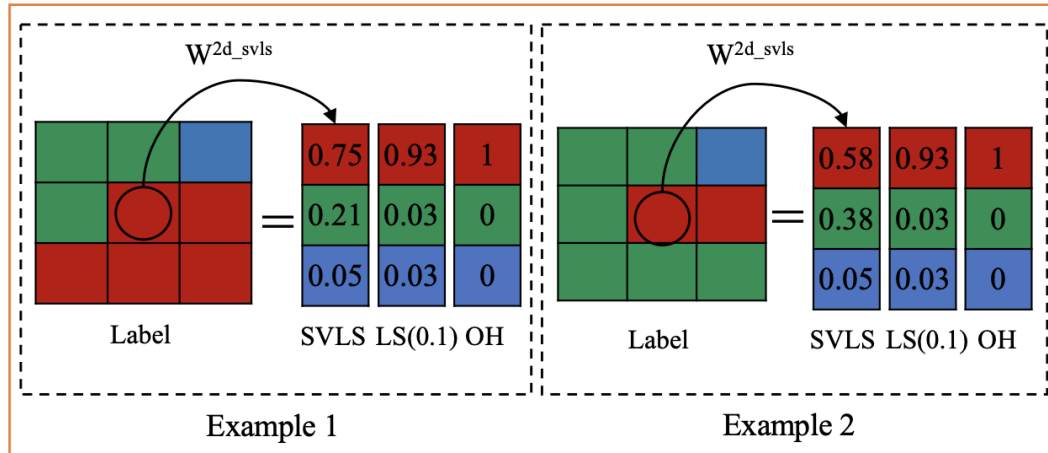
Spatially Varying Label Smoothing (SVLS)



Existing methods

In the training (end-to-end)

Spatially Varying Label Smoothing (SVLS)



Smoothed SVLS labels

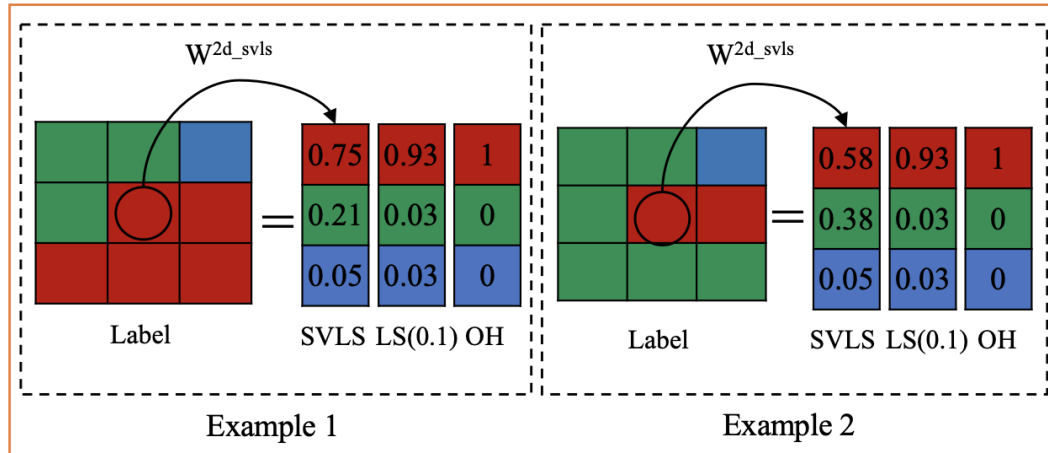
$$\tilde{y}_p^k = \frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i$$

Kernel to smooth labels

Existing methods

In the training (end-to-end)

Spatially Varying Label Smoothing (SVLS)



Smoothed SVLS labels

$$\tilde{y}_p^k = \frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i.$$

One hot encoded is smoothed by the class distribution in the patch

Integrated in the standard CE

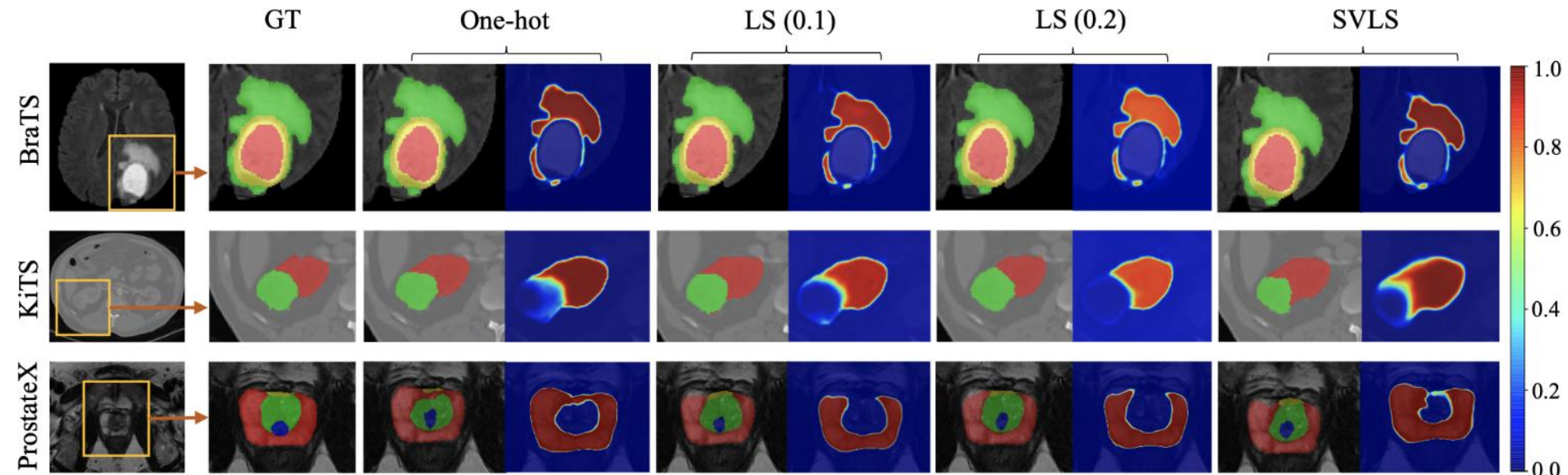


$$\mathcal{L} = - \sum_k \tilde{y}_p^k \log s_p^k,$$

Existing methods

In the training (end-to-end)

Spatially Varying Label Smoothing (SVLS)



Existing methods

In the training (end-to-end)

Limitations of SVLS

SVLS in the
standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

Existing methods

In the training (end-to-end)

Limitations of SVLS

SVLS in the
standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

Existing methods

In the training (end-to-end)

Limitations of SVLS

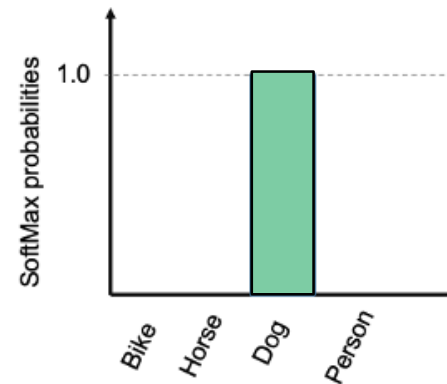
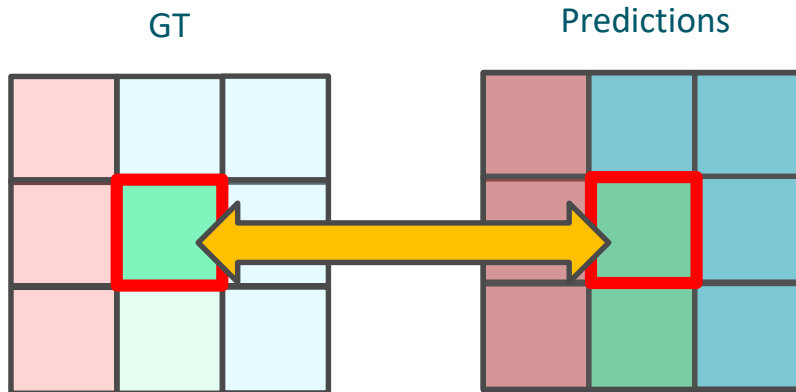
SVLS in the standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

Standard CE (wrt the GT)



Existing methods

In the training (end-to-end)

Limitations of SVLS

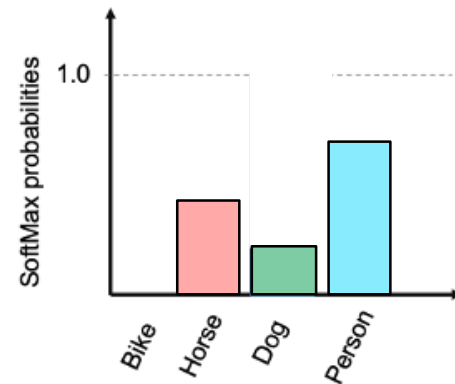
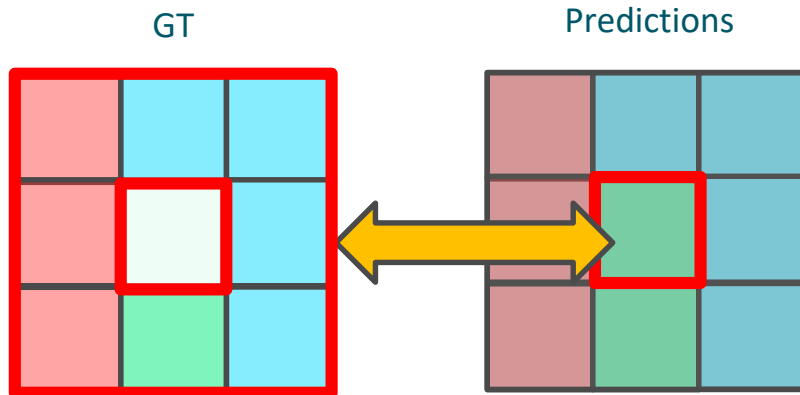
SVLS in the standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

Standard CE
(wrt the class-distribution value)



Existing methods

In the training (end-to-end)

Limitations of SVLS

SVLS in the standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = \underbrace{- \sum_k y_p^k \log s_p^k}_{CE} - \underbrace{\sum_k \tau_k \log s_p^k}_{\text{Constraint on } \tau}.$$

Distribution of each class within the patch

$$\tau_k = \sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i$$

Existing methods

In the training (end-to-end)

Limitations of SVLS

SVLS in the standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = \underbrace{- \sum_k y_p^k \log s_p^k}_{CE} - \underbrace{\sum_k \tau_k \log s_p^k}_{\text{Constraint on } \tau}.$$

Two main problems

1 – No mechanism to control the importance of the constraint

Existing methods

In the training (end-to-end)

Limitations of SVLS

SVLS in the standard CE



$$\mathcal{L} = - \sum_k \left(\frac{1}{|\sum_i^d w_i|} \sum_{i=1}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \frac{1}{|\sum_i^d w_i|} \sum_k y_p^k \log s_p^k - \frac{1}{|\sum_i^d w_i|} \sum_k \left(\sum_{\substack{i=1 \\ i \neq p}}^d y_i^k w_i \right) \log s_p^k,$$

$$\mathcal{L} = - \underbrace{\sum_k y_p^k \log s_p^k}_{CE} - \underbrace{\sum_k \tau_k \log s_p^k}_{\text{Constraint on } \tau}.$$

Two main problems

1 – No mechanism to control the importance of the constraint

2 – The a priori distribution cannot be computed easily

Existing methods

In the training (end-to-end)

Proposed solution

Our solution  $\min \mathcal{L}_{CE} \text{ s.t. } \tau = \mathbf{1},$

 A priori distribution  Logit distribution

Existing methods

In the training (end-to-end)

Proposed solution

Our solution

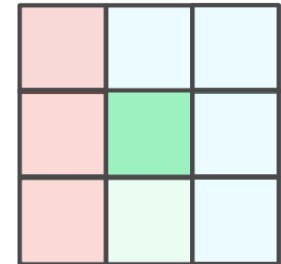


$$\min \mathcal{L}_{CE} \quad \text{s.t.} \quad \boldsymbol{\tau} = \mathbf{l},$$

A priori distribution

Logit distribution

$$\min \mathcal{L}_{CE} + \lambda \sum_k \max(0, |\tau_k - l_k|),$$



Existing methods

In the training (end-to-end)

Proposed solution

	FLARE				ACDC				BraTS			
	DSC	HD	ECE	CECE	DSC	HD	ECE	CECE	DSC	HD	ECE	CECE
CE+DSC ($\lambda = 1$)	0.846	5.54	0.058	0.034	0.828	3.14	0.137	0.084	0.777	6.96	0.178	0.122
FL [10] ($\gamma = 3$)	0.834	6.65	0.053	0.059	<u>0.620</u>	7.30	0.153	0.179	0.848	9.00	0.097	0.119
ECP [21] ($\lambda = 0.1$)	0.860	5.30	0.037	0.027	0.782	4.44	0.130	0.094	0.808	8.71	0.138	0.099
LS [22] ($\alpha = 0.1$)	0.860	5.33	0.055	0.049	0.809	3.30	0.083	0.093	0.820	7.78	0.112	0.108
SVLS [9] ($\sigma = 2$)	<u>0.857</u>	5.72	0.039	0.036	0.824	2.81	0.091	0.083	0.801	8.44	0.146	0.111
MbLS [41] ($m=5$)	0.836	5.75	0.046	0.041	0.827	2.99	0.103	0.081	0.838	7.94	0.127	0.095
Ours ($\lambda = 0.1$)	0.868	4.88	0.033	0.031	0.854	2.55	0.048	0.061	0.850	5.78	0.112	0.097

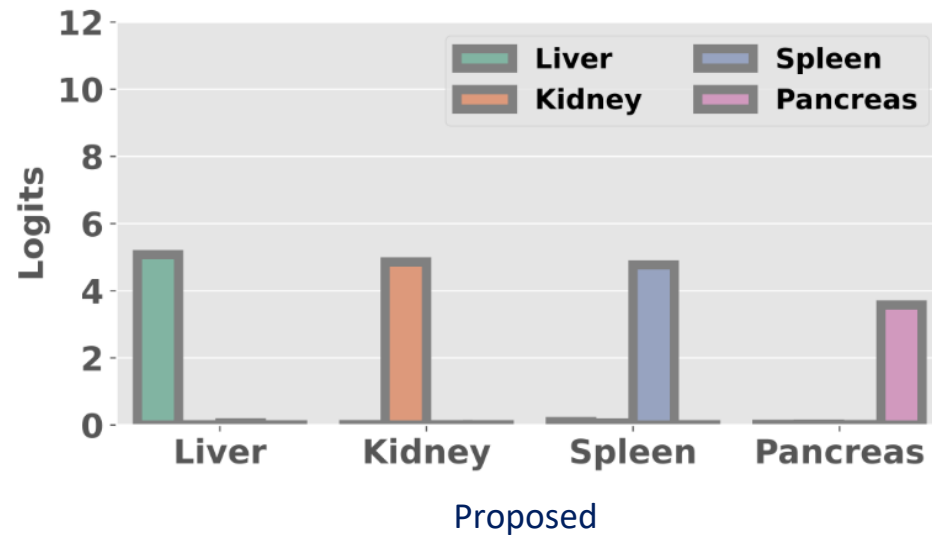
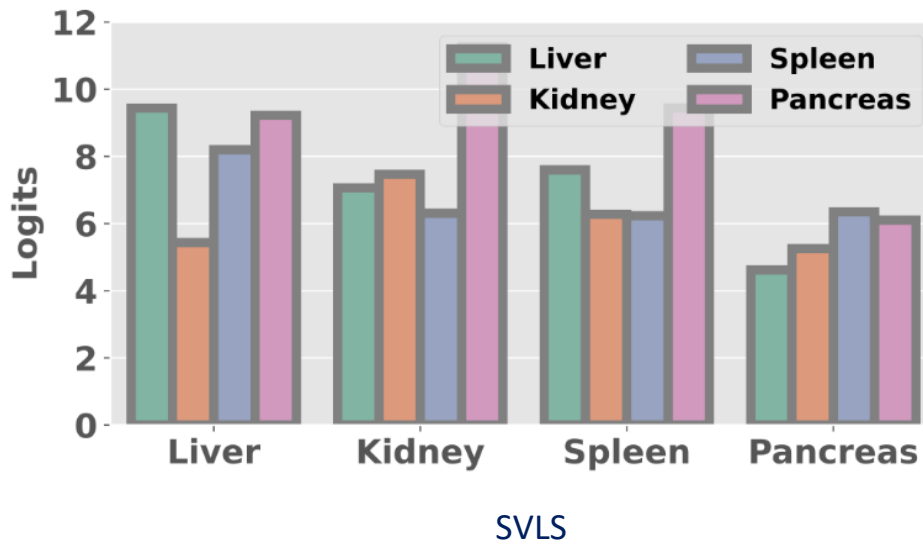
Empirical validation of several choices

	FLARE				ACDC				BraTS			
	DSC	HD	ECE	CECE	DSC	HD	ECE	CECE	DSC	HD	ECE	CECE
Constraint on \mathbf{s}	0.862	5.14	0.043	0.030	0.840	2.66	0.068	0.071	0.802	8.28	0.145	0.104
L2-penalty	0.851	5.48	0.065	0.054	0.871	1.78	0.059	0.080	0.851	7.90	0.078	0.091
Patch size: 5×5	0.875	5.96	0.032	0.031	0.813	3.50	0.078	0.077	0.735	7.45	0.119	0.092

Existing methods

In the training (end-to-end)

Proposed solution



Take home message

- Precise uncertainty estimates are very important in a broad span of problems.
- Integrating a mechanism to control overconfidence predictions during training seems to be an efficient alternative.
- Strategies specifically designed for segmentation tasks are required.
- Despite the importance of the topic, calibrating segmentation networks, and particularly in the medical domain is underexplored.